# ANALYSIS OF A NANOPARTICLE'S DYNAMICS IN AN OPTICAL TWEEZER

by

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## ABSTRACT

# ANALYSIS OF A NANOPARTICLE'S DYNAMICS IN AN OPTICAL TWEEZER

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This work presents a simulation and experimental analysis of a nanoparticle's motion in an optical tweezer. Specifically, different simulation and analysis techniques are developed to investigate a suspected regime change in the dynamics of the micro/nano particles from overdamped to underdamped motion as the particle size reduces to submicron scale. Moreover, the simulation techniques developed here provide accurate prediction of the particle dynamics along with low computation time. Finally, the experimental setup developed here provides new experimental data that help answer the question of the regime change with increased certainty.

Micro- and nano- scale systems, such as an optical tweezer, require a longer time to simulate because of the disproportionality that exists between fluid and inertia forces. This problem is resolved by the use of a multiscale approach that relies on the method of multiple scales, which scales the forces such that they are similar in proportion.

The scaling approach has been used previously with two- and three- dimensional models, where the laser forces were computed by discretizing the laser beam into a

 $\mathbf{v}$ 

finite number of rays. However, this method of calculating laser forces starts to fall apart as the particle size becomes smaller than the wavelength of the laser. This work presents a three-dimensional model that relies on the Generalized Lorenz-Mie Theory (GLMT) to calculate laser forces.

An online constraint embedding method has been used in the past to enforce the normality constraint of the Euler parameters in the rotational dynamics of the 3D model. However, this method requires the integrator to be stopped in order to change the dependent Euler parameter. This work provides a novel elimination approach that does not require the definition of the dependent Euler parameter. Moreover, this approach does not require extra equations to be solved during the integration, which results in lower computation time.

The numerical solvers used to integrate the Equations of Motion (EOMs) change both the type of result and the computational requirement. A micro- or nano- particle observes forces due to the Brownian motion in the surrounding fluid. The inclusion of such forces makes the EOMs of the particle stochastic differential equations (SDEs) instead of the ordinary differential equations (ODEs). Moreover, the disproportionality in the inertia and fluid forces makes these equations stiff in nature. Thus, the stiff SDE solvers from the Julia programming language are used here to tackle both of these scenarios. The use of these solvers along with the scaling technique helps achieve further reduction in computation time.

The inclusion of Brownian motion force and the use of stochastic differential equation solvers allow the analysis of the problem in the frequency domain. It is common practice with optical trapping experiments to measure the trap stiffness with the use of Power Spectral Density (PSD) analysis. This work develops a theoretical equation of the PSD of the nanoparticle's position along with scaling. This helps

estimate the PSD of the nanoparticle that would show the underdamped behavior in the experiments.

Finally, a completely new experimental setup is prepared to investigate the claim of regime change from previous experiments in further detail. The experimental setup is designed to achieve easy and repeated trapping of the nanoparticle along with high frame rate recording of its trajectory. Software for all the necessary hardware, i.e. the high-speed camera, laser shutter and the back illumination system, is developed in-house to automate performing the experiments.

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## CHAPTER 1

#### INTRODUCTION

#### 1.1 Overview

This dissertation presents new findings and developments in the field of optical trapping of micro/nano particles. The focus is on both the simulation models and the experiments. Such simulations may involve modeling a free-floating body that has six degrees of freedom. This raises the issue of treating the spatial rotations, which requires special treatment beyond the use of Euler Angles. Chapter 2 proposes a novel constraint elimination approach that handles the treatment of rotational dynamics by using unit quaternions (Euler parameters) instead of Euler angles. The complete simulation model for a particle in an optical trap is introduced in Chapter 3. It discusses (1) different methods of computing laser forces, (2) the use of model scaling technique to simulate small bodies at large time scales, (3) the use of stiff solvers to improve the computational time, and (4) the inclusion of the stochastic term in the differential equations and their solution. The scaling method used to speed up the simulations relies on a scaling factor. It was commonly chosen by matching the experimental and simulation data. Chapter 4 investigates the underdamped motion observed in a previous dataset. The Power Spectral Density analysis (PSD), which is regularly used to measure the optical trap stiffness, is also introduced in Chapter 4 as a tool to estimate the scaling factor without comparing the simulations with the experiments. The introduction of the PSD analysis in the simulation allowed the prediction of the PSD of the 500nm diameter particle in an optical trap that showed the suspected underdamped motion in old experimental data. However, this data lacked the time history and temporal resolution to perform PSD analysis. Chapter 5 discusses the new experimental setup developed to collect new data and tries to explore the underdamped motion previously observed, with greater detail.

### 1.2 Treatment of rotational dynamics

The spatial orientation of a rigid body is often modeled with the help of three independent parameters, e.g. Euler Angles. This approach works fine when the body's rotation is limited in range. For example, the dynamics of a quad-rotor, the dynamics of an aircraft, etc. However, there exist systems that require proper treatment of the attitude dynamics since their rotation is not limited to a range. Some common examples are free-floating micro and nano particles[46], spacecraft[55] or astronomical systems[2]. The use of Euler Angles with such systems creates a problem of 'Gimbal Lock' [38], where the ability to extract all three Euler angles from the rotation matrix of the object is lost. Other such sets of three independent parameters were also developed, e.g. classical or modified Rodrigues parameters[86], that improve the working range of the rigid body orientation but do not eliminate the problem of 'Gimbal Lock' completely.

The problem of 'Gimbal Lock' can be avoided by using a set of four parameters, known as quaternions, which was introduced by W. E. Hamilton. However, the implementation of quaternions in attitude dynamics also needs the enforcement of a normality constraint during the numerical integration of the equations of motion (EOMs). The equations of rotational motion of a rigid body can be computed without enforcing the normality constraint of the Euler parameters. This results in an eight-valued state vector. However, the body is not considered rigid anymore since the unconstrained Euler parameters may represent a non-orthonormal rotation matrix.

This problem is known as Gauss' mutation as discussed in [69, 81] and is generally not desired for rigid body simulations.

The set of quaternions that follow this algebraic constraint is also known as Euler parameters. Several approaches toward enforcing the normality constraint use Lagrange Multipliers [67, 68, 94, 104, 92, 93, 82, 84], Hamiltonian dynamics [85, 11, 12], coordinate reduction and constraint embedding techniques [21, 58, 34], overparametrization techniques [40] or Lie groups and Lie algebra [102, 89, 3, 23, 60]. One of the earliest implementations was proposed by Nikravesh et al. [68, 67] using Lagrange multipliers. However, Vadali [94] later showed that the EOMs can be reformulated such that the Lagrange multiplier is equal to zero. Sherif [84] provided different formulations of rotational equations of motion when using Euler parameters and showed that they can be transformed into each other. Extending Vadali's work, Shabana [82] showed that the forces related to the normality constraint are identically equal to zero. Udwadia [92] initially provided the equations of motion using the fundamental equation of constrained motion. He later used this approach to develop a rotational controller [93] as well. All the aforementioned approaches yield a full-rank mass matrix, but the additional equations and variables in the EOMs mean that they do not employ elimination.

Möller and Glocker in [58] propose a coordinate reduction approach. The quaternions (Euler parameters) were not normalized, which allows a violation of the rigid body constraint. Thus, instead of a normality constraint, a perfect bilateral constraint was implemented to enforce the rigid body constraint, which requires an extra variable per body. The resulting set of EOMs is expressed in differential algebraic equation (DAE) form, which is not minimal, although the equivalent mass matrix is full rank.

A more modern approach uses Lie algebra to integrate the equations of motion [102, 89, 3]. This is accomplished using a special Lie group integrator like Crouch-Grossman[23] or Runge-Kutta-Munthe-Kaas[60]. The integration step is performed on the special unitary group SU(2) which inherently enforces the normality constraint without introducing singularities into the mass matrix.

The approach closest to the proposed one was given by Haghshenas-Jaryani et al. in [34]. It uses a constraint embedding technique [98] where the EOMs are reduced to a minimal set by choosing a dependent Euler parameter and eliminating it at the velocity and acceleration levels. However, this process requires a 'Switching Strategy' to avoid the singularity introduced in the mass matrix by this type of standard elimination.

In this work, a new elimination approach is proposed that takes advantage of Kane's Method's ability to redefine state space. This allows enforcement of the normality constraint at both the velocity and acceleration levels. It also reduces the computation time by maintaining the system's ODE form while eliminating the use of the 'Switching Strategy'.

#### 1.3 Simulation of Micro/Nano particles in an optical trap

Many biomechanical systems are modeled as micro/nano scale rigid bodies moving in a fluid characterized by Stokes flow. An optical trap is one such system that is widely used today to hold and manipulate objects from a couple of micrometers[4] to a few nanometers[5] in size. Their ability to apply force in the piconewton scale and measure displacements at nanometer scale has made them useful in numerous applications, such as measuring the viscoelastic properties of cell membranes[31], study the physics of colloids[10], develop molecular motors[1], and measure mechanical properties of biopolymers[35] and microtubules[47].

The need for a simulation model for the particles trapped in an optical tweezer stems from the difficulty in measuring the particle's position in all three dimensions. Some modern techniques allow the measurement of the trapped particle in three dimensions[17, 71]. However, these rely on adding more equipment to and having good calibration of the experimental setup. A simulation model can help estimate the particle trajectory in the third dimension by matching the simulation results with the planar experimental data recorded using a camera.

Viscous drag force acting on a particle, Stokes' drag, is extremely large at the microscale and nanoscale compared to the body's own inertia force [72]. The large disproportion between the magnitudes of the inertia and viscous drag forces yields a stiff system. To simulate such systems easily, it is a common practice to ignore the inertia force, which results in a set of first-order ordinary differential equations (ODEs) [97] referred to as the overdamped Langevin equation [70]. This model can be solved fairly quickly using well-known Runge-Kutta methods [18]. However, it has been shown that modeling the inertia force is important because it can affect a small body's motion [33, 16, 13] over longer time periods.

Solving a stiff system is challenging since the integration time steps commonly end up being extremely small. The EOMs were previously [33] solved using an explicit solver. The problem of long computation time was tackled using a well-established scaling approach based on the Method of Multiple Scales (MMS) [61]. This approach takes advantage of the disproportionality in the drag and inertia forces to scale them such that they do not produce large accelerations, but achieve an accurate estimate of the system's motion at longer time periods. However, explicit solvers are not best suited for stiff systems. Implicit solvers, such as the trapezoid [32] or Rosenbrock [83] methods, are more suitable to such stiff problems and can solve them with much less

computational cost. This work makes use of implicit solvers along with the scaling method described above to reduce the computation time even further.

Another force acting on the particle, that depends on the surrounding medium, is the random force associated with Brownian motion [54]. Albert Einstein first explained Brownian motion in 1905. Today, this motion is modeled as a random white-noise force. When the differential equation model contains a random variable, it is classified as a stochastic differential equation (SDE) model. Techniques used to solve ODEs cannot be directly applied to the SDEs because of the existence of a random variable [36]. The calculus required to solve SDEs was developed recently [41] as compared to that of ODEs[18]. The numerical methods to integrate SDEs are still an active area of research [78].

Previous simulations [33] treated the stochastic nature of the equations as a constant force over a short time period. This method generates trajectories similar to the correct solution. However, the method falls apart when the results are analyzed using frequency domain techniques. Moreover, it required the ODE solver to be stopped repeatedly which increased the computation time. Many numerical methods exist, which can adaptively solve stiff SDEs [39, 73]. This work uses the stiff SDE solvers available in DifferentialEquations.jl package of the Julia programming language to solve the EOMs of the particle.

Regardless of the choice of integration scheme, the model used for calculating the forces generated by the interaction between the particle and the laser can affect the final solution significantly. There exist three force models that have different accuracies based on the wavelength,  $\lambda$ , of the laser being used and the radius, r, of the particle. These three models are (1) Rayleigh scattering (when  $r \ll \lambda$ ), (2) Ray Optics (when  $r \gg \lambda$ ) and (3) Generalized Lorentz-Mie theory (when  $r \approx \lambda$ ) [50].

The simulations were performed for particles with three different diameters, 500nm, 990nm and 1950nm. The laser wavelength used was 1064nm. Previous simulations [33] used the Ray Optics model to calculate laser force while the particle sizes were similar to that of the laser wavelength. The use of the Ray Optics model in such a case is not desirable since it overestimates the laser forces acting on the smaller particles. In this dissertation, Generalized Lorentz-Mie theory (GLMT) is used to calculate the laser forces more accurately.

The collective use of stiff stochastic differential equation solvers, the model scaling approach and the use of Generalized Lorentz-Mie theory for calculating the laser forces achieved significantly lower computation times,  $\approx 21min$  in the previous study[33] for a single simulation to  $\approx 1.4min$  in this work for 10000 simulations, along with a more realistic representation of the Brownian motion physics and the light-particle interaction.

#### 1.4 Exploration of the underdamped motion

The scaling approach, discussed in the previous section, showed significant improvements in the computation time with the old model. However, it was important to validate the model with more experimental data. Few optical trapping experiments were performed with particles of diameter 500nm, 990nm and 1950nm. In these experiments, the particle's trajectory was recorded at a high frame rate while it was being trapped, i.e. as the particle was moving toward the focal point. These experimental trajectories are shown in Figs. 1.1, 1.2 and 1.3.

Conventional wisdom states that, at micro/nano scale, the viscous friction forces have a much larger impact on the particle's motion than inertial forces [72]. Thus, many works omit the mass properties from the model[22, 20, 14, 6, 48], particularly those that use the overdamped Langevin equations[91, 13]. As suggested by the

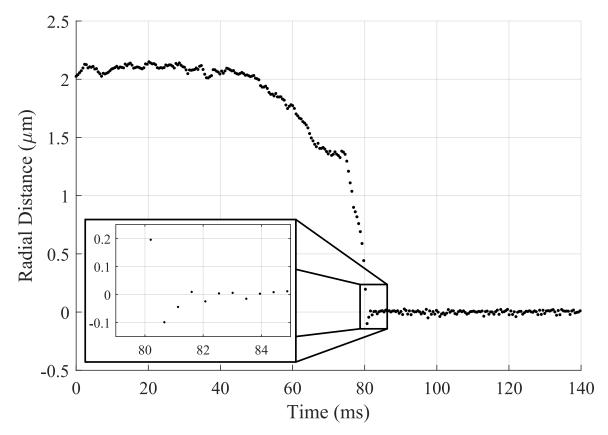


Figure 1.1: Trajectory of a 500nm diameter particle showing the underdamped behavior as being trapped

name, these equations predict overdamped motion as the particle approaches the focal point, i.e. the particle should not go beyond the focal point while moving towards it. However, in the experiments, the smaller particles exhibit what appears to be underdamped motion, i.e. particles go beyond the focal point and come back. This phenomenon seemed to get more pronounced as the particle size was reduced.

A hypothesis was made based on the experimental data, that there should be regime change in the dynamics of the particle as the size of the particle reduces below  $1\mu m$ . However, only the simulation models with the use of the scaling approach captured this phenomenon. Thus, it was concluded that there must be a force model, either the laser, the fluid, or the inertia, that breaks down as the particle size reduces.

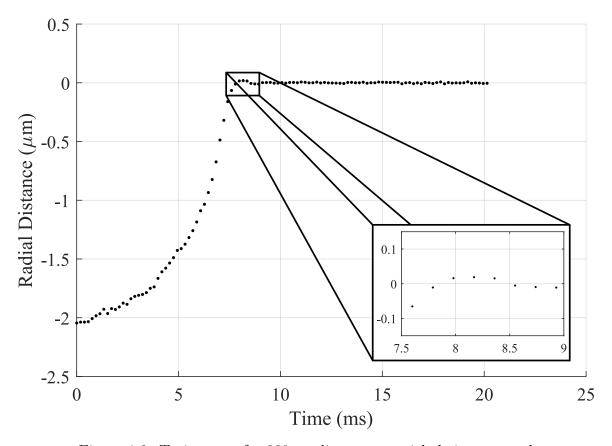


Figure 1.2: Trajectory of a 990nm diameter particle being trapped

The limiting factor in analyzing this phenomenon was the amount of experimental data that was available since only one dataset for each particle size was available.

A new experimental setup is developed here to conduct multiple optical trapping experiments in a short time period. It was also desirable to keep the experimental parameters close to the ones from the previous experiment. The final goal was to characterize the experimental conditions that would repeatedly achieve the suspected underdamped motion. Once such conditions are identified, a detailed analysis can be conducted on the reliable and repetitive data of the underdamped phenomenon to develop better force models.

The experimental setup developed here was able to trap polystyrene particles ranging from  $2\mu$ m to 200nm in diameter. However, no underdamped behavior was

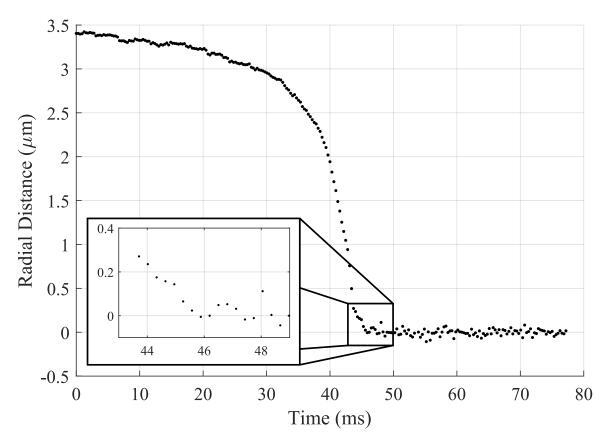


Figure 1.3: Trajectory of a 1950nm diameter particle showing the overdamped behavior as being trapped

observed in about 100 experiments that were conducted in total for different particle sizes. Thus, a decisive conclusion cannot be drawn at the moment regarding the underdamped motion since the result of the new experimental data is in contradiction with the old data.

## CHAPTER 2

#### EULER PARAMETERS AND THE NORMALIZATION CONSTRAINT

#### 2.1 Introduction

While implementing the Euler parameters in the equations of motion of a dynamic system, avoiding both the singularity in the rotation matrix and the use of differential-algebraic equations (DAEs) is a nontrivial task. Standard elimination can be used here which involves choosing a dependent variable and eliminating it from the EOMs at the velocity and acceleration levels. However, this method needs a 'Switching Strategy' to tackle the problem of choosing the dependent Euler parameter. This increases the computation time since the ODE solver needs to be restarted for the switch of the dependent Euler parameter to take place.

The approach proposed in this chapter uses Kane's method to develop the equations of motion. Here, the normality constraint is addressed using a novel elimination approach that does not require an explicit definition of one of the Euler parameters as a dependent variable. This process involves a redefinition of the state space in terms of an auxiliary speed, which is defined as the derivative of the normality constraint. Later on, the EOM associated with this auxiliary speed is omitted. This yields a minimal set of EOMs with a full-rank mass matrix and an inherent enforcement of the normality constraint at both the velocity and acceleration levels. Moreover, the energy is also conserved within numerical integration tolerances, which is a common way of checking the reliability of the solution. This is computationally faster compared to the Lagrange multiplier based approaches [68, 67, 94, 82] as the number of equations to be solved is lower.

# 2.2 Addressing the Normality Constraint

The discussion of the proposed approach is within the framework of Kane's method [45, 15] and relies on its definition of auxiliary speeds. Within this framework, velocity is considered to be a vector and speeds are its components. Auxiliary speeds are typically used to solve for non-contributing (reaction) forces, such as the Lagrange multipliers associated with the normality constraint. The EOMs associated with the auxiliary speeds can be omitted, yielding a set of relations equal in number to the number of independent generalized speeds (which are the time derivatives of generalized coordinates.)

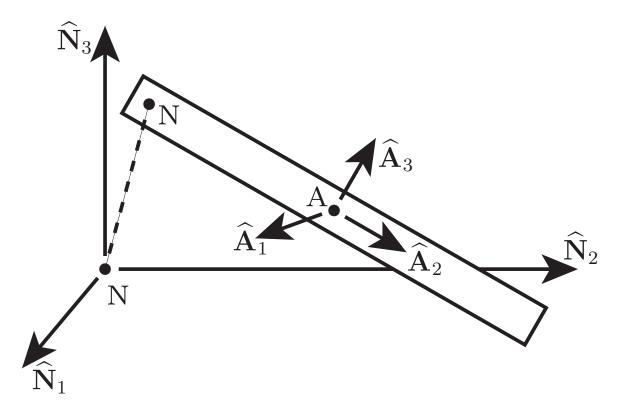


Figure 2.1: 3D single pendulum model

To illustrate this approach, consider the spatial pendulum with mass  $m_A$  in Fig. 2.1, with a body-attached frame  $A = (\widehat{\mathbf{A}}_1, \widehat{\mathbf{A}}_2, \widehat{\mathbf{A}}_3)$  and a body-attached point A

at its mass center. The pendulum has three rotational degrees of freedom (DOFs). The inertial reference frame is  $N = (\widehat{N}_1, \widehat{N}_2, \widehat{N}_3)$  and the inertial reference point is N. Body A is connected to the ground by a spherical joint at the inertial reference point N. If the vector of Euler parameters representing the spatial orientation of frame A observed from the inertial frame N is designated  $\mathbf{e}$ :

$$\mathbf{e} = \begin{bmatrix} e_0 & e_1 & e_2 & e_3 \end{bmatrix}^T \tag{2.1}$$

The angular velocity and the angular acceleration of this body observed from the inertial frame are well-defined in [25]:

$${}^{\mathrm{N}}\boldsymbol{\omega}^{\mathrm{A}} = 2L(\mathbf{e})\dot{\mathbf{e}} = \dot{\theta}_{1} \hat{\mathbf{A}}_{1} + \dot{\theta}_{2} \hat{\mathbf{A}}_{2} + \dot{\theta}_{2} \hat{\mathbf{A}}_{3}$$
 (2.2)

$${}^{\mathrm{N}}\dot{\boldsymbol{\omega}}^{\mathrm{A}} = 2L(\mathbf{e})\ddot{\mathbf{e}} \tag{2.3}$$

where  $\dot{\mathbf{e}}$  and  $\ddot{\mathbf{e}}$  are the time derivatives of the Euler parameters and

$$L(\mathbf{e}) = \begin{bmatrix} -e_1 & e_0 & e_3 & -e_2 \\ -e_2 & -e_3 & e_0 & e_1 \\ -e_3 & e_2 & -e_1 & e_0 \end{bmatrix}$$
(2.4)

The position vector from the inertial point N to the mass center A and the velocity of the mass center can be given as

$$\mathbf{P}_{NA} = L_A \, \widehat{\mathbf{A}}_2 \tag{2.5}$$

$$\mathbf{V}_{A} = {}^{\mathrm{N}}\boldsymbol{\omega}^{\mathrm{A}} \times \mathbf{P}_{NA} = 2 \left( \mathbf{P}_{NA} \otimes \right)^{T} L \left( \mathbf{e} \right) \dot{\mathbf{e}}$$
 (2.6)

where,  $L_A$  is the half of the length of the rod, and  $\otimes$  is the cross product operator. For a 3 × 1 vector **X**, the cross product operator is defined as

$$\mathbf{X} \otimes = \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} \otimes = \begin{bmatrix} 0 & -x_3 & x_2 \\ x_3 & 0 & -x_1 \\ -x_2 & x_1 & 0 \end{bmatrix}$$
 (2.7)

Note that the matrix  $\mathbf{X} \otimes$  is a singular matrix.

The normalization constraint that must be enforced has the form,

$$e_0^2 + e_1^2 + e_2^2 + e_3^2 = \mathbf{e}^T \mathbf{e} = 1$$
 (2.8)

A standard elimination approach can be used to address this problem, but that introduces a singularity. For example, if we choose  $\dot{e}_0$  as the dependent speed, we can solve for it and eliminate it from subsequent equations:

$$\dot{e}_0 = -\frac{e_1\dot{e}_1 + e_2\dot{e}_2 + e_3\dot{e}_3}{e_0} \tag{2.9}$$

Equation (2.9) shows that eliminating  $\dot{e}_0$  will introduce a singularity in the EOMs when  $e_0 \to 0$ , and similarly if any other Euler parameter is chosen. However, the Euler parameters must be constrained to prevent a singular mass matrix in the EOMs. Here it is shown that elimination can still be used with a careful definition of the state space.

To implement this constraint using the proposed approach, three *quasi-speeds* and one auxiliary speed can be defined as

$$\mathbf{u} = \begin{bmatrix} u_1 \\ u_2 \\ u_3 \\ u_4 \end{bmatrix} = \begin{bmatrix} \dot{\theta}_1 \\ \dot{\theta}_2 \\ \dot{\theta}_3 \\ \dot{\theta}_4 \end{bmatrix} = \begin{bmatrix} -e_1 & e_0 & e_3 & -e_2 \\ -e_2 & -e_3 & e_0 & e_1 \\ -e_3 & e_2 & -e_1 & e_0 \\ e_0 & e_1 & e_2 & e_3 \end{bmatrix} \begin{bmatrix} \dot{e}_0 \\ \dot{e}_1 \\ \dot{e}_2 \\ \dot{e}_3 \end{bmatrix} = \begin{bmatrix} L\left(\mathbf{e}\right) \\ \mathbf{e}^T \end{bmatrix} \dot{\mathbf{e}} = E\left(\mathbf{e}\right) \dot{\mathbf{e}}$$
(2.10)

where  $u_1$ ,  $u_2$  and  $u_3$  are the quasi-speeds, and  $u_4$  is the auxiliary speed; quasi-speeds are defined as the non-existence of an antiderivative. Note that  $E(\mathbf{e})$  is an orthonormal matrix, therefore

$$\dot{\mathbf{e}} = E^T \mathbf{u} \tag{2.11}$$

The equations of motion for this system can be expressed in the following form:

$$\begin{bmatrix} F_1^* \\ F_2^* \\ F_3^* \\ F_4^* \end{bmatrix} = A(\mathbf{e})\dot{\mathbf{u}} + \mathbf{b}(\mathbf{u}, \mathbf{e}) = \mathbf{\Gamma}(\mathbf{u}, \mathbf{e}) = \begin{bmatrix} F_1 \\ F_2 \\ F_3 \\ F_4 \end{bmatrix}$$
(2.12)

where  $\mathbf{b}(\mathbf{u}, \mathbf{e})$  is the vector of velocity forces,  $A(\mathbf{e})$  is the mass matrix, which is rank deficient because of the use of Euler parameters (as discussed in the next paragraph), and  $\Gamma(\mathbf{u}, \mathbf{e})$ . The  $F_i$  are generalized active forces and the  $F_i^*$  are generalized inertia forces.

The mass matrix  $A(\mathbf{e})$  for the system in Fig. 2.1 can also be calculated using following formula [15] for kinetic energy, T,

$$2T = \dot{\mathbf{e}}^T A \left( \mathbf{e} \right) \dot{\mathbf{e}} = \dot{\mathbf{e}}^T \left[ m_A \ J_{\mathbf{V}_A}^T \ J_{\mathbf{V}_A} + J_{\mathbf{N}_{\boldsymbol{\omega}^A}}^T \ I_{\mathbf{A}\mathbf{A}} \ J_{\mathbf{N}_{\boldsymbol{\omega}^A}} \right] \dot{\mathbf{e}}$$
 (2.13)

where, the jacobian matrices  $J_{\mathbf{V}_{\!A}}$  and  $J_{^{\mathrm{N}}\boldsymbol{\omega}^{\!\mathrm{A}}}$  are defined as

$$J_{\mathbf{V}_{A}} = \begin{bmatrix} \frac{\partial \mathbf{V}_{A}}{\partial \dot{e}_{0}} & \frac{\partial \mathbf{V}_{A}}{\partial \dot{e}_{1}} & \frac{\partial \mathbf{V}_{A}}{\partial \dot{e}_{2}} & \frac{\partial \mathbf{V}_{A}}{\partial \dot{e}_{3}} \end{bmatrix} = 2 \left( \mathbf{P}_{NA} \otimes \right)^{T} L \left( \mathbf{e} \right)$$

$$J_{N_{\boldsymbol{\omega}^{A}}} = \begin{bmatrix} \frac{\partial^{N} \boldsymbol{\omega}^{A}}{\partial \dot{e}_{0}} & \frac{\partial^{N} \boldsymbol{\omega}^{A}}{\partial \dot{e}_{1}} & \frac{\partial^{N} \boldsymbol{\omega}^{A}}{\partial \dot{e}_{2}} & \frac{\partial^{N} \boldsymbol{\omega}^{A}}{\partial \dot{e}_{3}} \end{bmatrix} = 2L \left( \mathbf{e} \right)$$

Thus, the mass matrix can now be expressed as,

$$A(\mathbf{e}) = 4L(\mathbf{e})^{T} \left[ m_{A} (\mathbf{P}_{NA} \otimes) (\mathbf{P}_{NA} \otimes)^{T} + I_{AA} \right] L(\mathbf{e})$$
 (2.14)

Note that the matrix  $L(\mathbf{e})$  is a  $3 \times 4$  matrix with the maximum rank of 3. While,  $\left[m_A(\mathbf{P}_{NA}\otimes)(\mathbf{P}_{NA}\otimes)^T + I_{AA}\right]$  is a  $3 \times 3$  matrix with rank 3. For any two matrices A and B, it can be said that

$$rank (AB) \le min (rank (A), rank (B))$$
(2.15)

From (2.15), it can be concluded that the resultant mass matrix has to have rank 3 or less with size  $4 \times 4$ . Thus, the mass matrix has to be singular. Another simple explanation for the singularity of the mass matrix is that a rigid body has a maximum of 3 degrees of rotational freedom. Thus, if the mass matrix is  $4 \times 4$  then it has to be singular since the size of the mass matrix has to be  $n \times n$ , where n is the degrees of freedom. This conclusion applies directly to the single spatial pendulum in Fig. 2.1 but can be generalized to any system described in terms of the Euler parameters.

The set of dependent relations in Eqn. (2.12) can be reduced to an independent set by eliminating  $u_4$  and  $\dot{u}_4$  from the EOMs, using the normalization constraint on the Euler parameters

$$0 = \mathbf{e}^T \dot{\mathbf{e}} = u_4 = \dot{\theta}_4 \quad \rightarrow \quad 0 = \mathbf{e}^T \delta \mathbf{e} = \delta \theta_4 \tag{2.16}$$

where,  $\delta\theta_4$  is the virtual displacement associated with the auxiliary speed,  $u_4$ .

This elimination is accomplished by considering the virtual work done on the system:

$$\delta W = 0 = \sum_{i=1}^{4} (F_i - F_i^*) \ \delta \theta_i \tag{2.17}$$

where,  $\delta\theta_i$  are the virtual displacements associated with the quasi-speeds,  $u_{1:3}$ , and the auxiliary speed,  $u_4$ . Combining Eqns. (2.16) and (2.17) yields,

$$0 = (F_1 - F_1^*) \delta\theta_1 + (F_2 - F_2^*) \delta\theta_2 + (F_3 - F_3^*) \delta\theta_3 + (F_4 - F_4^*) \delta\theta_4^{\prime\prime} (2.18)$$

which results in a reduced set of EOMs produced by eliminating  $u_4$  and  $\dot{u}_4$ :

$$\begin{bmatrix} F_1^* \\ F_2^* \\ F_3^* \end{bmatrix} = \widetilde{A}(\mathbf{e}) \begin{bmatrix} \dot{u}_1 \\ \dot{u}_2 \\ \dot{u}_3 \end{bmatrix} + \widetilde{\mathbf{b}}(\mathbf{u}, \mathbf{e}) = \widetilde{\Gamma}(\mathbf{u}, \mathbf{e}) = \begin{bmatrix} F_1 \\ F_2 \\ F_3 \end{bmatrix}$$
(2.19)

where  $\widetilde{\mathbf{b}}(\mathbf{u}, \mathbf{e}) = \mathbf{b}_{1:3}(\mathbf{u}, \mathbf{e})$  is the vector of velocity forces,  $\widetilde{A}(\mathbf{e}) = A_{1:3\times1:3}(\mathbf{e})$  is the mass matrix, and  $\widetilde{\Gamma}(\mathbf{u}, \mathbf{e}) = \Gamma_{1:3}(\mathbf{u}, \mathbf{e})$  is the vector of generalized active forces. This

process of constraint elimination depends on a more general constraint embedding approach discussed in Appn. A.2.

The governing kinematic and dynamic equations of the system can thus be written in the following state space format

$$\begin{bmatrix} \dot{\mathbf{e}} \\ \dot{\mathbf{u}}_{1:3} \end{bmatrix} = \begin{bmatrix} E^T \mathbf{u} \\ \tilde{A}^{-1} \left( \tilde{\mathbf{\Gamma}} - \tilde{\mathbf{b}} \right) \end{bmatrix} = \begin{bmatrix} \dot{\mathbf{e}} \\ {}^{\mathrm{N}} \dot{\boldsymbol{\omega}}^{\mathrm{A}} / 2 \end{bmatrix}$$
(2.20)

where,  $\dot{u}_4 = u_4 = 0$ . This process can be generalized to any number of sets of Euler parameters as long as the angular velocity considered reflects the frames that define the particular rotation defined by those Euler parameters. Also, note that the reduced  $\dot{\mathbf{u}}$  vector in Eqn. (2.20) is equivalent to half of the angular acceleration in Eqn. (2.3) associated with the Euler parameters.

The transformation of the Euler speeds into quasi and auxiliary speeds yields a clear delineation between independent and dependent EOMs. Thus, the dependent EOM can be definitively eliminated; in contrast, it is unclear which Euler parameter to choose as dependent. The proposed process of eliminating  $u_4$ ,  $\dot{u}_4$  and  $F_4 - F_4^* = 0$  (recall Eqns. (2.16) and (2.18)) is novel and yields a reduced set of EOMs with a full rank mass matrix. Thus, it is possible to eliminate the dependent Euler parameter without choosing one explicitly.

#### 2.3 Simulation model

A three-dimensional double pendulum is used to illustrate the proposed method. The model has two bodies, two cylindrical rods with length L and radius r, as shown in Fig. 2.2. The two joints are spherical and so do not constrain spatial rotations of the links. The rod attached to the ground link has a body attached frame A while the rod with a free end has the body attached frame B. The inertial reference point

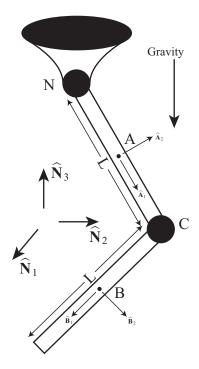


Figure 2.2: 3D double pendulum model

N is defined at the joint at the ground link and the inertial reference frame is  $N = (\widehat{N}_1, \widehat{N}_2, \widehat{N}_3)$ . The mass centers of both bodies are points A and B respectively. Point C is defined at the center of the spherical joint between the two links. The vector of Euler speeds of body A with respect to frame N and of body B with respect to frame A and the corresponding quasi- and auxiliary speeds are defined as,

$$\dot{\mathbf{e}}_{A} = \begin{bmatrix} \dot{e}_{A_0} & \dot{e}_{A_1} & \dot{e}_{A_2} & \dot{e}_{A_3} \end{bmatrix}^{T} \qquad \mathbf{u}_{A} = E(\mathbf{e}_{A})\dot{\mathbf{e}}_{A}$$

$$\dot{\mathbf{e}}_{B} = \begin{bmatrix} \dot{e}_{B_0} & \dot{e}_{B_1} & \dot{e}_{B_2} & \dot{e}_{B_3} \end{bmatrix}^{T} \qquad \mathbf{u}_{B} = E(\mathbf{e}_{B})\dot{\mathbf{e}}_{B}$$

The equations of motion are formulated using the proposed approach, which is presented in detail in the Appn. A.1. The EOMs are also formulated using the method in [34] and the one where integration update is done on rotation matrices. The models are numerically integrated using the nonstiff variable step integrator ode45 in MATLAB. This is a commonly used algorithm for numerical integration, which will

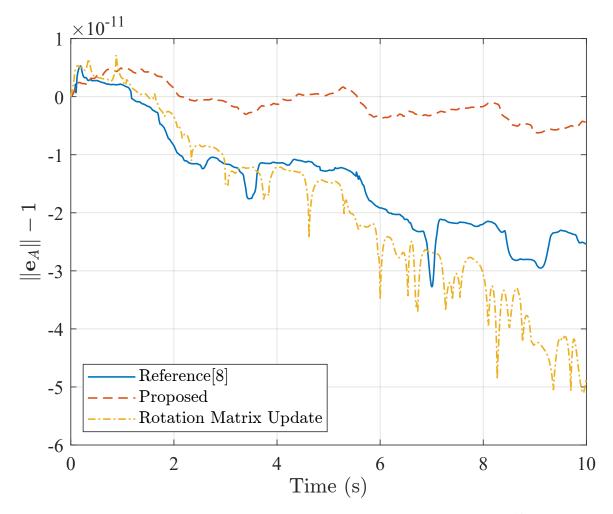


Figure 2.3: Normality of Euler parameters for body A (RelTol =  $1\times 10^{-10}$ , AbsTol =  $1\times 10^{-9}$ ,  $\Delta t=0.01$ )

be used here to examine the behavior of the proposed formulation of the EOMs. The system is integrated with  $10^{-9}$  of absolute error and  $10^{-10}$  of relative error tolerances. The system parameters and initial conditions are given in Table 2.1.

To validate the 3D double pendulum model, a check function based on the conservation of energy is implemented:

$$\Delta E = T_i + V_i - (T_0 + V_0) \tag{2.21}$$

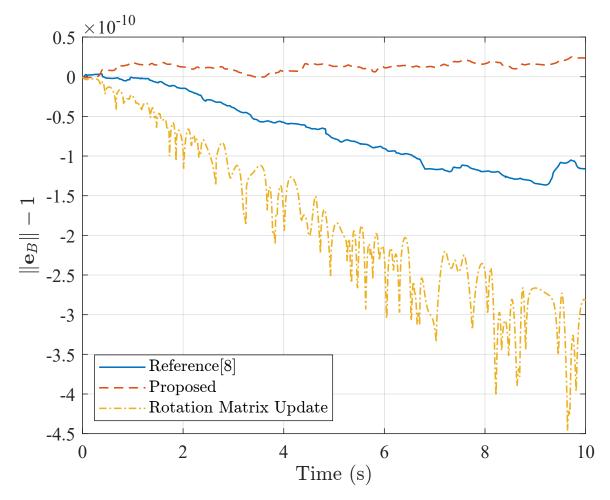


Figure 2.4: Normality of Euler parameters for body B (RelTol =  $1 \times 10^{-10}$ , AbsTol =  $1 \times 10^{-9}$ ,  $\Delta t = 0.01$ )

where,  $T_0$  and  $T_i$  are the kinetic energy at the initial condition and ith step of integration respectively, and  $V_0$  and  $V_i$  are the potential energy at the initial condition and ith step of integration respectively. To conserve energy, the value of  $\Delta E$  should remain close to zero, if there are no errors in system modeling. However, due to the computational errors, this value will not be exactly equal to zero. Thus, this function also serves as a tool to gauge the performance of the presented approach. The results of the simulation and the performance of the proposed approach are discussed in the next section.

Quantity	Value	Unit
$\overline{L}$	0.3	$\overline{m}$
r	0.05	m
$m_A, m_B$	1	kg
g	9.81	$m/s^2$
$\mathbf{e}_{\mathrm{A}}$	$\begin{bmatrix} 0.27315297 & 0.18150666 & -0.15428524 & 0.93200796 \end{bmatrix}^T$	NA
$\mathbf{e}_{\mathrm{B}}$	$\begin{bmatrix} -0.13787739 & 0.35886182 & 0.25501366 & 0.88722941 \end{bmatrix}^T$	NA
$\dot{\mathbf{e}}_{\mathrm{A}}$	$\begin{bmatrix} 0 & 0 & 0 & 0 \end{bmatrix}^T$	NA
$\dot{\mathbf{e}}_{\mathrm{B}}$	$\begin{bmatrix} 0 & 0 & 0 & 0 \end{bmatrix}^T$	NA

Table 2.1: System parameters and initial conditions

### 2.4 Results and Discussion

This section provides the simulation results for the 3D double pendulum model discussed in the last section for the time history of 10s. The computational time for the proposed approach was  $\approx 17$  seconds. That for the approach in [34] was  $\approx 56$  seconds and for the rotation matrix update was  $\approx 27$  seconds. Note that the 3D double pendulum is a chaotic system. This means that a small error in computation can produce vastly different final system states. Thus, this system was chosen as a better performance measure among different techniques.

Herein, two metrics are used to analyze the accuracy of the proposed approach which are the normality satisfaction and the change in total energy. The normality satisfaction is checked by Eqn. (2.8) while the change in total energy is checked by Eqn. (2.21).

The values of the normality satisfaction are given in Figs. 2.3 and 2.4. Note that the norms for the proposed approach in Figs. 2.3 and 2.4 are in the order of  $10^{-11}$  which is two magnitudes smaller than the absolute tolerance of  $10^{-9}$ . However, that for the other approaches are in the order of  $10^{-10}$ . The change in total energy is given in Fig. 2.5. For the proposed approach, it is on the order of the integration tolerance. While that for the other approaches is larger than the integration tolerance.

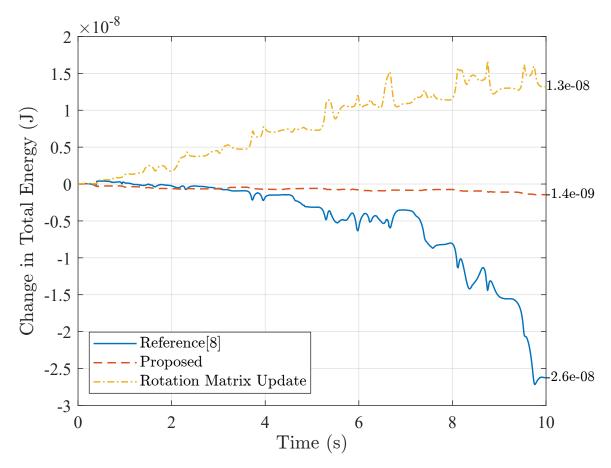


Figure 2.5: Change in total energy of the system for initial 10s (RelTol =  $1 \times 10^{-10}$ , AbsTol =  $1 \times 10^{-9}$ ,  $\Delta t = 0.01$ )

A comparison of phase portraits, Euler parameters vs their derivatives, from all three approaches are provided in Figs. 2.6 and 2.7 for the time history of 10 seconds. Note that there is no observable difference in the system state trajectories and the final system states, among the three methods being compared. This also shows that the proposed approach does not affect the system behavior while providing results that are energetically more consistent. The values of Euler parameters at different times are provided in Tables 2.2, 2.3 and 2.4. Note that for all approaches, the Euler parameter values are the same for the first 8 seconds.

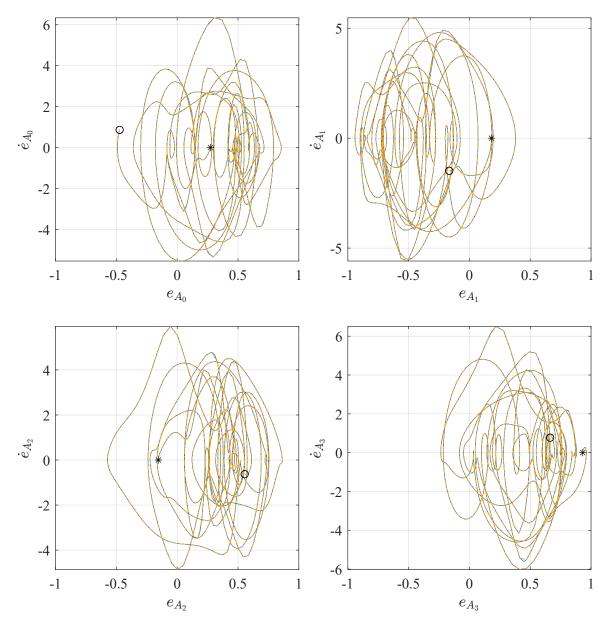


Figure 2.6: Phase portraits for body A Euler parameters (RelTol =  $1 \times 10^{-10}$ , AbsTol =  $1 \times 10^{-9}$ ,  $\Delta t = 0.01$ , ——Reference[34], ----- Proposed, ----- Rotation Matrix Update, \* Initial State,  $\circ$  Final State)

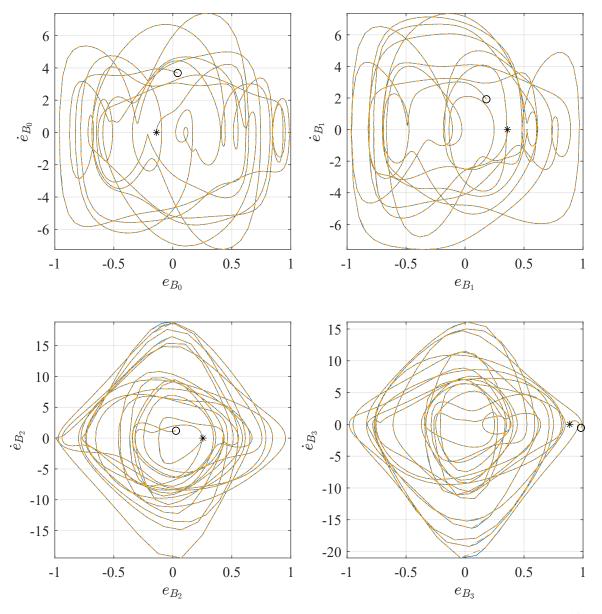


Figure 2.7: Phase portraits for body B Euler parameters (RelTol =  $1 \times 10^{-10}$ , AbsTol =  $1 \times 10^{-9}$ ,  $\Delta t = 0.01$ , ——Reference[34], ----- Proposed, ----- Rotation Matrix Update, \* Initial State,  $\circ$  Final State)

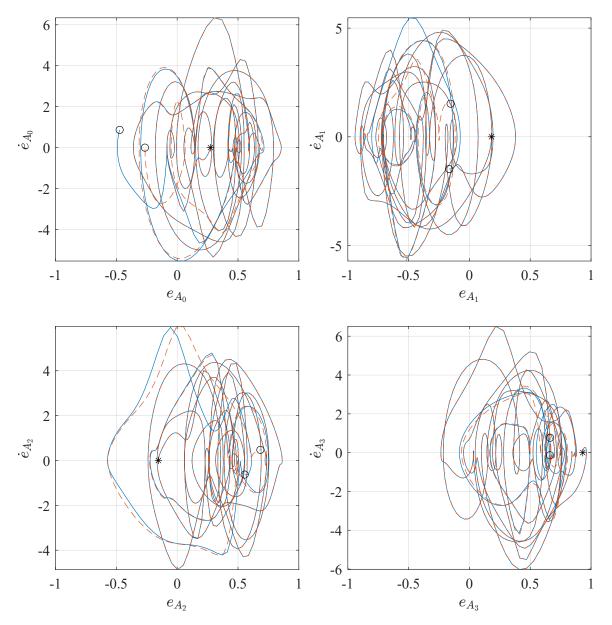


Figure 2.8: Phase portraits for body A Euler parameters (RelTol =  $1 \times 10^{-10}$ , AbsTol =  $1 \times 10^{-9}$ ,  $\Delta t = 0.01$ , —— First Initial State (Table 2.1), ----- Second Initial State (Eqn. (2.22)), \* Initial State,  $\circ$  Final State)

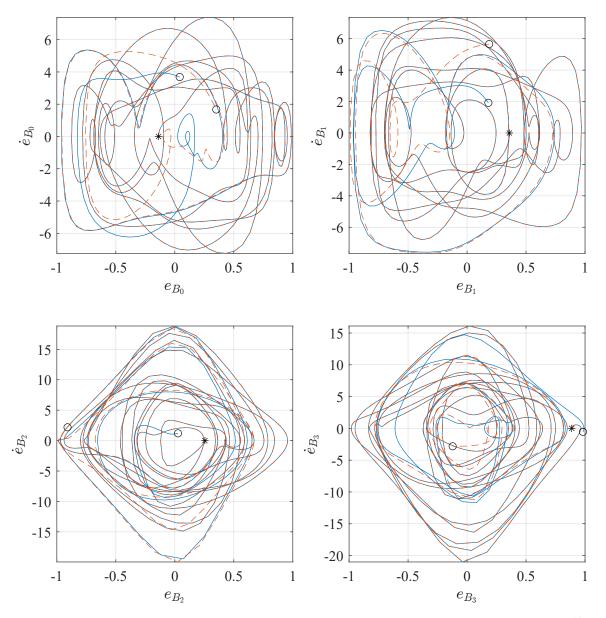


Figure 2.9: Phase portraits for body B Euler parameters (RelTol =  $1 \times 10^{-10}$ , AbsTol =  $1 \times 10^{-9}$ ,  $\Delta t = 0.01$ , —— First Initial State (Table 2.1), ----- Second Initial State (Eqn. (2.22)), \* Initial State,  $\circ$  Final State)

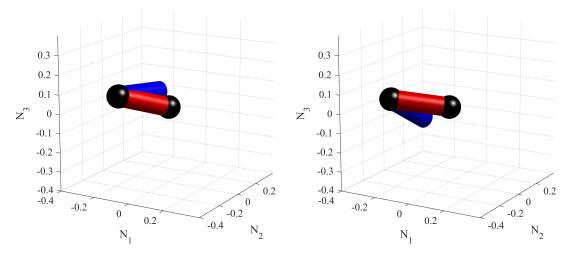


Figure 2.10: System Final state for initial condition in Table 2.1

Figure 2.11: System Final state for the initial condition in Eqn. (2.22)

Another set of phase portraits is provided in Figs. 2.8 and 2.9. These phase portraits were generated using the proposed approach. However, the initial Euler parameter values differ slightly, which are given in Table 2.1 and Eqn. (2.22). Note that the final system states are very different for such a small change in the initial conditions of only one body. The final system states are shown in Figs 2.10 and 2.11. The characteristic nonlinear and chaotic behavior of the chosen system is observable in these phase portraits and is unchanged by the proposed approach.

$$\mathbf{e}_{A} = \begin{bmatrix} 0.2731520482 & 0.1815067144 & -0.1542852873 & 0.9320082195 \end{bmatrix}^{T}$$

$$\mathbf{e}_{B} = \begin{bmatrix} -0.1378773929 & 0.3588618275 & 0.2550136679 & 0.8872294192 \end{bmatrix}^{T}$$

$$(2.22)$$

#### 2.5 Conclusion

This chapter showed that the proposed elimination approach is valid when using the Euler parameter representation of spatial orientation. The work showed that the normality constraint can be enforced without introducing singularities in the mass

Table 2.2: Euler parameters for approach in Ref. [34]

T(sec)	$e_{A_0}$	$e_{A_1}$	$e_{A_2}$	$e_{A_3}$	$e_{B_0}$	$e_{B_1}$	$e_{B_2}$	$e_{B_3}$
	0.273153	0.181507	-0.154285	0.932008	-0.137877	0.358862	0.255014	0.887229
	0.601657	-0.281299	0.249476	0.704728	-0.135954	0.961025	0.126427	-0.204852
	0.172573	-0.830607	0.483631	-0.215432	-0.541611	-0.090728	0.584365	-0.597448
	0.557720	-0.395463	0.480394	0.549344	-0.627860	0.733431	-0.043389	-0.256880
	0.114685	-0.080346	0.471449	0.870705	0.699314	0.429925	-0.314040	-0.476973
	0.490451	-0.854733	-0.163858	-0.045175	-0.371157	-0.378702	-0.697459	0.482055
	0.513537	-0.523332	0.464964	0.496198	0.438356	-0.751934	-0.076442	0.486412
	-0.355745	-0.102557	0.857771	0.356591	0.520118	-0.030659	0.542351	-0.659085
	0.759543	-0.493050	-0.056060	0.420540	-0.381785	0.018261	-0.911075	0.154432
	0.707391	-0.578222	-0.393869	0.100617	0.112072	-0.106242	-0.987751	-0.022389
0	-0.473064	-0.166345	0.554950	0.663755	0.041295	0.180773	0.026478	0.982301

Table 2.3: Euler parameters for proposed approach

T(sec)	$e_{A_0}$	$e_{A_1}$	$e_{A_2}$	$e_{A_3}$	$e_{B_0}$	$e_{B_1}$	$e_{B_2}$	$e_{B_3}$
0	0.273153	0.181507	-0.154285	0.932008	-0.137877	0.358862	0.255014	0.887229
П	0.601657	-0.281299	0.249476	0.704728	-0.135954	0.961025	0.126427	-0.204852
2	0.172573	-0.830607	0.483631	-0.215432	-0.541611	-0.090728	0.584365	-0.597448
က	0.557720	-0.395463	0.480394	0.549344	-0.627860	0.733431	-0.043389	-0.256880
4	0.114685	-0.080346	0.471449	0.870705	0.699314	0.429925	-0.314040	-0.476973
ಬ	0.490451	-0.854733	-0.163858	-0.045175	-0.371157	-0.378702	-0.697459	0.482055
9	0.513537	-0.523332	0.464964	0.496198	0.438356	-0.751934	-0.076442	0.486412
<u></u>	-0.355745	-0.102557	0.857771	0.356591	0.520117	-0.030659	0.542351	-0.659085
$\infty$	0.759543	-0.493050	-0.056060	0.420540	-0.381786	0.018262	-0.911075	0.154429
6	0.707392	-0.578223	-0.393866	0.100615	0.112090	-0.106237	-0.987749	-0.022392
10	-0.473114	-0.166395	0.554901	0.663748	0.041267	0.180819	0.026667	0.982288

Table 2.4: Euler parameters when the rotation matrices are integrated directly

$e_{A_0}$	$e_{A_1}$	$e_{A_2}$	$e_{A_3}$	$e_{B_0}$	$e_{B_1}$	$e_{B_2}$	$e_{B_3}$
0.181507		-0.154285	0.932008	-0.137877	0.358862	0.255014	0.887229
-0.281299		0.249476	0.704728	-0.135954	0.961025	0.126427	-0.204852
-0.830607		0.483631	-0.215432	-0.541611	-0.090728	0.584365	-0.597448
-0.395463		0.480394	0.549344	-0.627860	0.733431	-0.043389	-0.256880
-0.080346	$\circ$	0.471449	0.870705	0.699314	0.429925	-0.314040	-0.476973
-0.854733 -0	0-	-0.163858	-0.045175	-0.371157	-0.378702	-0.697459	0.482055
-0.523332 0	0	0.464964	0.496198	0.438356	-0.751934	-0.076442	0.486412
-0.102557 0	0	0.857771	0.356591	0.520117	-0.030659	0.542351	-0.659086
-0.493050 -0	9	-0.056061	0.420540	-0.381788	0.018262	-0.911075	0.154427
-0.578225 -(	$\overline{}$	-0.393864	0.100613	0.112105	-0.106232	-0.987748	-0.022395
-0.166439 (	)	0.554859	0.663741	0.041242	0.180859	0.026831	0.982277

matrix and without explicitly designating one of the Euler parameters as a dependent variable. A simulation showed that the proposed approach also yielded energetically consistent predictions because the changes in energy remained on or below the order of the numerical integration tolerance. Thus, the proposed approach provides a simple means of addressing the dependency in Euler parameters in a manner that results in an energetically consistent motion of the system.

## CHAPTER 3

### SIMULATION OF A PARTICLE IN AN OPTICAL TRAP

#### 3.1 Introduction

This chapter presents the simulation model for a particle in an optical trap. Different forces acting on a particle are discussed here. This chapter also discusses different methods used for numerically integrating the equations of motion of the particle along with ways to reduce the computation time. The model scaling approach is also discussed here.

An optical trap is formed when a laser beam is focused with an objective lens of high numerical aperture (NA). A dielectric particle near the focal point experiences a force due to the momentum transfer and due to the electric field from the scattering of the laser. Figures 3.1(a),(b) and (c) describe the effect of a trap on a dielectric particle in terms of the total force due to a typical pair of rays R1 and R2 of the converging laser beam, under the assumption that the surface of the particle does not reflect light. In this approximation, the forces F1 and F2 are entirely due to the momentum change as a result of the refraction of incident rays R1 and R2. The forces are shown pointing in the direction of the momentum change.

Figure 3.1(a) and (b) showcase an arbitrary displacement of the particle origin P from the focal point f in the vertical direction, the magnitudes of F1 and F2 are the same and their vector sum gives a net restoring force F directed back to the focal point, and showcase the stability of the trap. Similarly, in Fig. 3.1(c), for arbitrary displacements of the particle center P from the focal point f in the horizontal direction, the magnitudes of F1 and F2 are different(shown by the change in thickness) and

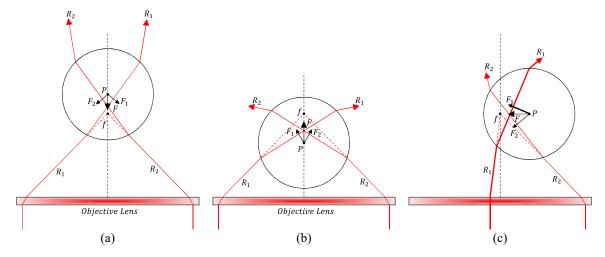


Figure 3.1: Arbitrary displacement of a trapped particle in the vertical direction, (a) and (b), and in the horizontal direction, (c)

their vector sum gives a net restoring force F directed back to the focal point. This difference in F1 and F2 arises from different intensities of the rays refracting through the particle. The shaded red bar at the bottom of Figs. 3.1(a),(b) and (c) represent the Laser's intensity profile which follows a Gaussian distribution.

### 3.2 Model Description and Equations of Motion

The simulation model is based on a simple dynamic model of a sphere in a fluid medium. The equations of motion for a particle trapped in an optical tweezer can be given as

$$A\ddot{\mathbf{q}} = \mathbf{\Gamma}(\mathbf{q}, \dot{\mathbf{q}}, t) \tag{3.1}$$

where, A is known as the mass matrix,  $\ddot{\mathbf{q}}$  is a  $3 \times 1$  generalized acceleration vector (also the cartesian acceleration in this case) and  $\mathbf{\Gamma}(\mathbf{q}, \dot{\mathbf{q}}, t)$  is a  $3 \times 1$  vector representing generalized forces. The generalized active forces for an optical tweezer can be expanded into

$$\Gamma\left(\mathbf{q},\dot{\mathbf{q}},t\right) = \mathbf{F}_{g} + \mathbf{F}_{b} + \mathbf{F}_{d} + \mathbf{F}_{l} + \mathbf{F}_{s} \tag{3.2}$$

as shown in Fig 3.2. Where,  $\mathbf{F}_g$  represents the gravitational force,  $\mathbf{F}_b$  is the buoyant

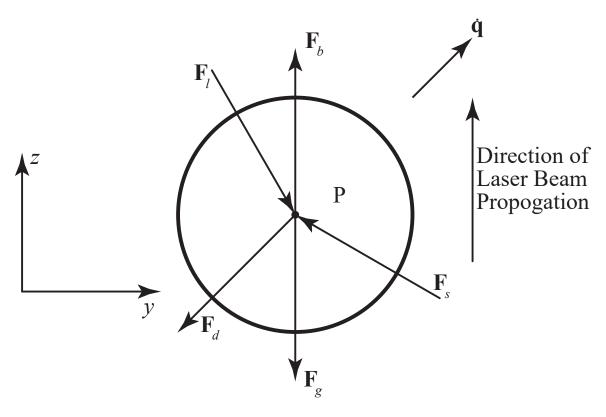


Figure 3.2: Forces acting on a particle in an optical trap

force,  $\mathbf{F}_d$  represents the viscous drag on the particle by the fluid,  $\mathbf{F}_l$  represents the effect of the laser beam interacting with the particle and  $\mathbf{F}_s$  represents the random forces associated with Brownian motion.

## 3.2.1 Gravity and Buoyancy

The gravity and buoyancy forces are set as

$$\mathbf{F}_{g} = m\mathbf{g} = \begin{bmatrix} 0 & 0 & -mg \end{bmatrix}^{T} \qquad \mathbf{F}_{b} = -\rho_{m}V\mathbf{g} = \begin{bmatrix} 0 & 0 & \rho_{m}Vg \end{bmatrix}^{T} \qquad (3.3)$$

where, g is gravitational acceleration,  $\rho_m$  is the density of the surrounding medium and V is the volume of the surrounding medium displaced by the submerged particle.

It is clear from Eqn. (3.3) that the gravitational force act in the negative z-direction while the force due to buoyancy act in the positive z-direction.

### 3.2.2 Viscous Damping

To calculate the drag force acting on the particle, it is important to consider the characteristics of the surrounding medium. Knudsen number, Kn, can be used here to determine whether the fluid should be modeled as a continuum or as a discrete molecule system. The Knudsen number is defined as the ratio of the mean free path of the molecule of the surrounding medium, water here, and the characteristic length of the system under observation. The system under consideration has three different particle diameters 500nm, 990nm and 1950nm. The mean free path of the molecule of the surrounding medium is the same,  $\lambda_{mfp} = 0.3$ nm, for all three cases. This yields the Knudsen number of 0.0006 for the 500nm particle case. Since Kn = 0.0006, is less than 0.001, the surrounding medium can be considered as a continuum. Stokes' Law can be used to compute viscous drag force

$$\mathbf{F}_d = -\beta \dot{\mathbf{q}} = -6\pi \mu_m r \begin{bmatrix} \dot{q}_x & \dot{q}_y & \dot{q}_z \end{bmatrix}^T \tag{3.4}$$

where,  $\dot{q}_x$ ,  $\dot{q}_y$  and  $\dot{q}_z$  are the translational velocities of the particle in each direction,  $\mu_m$  is the dynamic viscosity of the fluid medium and r is the radius of the particle. It should be noted that the viscous drag acts opposite to the velocity of the particle.

#### 3.2.3 Laser Beam Force

Beam force calculation for optical trap varies based on the size of the particle, r, relative to the wavelength,  $\lambda$ , of light used to trap it. At the macroscopic scale,  $r \gg \lambda$ , calculations based on the Ray-optics regime[4] are used. On the contrary, at the microscopic scale,  $r \ll \lambda$ , calculations based on the Rayleigh regime[88] are

used. Calculating the beam force at the mesoscopic scale,  $r \approx \lambda$ , is mathematically the most challenging [50]. At this scale, the beam force can be calculated using the Generalized Lorentz-Mie theory (GLMT)[30, 65].

The total force from the laser beam is generally divided into two components. First is the scattering force,  $\mathbf{F}_{l_s}$ , which acts in the direction of the laser propagation. The second is the gradient force,  $\mathbf{F}_{l_g}$ , which acts in the direction of the laser intensity gradient. The most commonly used laser intensity profile is a Gaussian profile, also known as the lowest order Transverse Electromagnetic (TEM<sub>00</sub>) mode[101], which is defined as[96],

$$I_0(\rho) = \frac{2P_t}{\pi w^2} \exp\left(-\frac{2\rho^2}{w^2}\right) \tag{3.5}$$

where, w is the beam radius,  $P_t$  is the total beam power and  $\rho$  is the radial distance from the center of the beam.

In the Rayleigh regime, since the particle is extremely small compared to the wavelength of the laser, it is modeled as a dipole in the electromagnetic field of the laser beam. The scattering force from the laser is proportional to the light intensity at the location of the particle while the gradient force is proportional to the gradient of the light intensity around the particle.

$$\mathbf{F}_{l_s} = \frac{I_0 \sigma n_m}{c} \qquad \sigma = \frac{128\pi^5 r^6}{3\lambda^4} \left(\frac{m^2 - 1}{m^2 + 1}\right)^2$$

$$\mathbf{F}_{l_g} = \frac{2\pi\alpha}{cn_m^2} \nabla I_0 \qquad \alpha = n_m^2 r^3 \left(\frac{m^2 - 1}{m^2 + 2}\right)$$
(3.6)

where,  $\sigma$  is known as the scattering cross section,  $\alpha$  is known as the polarizability of the particle, c is the speed of light in vacuum,  $n_m$  is the refractive index for the medium, and m is the ratio of the refractive index for the particle  $(n_p)$  to the refractive index for the medium.

In the Ray-optics regime, the laser beam is discretized into several rays, and the approach of geometric optics is used to calculate the forces exerted by each ray on the particle. The contribution of each ray to the total scattering and gradient force on the particle is [4]

$$\mathbf{F}_{l_s} = \frac{n_m P}{c} \left[ 1 + R \cos 2\theta - \frac{T^2 \left[ \cos(2\theta - 2\phi) + R \cos 2\theta \right]}{1 + R^2 + 2R \cos 2\phi} \right]$$

$$\mathbf{F}_{l_g} = \frac{n_m P}{c} \left[ R \sin 2\theta - \frac{T^2 \left[ \sin(2\theta - 2\phi) + R \sin 2\theta \right]}{1 + R^2 + 2R \cos 2\phi} \right]$$
(3.7)

where, P is the power of the ray under consideration,  $\theta$  and  $\phi$  are the angle of incidence and the angle of refraction respectively, and R and T are the Fresnel Refraction and the Fresnel Transmission coefficients.

The third and more generalized approach known as the Generalized Lorenz-Mie theory (GLMT)[30, 65] computes the laser force on the particle using the conservation law of electromagnetic wave momentum. The total beam force, including both scattering and gradient, is computed by evaluating the flux of the Maxwell stress tensor through any virtual surface enclosing the object. The equation for this force is given as,

$$\mathbf{F}_l = \oint_S T_{ij} \cdot n_j dS \tag{3.8}$$

where,  $n_j$  is the unit vector normal to the enclosing surface, S, and  $T_{ij}$  is the Maxwell stress tensor. With recent advances in this field, it is easy to calculate the beam forces for both smaller and larger diameter particles[100]. However, it also comes with large computational costs exponentially increasing with the difference in particle size and wavelength.

The simulations and experiments provided in this work are done on particles with diameters of 500nm, 990nm and 1950nm. The wavelength of the laser used is 1064nm. Note that all three particle diameters are very close to the wavelength of

the laser. Thus, this work uses a MATLAB toolbox[64, 53] for computing the laser beam forces using GLMT.

#### 3.2.4 Brownian Motion Force

Stochastic forces are modeled to represent the thermal noise and interactions between modeled and non-modeled bodies. These forces essentially represent the Brownian motion. It is modeled as random forces acting at the mass center of the particle. They are implemented as Gaussian White noise. The random forces are defined as

$$\mathbf{F}_{s} = \sqrt{2k_{B}T\beta}\boldsymbol{\eta}(t) = \sqrt{2k_{B}T\beta} \begin{bmatrix} \eta_{x}(t) & \eta_{y}(t) & \eta_{z}(t) \end{bmatrix}^{T}$$
(3.9)

where, each component of random force,  $\eta_i(t)$ , is an independent random Gaussian process where for all t and t'[80]

$$E\left[\eta_i(t)\right] = 0 \qquad E\left[\eta_i(t)\eta_i(t')\right] = \delta(t - t') \tag{3.10}$$

The above equations imply that the mean value,  $E[\eta_i(t)]$ , of the forces associated with the Brownian motion is zero and the values at two distinct times, t and t', don't correlate,  $E[\eta_i(t)\eta_i(t')]$ , with each other.

## 3.2.5 Complete Model

Substituting the equations for all the forces into the equation of motion for the particle (3.1) yields

$$m\ddot{\mathbf{q}} = \underbrace{m\mathbf{g}}_{\mathbf{F}_a} - \underbrace{\rho_m V \mathbf{g}}_{\mathbf{F}_b} - \underbrace{6\pi\mu_m r\dot{\mathbf{q}}}_{\mathbf{F}_d = \beta\dot{\mathbf{q}}} - \underbrace{K\mathbf{q}}_{\mathbf{F}_I} + \underbrace{\sqrt{2k_B T\beta}\boldsymbol{\eta}(t)}_{\mathbf{F}_a}$$
(3.11)

Note that the laser force is added here as a linear spring using a stiffness matrix K. This is true when the particle is in a close vicinity of the focal point[62], regardless of the approach used for calculating the laser beam force. This approximation helps with some simple analysis performed in later sections. However, the simulations are still performed by calculating the laser force using GLMT.

# 3.3 Model Scaling Approach

A quick analysis of Eqn. (3.11) shows that a  $2\mu$ m diameter glass particle will have the mass of  $m = 8.3776 \times 10^{-15} \ kg$  and the damping coefficient of  $\beta = 1.8887 \times 10^{-8} \ \frac{Ns}{m}$ . This imbalance between mass and drag coefficient,  $\mathcal{O}(10^{-7})$ , makes the system stiff. The proposed method tackles the stiffness problem by first determining a small number from the model, which in this case is  $m/\beta = 4.4356 \times 10^{-7} \ s$ . Rewriting Eqn. (3.11) yields,

$$\mathbf{0} = \varepsilon (1 s) \ddot{\mathbf{q}} - \frac{1}{\beta} \mathbf{F} + \dot{\mathbf{q}} = \varepsilon \ddot{\mathbf{q}} - \frac{1}{\beta} \mathbf{F} + \dot{\mathbf{q}}$$
(3.12)

where  $\varepsilon = 4.4356 \times 10^{-7}$  is unitless and **F** is a sum of all the forces except the drag force. This small parameter  $\varepsilon$  is used to introduce the slower time scales as

$$T_0 = \varepsilon^0 t$$
  $T_1 = \varepsilon^1 t$   $T_2 = \varepsilon^2 t$  ...  $T_n = \varepsilon^n t$  (3.13)

The time derivatives  $\dot{\mathbf{q}}$  and  $\ddot{\mathbf{q}}$  can now be expanded into an asymptotic series as

$$\dot{\mathbf{q}} = \frac{d\mathbf{q}}{dt} = \varepsilon^0 \frac{\partial \mathbf{q}}{\partial T_0} + \varepsilon^1 \frac{\partial \mathbf{q}}{\partial T_1} + \varepsilon^2 \frac{\partial \mathbf{q}}{\partial T_2} + \dots$$
(3.14)

and

$$\ddot{\mathbf{q}} = \frac{d^2 \mathbf{q}}{dt^2} = \sum_{i=0}^{\infty} \sum_{j=0}^{\infty} \varepsilon^i \varepsilon^j \frac{\partial^2 \mathbf{q}}{\partial T_i \cdot \partial T_j}$$
(3.15)

Substituting these expansions into Eqn. (3.12) and rearranging the terms in the increasing order of  $\varepsilon$  yields

$$\mathbf{0} = \varepsilon^0 \left( \frac{\partial \mathbf{q}}{\partial T_0} - \frac{\mathbf{F}(\mathbf{q}, t)}{\beta} \right) + \varepsilon^1 \left( \frac{\partial^2 \bar{\mathbf{q}}}{\partial T_0^2} + \frac{\partial \mathbf{q}}{\partial T_1} \right) + \dots$$
 (3.16)

Note that the first term on the right side of Eqn. (3.16) contains all generalized active forces. The second term contains generalized inertia forces and the first set of higher-order terms. Considering how  $\varepsilon$  is defined, the difference between  $\varepsilon^0 = 1$  and  $\varepsilon^1 = 4.4356 \times 10^{-7}$  is fairly large, and so, it is necessary for the first term to largely cancel if the right side of Eqn. (3.16) is equal to zero. From the standpoint of multi-body dynamics, if forces cancel each other, they do no work and produce no motion. Such forces can thus be omitted from equations of motion.

The scaling of the generalized active forces is achieved by decomposing the first term of Eqn. (3.16) into small and large parts as

$$\varepsilon^{0} \left( \frac{\partial \mathbf{q}}{\partial T_{0}} - \frac{\mathbf{F}(\mathbf{q}, t)}{\beta} \right) = (a_{1} + a_{2}) \left( \frac{\partial \mathbf{q}}{\partial T_{0}} - \frac{\mathbf{F}(\mathbf{q}, t)}{\beta} \right)$$
(3.17)

where,  $a_1 + a_2 = \varepsilon^0 = 1$  and  $a_1 \gg a_2$ . Substituting Eqn. (3.17) back into Eqn. (3.16) yields

$$\mathbf{0} = a_1 \left( \frac{\partial \mathbf{q}}{\partial T_0} - \frac{\mathbf{F}(\mathbf{q}, t)}{\beta} \right) + a_2 \left( \frac{\partial \mathbf{q}}{\partial T_0} - \frac{\mathbf{F}(\mathbf{q}, t)}{\beta} \right) + \varepsilon^1 \left( m \frac{\partial^2 \bar{\mathbf{q}}}{\partial T_0^2} + \frac{\partial \mathbf{q}}{\partial T_1} \right) + \dots$$
(3.18)

As discussed, the assumption here is that the large part of generalized active forces  $\Gamma(\mathbf{q}, \dot{\mathbf{q}}, t)$  cancel to the extent that it can be removed from Eqn. (3.18), yielding a second-order model of the form

$$\mathbf{0} = a_2 \left( \frac{\partial \mathbf{q}}{\partial T_0} - \frac{\mathbf{F}(\mathbf{q}, t)}{\beta} \right) + \varepsilon^1 \left( \frac{\partial^2 \bar{\mathbf{q}}}{\partial T_0^2} + \frac{\partial \mathbf{q}}{\partial T_1} \right) + \dots$$
 (3.19)

$$\therefore \mathbf{0} = \beta \varepsilon \ddot{\mathbf{q}} - a_2 \mathbf{F} (\mathbf{q}, t) + a_2 \beta \dot{\mathbf{q}}$$
(3.20)

$$\therefore m(\mathbf{q})\ddot{\mathbf{q}} + a_2\beta\dot{\mathbf{q}} = a_2\mathbf{F}(\mathbf{q}, t)$$
(3.21)

Note that the differential equation above is similar to Eqn. (3.12) except here the external forces are scaled by a scalar,  $a_2$ .

Quantity	Definition	Units	Value
$\overline{T}$	Temperature	K	293.15
$k_B$	Boltzmann Constant	$kg \cdot m^2/s^2 \cdot K$	$1.38 \times 10^{-23}$
$ ho_s$	Particle density	${ m kg/m^3}$	2000
$r_s$	Particle radius	m	$1.00 \times 10^{-6}$
g	Gravitational Acceleration	$\mathrm{m/s^2}$	9.80665
$ ho_m$	Density of fluid	${ m kg/m^3}$	998.2071
$\mu_m$	Dynamic viscosity of fluid	$kg/m \cdot s$	0.001002
$n_s$	Refractive index of particle	Unitless	1.45
$n_m$	Refractive index of fluid	Unitless	1.33
c	Speed of light in a vacuum	m/s	299792458
NA	Numerical aperture	Unitless	1.2
$\lambda$	Laser wavelength	m	$1.06 \times 10^{-6}$
$P_0$	Laser Beam Power	$\mathrm{kg}\cdot\mathrm{m}^2/\mathrm{s}^2$	$3.00 \times 10^{-1}$
$\mathbf{q}$	Initial position	m	$[-0.16 \ 0.35 \ 0.0] \times 10^{-6}$
$\dot{\mathbf{q}}$	Initial velocity	m/s	$[0.0 \ 0.0 \ 0.0] \times 10^{-6}$

Table 3.1: System parameters and initial conditions used for the simulation

### 3.4 Simulation and Experimental Setup

The scaled model for a particle in an optical tweezer, developed in the previous section, should not be integrated using an ODE solver. This is because it has a stochastic term in the form of the Brownian Motion force,  $\mathbf{F}_s$ . Here, the scaled system of differential equations, Eqn. (3.21), is solved using five different SDE solvers available in Julia programming language's package DifferentialEquation.jl. Moreover, the statistical nature of the SDEs implies that the solution of these SDEs will have a mean and variance. There are two possible approaches to achieve such a solution. One is by running numerous simulations and then averaging the results to obtain the mean and the variance[49]. An alternative approach to this is to use the Fokker-Planck equation[74] which operates in the probability space. The Fokker-Planck equation is a partial differential equation (PDE) that, when solved, results in the probability density function of the particle's position over time. The solution may require the use of analytical or numerical approaches[103] to solving PDEs depending

on the external forces acting on the system. Here, the first approach is used. To achieve good average and variance values, each solver computes 10000 trajectories with the same initial condition for both scaled and unscaled systems. The initial condition and the system parameters are given in Table 3.1. The simulations were run on an AMD Ryzen 5 5600X CPU with 32GB RAM. A sample of the code to run sich simulations is available in Appn. F.

The experimental data was obtained by optical trapping of a  $2\mu$ m diameter silica particle. The experiments were conducted by Dr. Peter Goodwin at the Center for Integrated Nanotechnologies (CINT) at the Los Alamos National Laboratory (LANL). A simplified version of the experimental setup used at CINT to obtain the experimental data is shown in Fig. 3.3. The detection laser, operating at 670nm, is used to illuminate the particle and capture its position using a Quadrant Photodiode Detector (QPD). Filtered green light from an incandescent lamp was used to illuminate the field of view of a camera that simultaneously recorded the trajectory of the particle falling into the optical trap. It should be noted that these devices can only accurately measure the particle's position in the focal plane, which is perpendicular to the direction of laser propagation at the sample stage. After a particle is trapped, the trapping laser is occluded, by electronic modulation of the trapping laser, to allow the particle to diffuse by Brownian motion. The occlusion is removed after approximately 100 ms and the particle returns to the focal point. This unoccluded portion of the particle's trajectory is compared with the simulation.

#### 3.5 Results and discussion

Figure 3.4 plots simulation data against the experimental data. Here, a single simulated trajectory from each simulation is compared with the experimental data using different scaling factors,  $a_2 = \{1, 0.1, 0.3, 0.01\}$ . The 0 value on the displacement

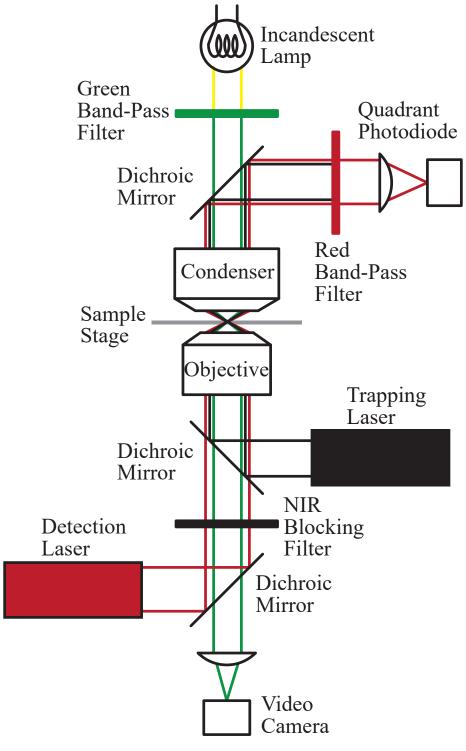


Figure 3.3: Experimental setup of the Optical Tweezer at the Los Alamos National Laboratory

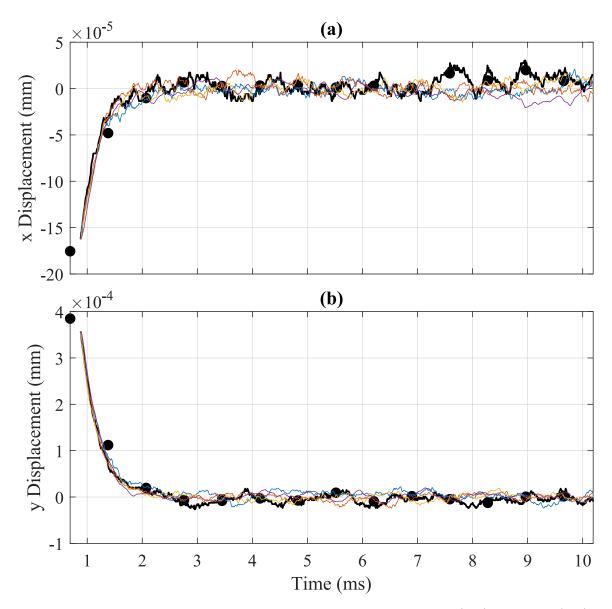


Figure 3.4: Simulation result at different scaling factors,  $a_2 = 1(-)$ ,  $a_2 = 0.3(-)$ ,  $a_2 = 0.1(-)$ ,  $a_2 = 0.01(-)$ , and experimental data from the camera( $\bullet$ ) and QPD(-)

axis of both Figs. 3.4a and 3.4b represent the focal point of the optical tweezer. Note that regardless of the scaling factor, the overall trajectory from each simulation matches with the experimental data. It can also be observed that the high-frequency detail in the particle's trajectory reduces as the scaling factor is decreased.

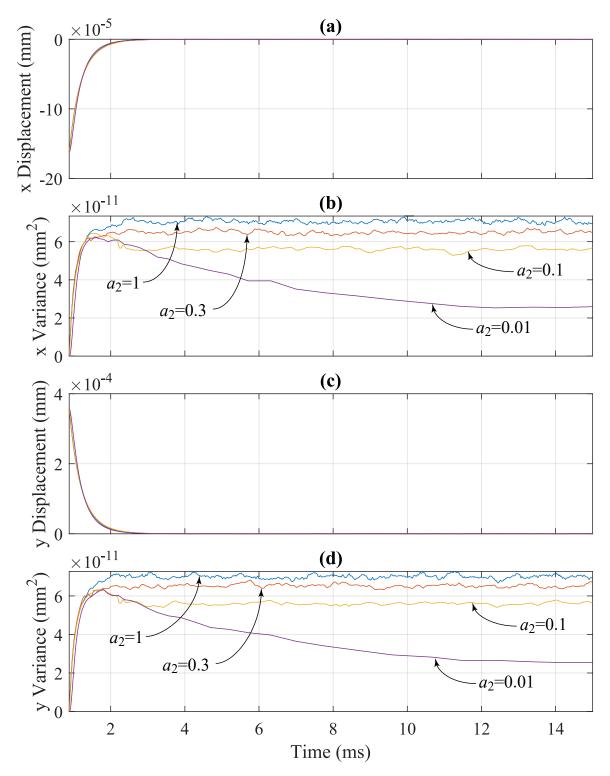


Figure 3.5: Statistics of the simulation result at different scaling factors

A comparison of different scaling factors is shown in Fig. 3.5. This comparison provides a statistical summary of 10,000 simulations. Note that as the system is scaled more, the mean trajectory and variance deviate from the unscaled system. However, this deviation is more pronounced in the variance values than in the mean trajectory. The computational time required for each solver for the different cases is given in Table 3.2. Although the SDE solvers are quite fast on their own, it is clear that the scaled system requires much less computational time than the unscaled system. However, it is important to choose a scaling factor carefully so that the final solution does not deviate much from the unscaled system. Also, note that some solvers did not converge (DNC) to a solution at a smaller scaling factor. The choice of an appropriate scaling factor is dependent on the PSD analysis which, is discussed in the next chapter.

Method		Scal	ling Factor	
Method	1	0.3	0.1	0.01
ImplicitEM	163.42	79.38(51.4%)	47.54(70.9%)	24.48(85.0%)
Implicit Euler Heun	184.55	80.46(56.4%)	47.74(74.1%)	25.41(86.2%)
ImplicitRKMil	131.95	58.78(55.4%)	35.41(73.1%)	18.03(86.3%)
ISSEM	204.87	96.37(52.9%)	55.41(72.9%)	DNC
ISSEulerHeun	204.27	97.36(52.3%)	55.61(72.7%)	DNC

Table 3.2: Computational time in seconds, and percent decrease in computation time as compared to the unscaled system for different adaptive SDE solvers with different scaling factors

#### 3.6 Conclusion

A novel scaling approach based on the method of multiple scales was discussed in this work, which reduces the computational time required to solve stiff stochastic differential equations using modern adaptive SDE solvers. An example of a  $2\mu$ m

silica particle in an optical tweezer was used to present the effectiveness of the proposed approach. It was also shown that even though the scaling approach changes the differential equations of the system and reduces the high-frequency oscillation, the solution remains in the close vicinity of the experimental data. The proposed approach required 82.47sec of computation time on average for the scaling factor of 0.3, which is more than twice as fast as the computation time required to solve the unscaled system.

## CHAPTER 4

#### UNDERDAMPED MOTION AND PSD ANALYSIS

#### 4.1 Introduction

The model developed in the last chapter includes forces that contribute the most to the behavior of a particle in an optical tweezer. However, the underdamped behavior observed in the old experimental data[34], presented in Section 1.4, could only be replicated using the scaled model. Furthermore, current literature [72, 63, 62, 70] also suggests that the conventional model will not predict the underdamped motion observed in the experimental data. This chapter provides a mathematical analysis and discussion of why this is the case and suggests a new experimental investigation for further analysis of this phenomenon.

A Power Spectral Density (PSD) analysis[8, 9] is commonly used for calibrating the optical tweezers, i.e. computing the trap stiffness. This chapter presents a theoretical background on the PSD analysis and compares the PSD of the scaled and the unscaled system. This comparison also showed a discrepancy between the scaled and unscaled model similar to the particle trajectories[43]. The simulated PSD of the scaled model provides a different profile than previously observed[44, 90, 52]. However, the lack of data from the old experiment increases the need for new experiments to compare the PSD profile. This chapter provides a discussion on the comparison and defines the requirements for future experiments.

This chapter also discusses an approach to take advantage of the PSD analysis to estimate the value of the scaling factor without the use of experimental data. However, this approach relies on keeping the PSD profile close to the one given by

the unscaled model. Thus, the underdamped behavior is not shown by this approach unless the unscaled model shows the underdamped behavior.

# 4.2 Investigation of the underdamped motion

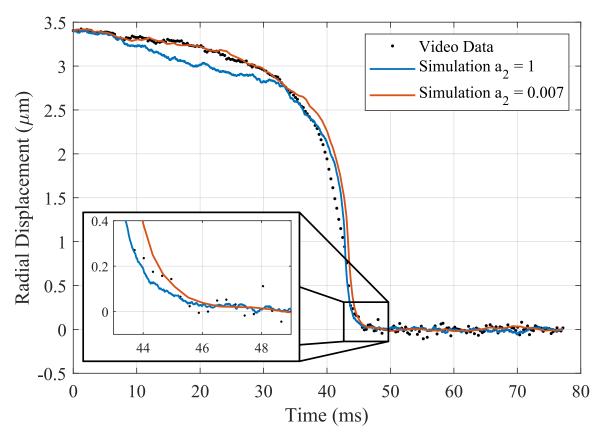


Figure 4.1: Comparison of experimental, simulated unscaled, and simulated scaled trajectory of 1950nm diameter particle

A comparison of the experimental data with the simulated trajectory from the unscaled model and the scaled model for 1950nm, 990nm and 500nm diameters particles is shown in Figs. 4.1, 4.2 and 4.3 respectively. Note that there is an observable overshoot of  $\approx$  100nm in the experimental trajectory of the 500nm diameter particle. Such an overshoot is not visible in the trajectory of the 1950nm diameter particle.

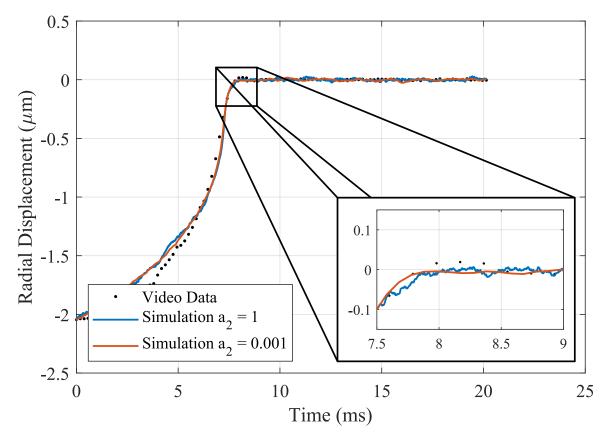


Figure 4.2: Comparison of experimental, simulated unscaled, and simulated scaled trajectory of 990nm diameter particle

Moreover, the simulated trajectory of the unscaled model does not have a noticeable overshoot near the focal point for any particle size.

The lack of underdamped motion in the unscaled model can be explained by analyzing a simplified version of the particle's EOMs given in Eqn. (3.11). Note that Eqn. (3.11) is similar to a spring-mass-damper system with a step input if the Brownian motion forces are ignored. Thus, a rearranged version of Eqn. (3.11) can be given as

$$m\ddot{\mathbf{q}} + 6\pi\mu_m r\dot{\mathbf{q}} + K\mathbf{q} = (m - \rho_m V)\mathbf{g}$$
(4.1)

Assuming that there is a  $1.95\mu\mathrm{m}$  diameter polystyrene ( $\rho = 1060kg/m^3$ ) particle in the optical trap surrounded by water, the mass of the particle would be  $m \approx 4.1154 \times$ 

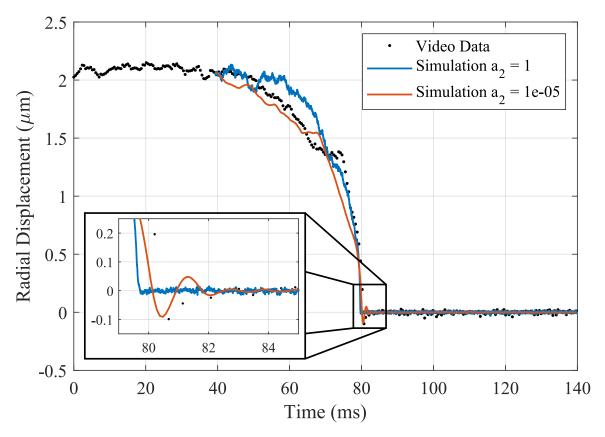


Figure 4.3: Comparison of experimental, simulated unscaled, and simulated scaled trajectory of 500nm diameter particle

 $10^{-15}kg$  and the damping coefficient would be  $\beta=1.8415\times 10^{-8}N\cdot s/m$ . These values can help us estimate the trap stiffness needed to achieve the underdamped behavior using the equation of damping ratio, given as

$$\zeta = \frac{\beta}{2\sqrt{km}} \le 1\tag{4.2}$$

$$\therefore k \ge \frac{\beta^2}{4m} = 2.0601 \times 10^{-2} N/m = 2.0601 \times 10^4 \text{pN/}\mu\text{m}$$
 (4.3)

The calculation above shows that the trap stiffness must be larger than  $2.0601 \times 10^4 \text{pN}/\mu\text{m}$  for a  $1.95\mu\text{m}$  diameter particle to show a visible underdamped behavior. This situation becomes worse as the particle size reduces. For instance, the trap stiffness will have to be at least  $8.0342 \times 10^4 \text{pN}/\mu\text{m}$  for a 500nm polystyrene particle

to show an underdamped behavior. The stiffness achieved by an optical tweezer for such an experiment is typically limited to  $1000 \text{pN}/\mu\text{m}$  [75, 87, 59, 79]. Thus, it is clear that the unscaled model should have an overdamped response near the focal point as per this theoretical analysis.

A similar analysis can be performed for the scaled model, Eqn. (3.21). The rearranged scaled EOMs for a particle can be given as

$$m\ddot{\mathbf{q}} + a_2 6\pi \mu_m r\dot{\mathbf{q}} + a_2 K\mathbf{q} = a_2(m - \rho_m V)\mathbf{g}$$
(4.4)

Here, the value of the scaling factor,  $a_2$ , is chosen to match the simulated trajectory with the experimental data. Table 4.1 gives the scaling factors for different particle sizes. Values for these scaling factors can be used to estimate the trap stiffness required, from Eqn. (4.2), for the particles to show the underdamped motion. Table 4.1 also shows these trap stiffnesses, the trap stiffness required for the unscaled model to show the underdamped motion and the estimated experimental trap stiffnesses [42]. Note that the stiffness required to show an underdamped motion is much lower in the case of the scaled model as compared to the unscaled model.

Particle Diameter (nm)	1950nm	990nm	500nm
Scaling Factor	$7 \times 10^{-3}$	$1 \times 10^{-3}$	$1 \times 10^{-5}$
Experimental Stiffness $(pN/\mu m)$	61.54	133.34	200
Unscaled model Stiffness (pN/ $\mu$ m) @ $\zeta = 1$	$2.0601 \times 10^4$	$4.0577 \times 10^{4}$	$8.0342 \times 10^4$
Scaled model Stiffness (pN/ $\mu$ m) @ $\zeta = 1$	$1.4420 \times 10^{2}$	$4.0577 \times 10^{1}$	$8.0342 \times 10^{-1}$

Table 4.1: Trap stiffness comparison between the experiment, unscaled model and scaled model for underdamped motion of particles with different sizes

Another version of such analysis can be presented from a fluid dynamics perspective. The combination of the particle sizes and the fluid environment of the optical trapping experiment yields a situation that can be characterized by a low particle Reynolds number ( $Re_p < 1$ ). The  $Re_p$  is meant to characterize the properties of the fluid based on the motion of an object moving through it. Particularly, it characterizes the fluid viscosity in relation to the terminal velocity of an object moving through the still fluid. The  $Re_p$  is defined as,

$$Re_{p} = \frac{\rho_{m}sL}{\mu_{m}} = \frac{sL}{\nu_{m}} = \frac{Inertial Forces}{Viscous Forces}$$
(4.5)

where,  $\rho_m$  is the fluid's density, s is the maximum relative velocity between an object and the fluid, L is a characteristic length (the diameter of the particle here),  $\mu_m$  is the dynamic viscosity of the fluid, and  $\nu_m$  is the kinematic viscosity of the fluid. If the fluid is not moving or still, s is the object's terminal velocity. The Re<sub>p</sub> is interpreted as representing the relative importance between inertial and viscous forces. Thus, a small Reynolds number suggests that the inertia forces would be smaller than the viscous damping. The Re<sub>p</sub> for all of the experiments are small, Re<sub>p</sub> <  $10^{-2}$ , meaning that all the particles should show an overdamped motion.

The Re<sub>p</sub> over time for the differently sized particles are shown in Figs. 4.4 through 4.6. The Re<sub>p</sub> for the 990nm particle is smaller than or equal to  $1 \times 10^{-3}$ , see Fig. 4.5. These small values of the Re<sub>p</sub> should indicate overdamped motion, but the previous experimental results contradict this conclusion. Even more telling are the results for the 500nm particle in Fig. 4.6 where Re<sub>p</sub>  $\leq 3 \times 10^{-4}$ . This should indicate an even greater inclination toward the overdamped motion of the 500nm particle, similar to what was discussed through the damping ratio calculation near Eqn. (4.2). However, the previous experimental data in Fig. 4.3 indicate just the opposite, a greater inclination toward underdamped motion. This is a significant contradiction for the predictions of a low Re<sub>p</sub>.

The discrepancy between the experimental data and the trajectories from the unscaled model suggests that the model is either missing some forces or the force

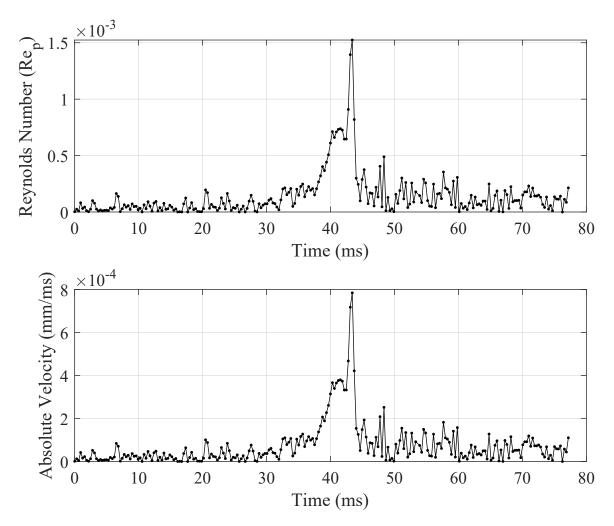


Figure 4.4: Particle Reynolds number  $(Re_p)$  and absolute velocity for 1950nm diameter particle

models used are not accurate. The match achieved between the simulation and the experiment by the use of the scaled model strengthens the hypothesis that the force models used for damping, laser beam or both might be inaccurate. However, it was difficult to pinpoint the source of this discrepancy due to the low time resolution and the lack of repeatable experimental data. Thus, it was necessary to do the experiments again to collect new high-resolution, reliable and repeatable data.

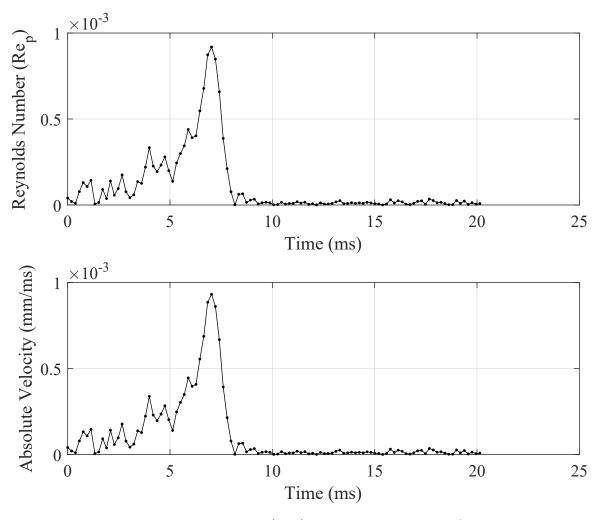


Figure 4.5: Particle Reynolds number  $(Re_p)$  and absolute velocity for 990nm diameter particle

# 4.3 PSD Analysis

A particle trapped at the focal point of an optical trap has different Brownian motion characteristics as compared to a particle freely moving in a fluid. This is mainly due to the external laser forces acting on the particle. The power spectral density analysis takes advantage of this property to estimate the stiffness of the optical

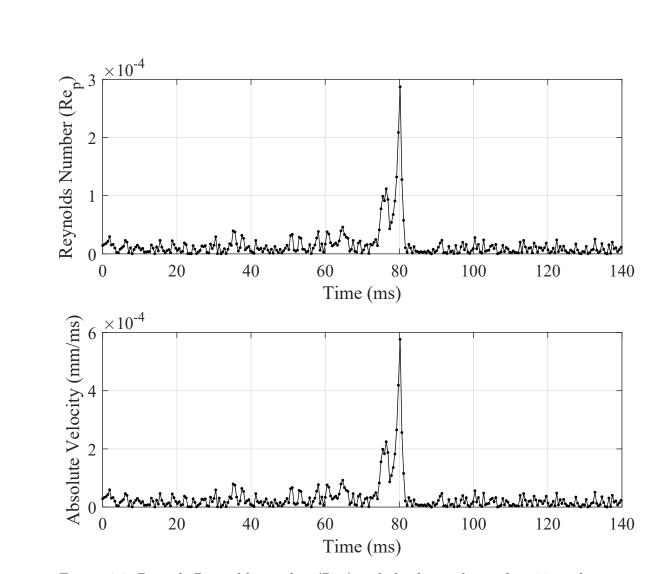


Figure 4.6: Particle Reynolds number ( $Re_p$ ) and absolute velocity for 500nm diameter particle

trap experimentally [8, 9]. For this analysis, let's consider the one-dimensional version of Eqn. (3.11) given as

$$m\ddot{q}_x + \beta\dot{q}_x + k_{xx}q_x = \eta(t)\sqrt{2k_BT\beta}$$
(4.6)

where, m is the mass of the particle,  $\beta$  is the Stokes drag coefficient,  $k_{xx}$  is the optical trap stiffness in x-direction,  $k_B$  is the Boltzmann constant, T is the system temperature and  $\eta(t)$  is a random Gaussian process as discussed in Section 3.2.4.

Note that the gravity and buoyancy forces are ignored here since those are smaller compared to the laser forces.

The power spectral density can be defined in three different equivalent ways. Here, the definition via finite Fourier transforms[7] is used since it will be directly applicable to both the experimental and simulated data. The power spectral density, for a recording of a particle's position over a time period  $T_s$ , can be given as

$$P(f) = \frac{2}{T_s} |\tilde{q}_x(f)|^2 \tag{4.7}$$

where  $\tilde{q}_x(f)$  is the Fourier transform of the particle's position in the x-direction. This can be computed by calculating the Fourier transform of Eqn. (4.6) as shown below,

$$m(i2\pi f)^2 \tilde{q}_x(f) + \beta(i2\pi f)\tilde{q}_x(f) + k_{xx}\tilde{q}_x(f) = \tilde{\eta}(f)\sqrt{2k_BT\beta}$$
(4.8)

$$\therefore \tilde{q}_x(f) = \frac{\sqrt{2k_B T \beta}}{k_{xx} - 4m\pi^2 f^2 + 2i\beta\pi f} \tilde{\eta}(f) = \frac{\sqrt{D/2\pi^2}}{f_c - 2\pi\varepsilon f^2 + if} \tilde{\eta}(f)$$
(4.9)

where,  $D = k_B T/\beta$  is known as Einstein's diffusion constant,  $f_c = k_{xx}/2\pi\beta$  is known as the corner frequency,  $\varepsilon = m/\beta$  as defined in Secc. 3.3 and  $\tilde{\eta}(f)$  is the Fourier transform of the Gaussian white noise. The absolute square value of  $\tilde{q}_x(f)$  can be computed by multiplying it with its complex conjugate,  $\tilde{q}_x^*(f)$ , as shown below

$$\left|\tilde{q}_x(f)\right|^2 = \frac{\sqrt{D/2\pi^2}}{f_c - 2\pi\varepsilon f^2 + if} \cdot \frac{\sqrt{D/2\pi^2}}{f_c - 2\pi\varepsilon f^2 - if} \tilde{\eta}(f)\tilde{\eta}^*(f) \tag{4.10}$$

$$\therefore |\tilde{q}_x(f)|^2 = \frac{D/2\pi^2}{f_c^2 + (1 - 4\pi\varepsilon f_c)f^2 + 4\pi^2\varepsilon^2 f^4} |\tilde{\eta}(f)|^2$$
 (4.11)

The power spectral density of Gaussian white noise is 1[7]. Thus, the value of  $|\tilde{\eta}(f)|^2$ , using the definition of the power spectral density, would be  $|\tilde{\eta}(f)|^2 = T_s/2$ . The power spectral density of the particle's position can now be defined as,

$$P(f) = \frac{2}{T_s} |\tilde{q}_x(f)|^2 = \frac{D/2\pi^2}{f_c^2 + (1 - 4\pi\varepsilon f_c) f^2 + 4\pi^2\varepsilon^2 f^4}$$
(4.12)

The trap stiffness from the experiment can thus be calculated by fitting the equation above to the PSD of the particle's position, measured using a high-speed camera or a quadrant photodiode. This allows the value of fc to be estimated, which directly relates to the trap stiffness. Equations similar to (4.12) including different models for fluid forces are available in [8, 9]. The MATLAB code for computing the PSD from experimental data is provided in Appn. J.2.1 and the code for fitting Eqn. (4.12) to the PSD generated from the experimental data is provided in Appn. J.2.5.

Note that the equation of the PSD of the particle's position, Eqn. (4.12), is for the unscaled model. Thus, for the scaled equation of motion,

$$m\ddot{q}_x + a_2\beta\dot{q}_x + a_2k_{xx}q_x = a_2\eta(t)\sqrt{2k_BT\beta}$$
 (4.13)

the equation of the PSD can be given as

$$P(f) = \frac{2}{T_s} |\tilde{q}_x(f)|^2 = \frac{D/2\pi^2}{f_c^2 + \left(1 - \frac{4\pi\varepsilon f_c}{a_2}\right) f^2 + \frac{4\pi^2\varepsilon^2}{a_2^2} f^4}$$
(4.14)

Figure 4.7 shows the equation above plotted with different scaling factors for  $2\mu$ m particle diameter. Note that amplitudes at higher frequencies decrease as the scaling factor is decreased. This was visible in the simulation plots discussed in Section 3.5. However, decreasing the scaling factor decreases the computation time as well, as was shown in Table 3.2, which provides the incentive to reduce the scaling factor.

# 4.4 Choosing a Scaling Factor

From the EOMs of the particle, note that the sampling rate should be on the order of the relaxation time, i.e.  $\tau = m/\beta = 4.4356 \times 10^{-7} \text{sec}$  for a  $2\mu\text{m}$  diameter particle, to observe the transients in the particle's velocity. The sampling rate for the experimental data collected using a camera is generally limited to  $\approx 25 \text{kHz}$ , or to  $\approx 100 \text{kHz}$  if a quadrant photodiode is used. Thus, the velocity transients will not be

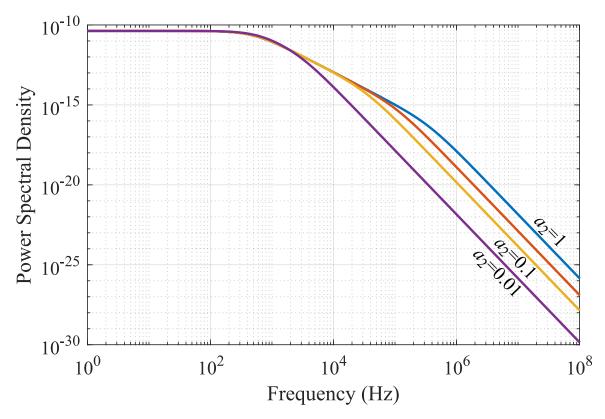


Figure 4.7: Theoretical PSD at different scaling factors for  $2\mu$ m particle (the unlabeled line is  $a_2 = 0.3$ )

visible in the experimental trajectories. This information can be used to choose an appropriate value of the scaling factor.

The trends shown in Figure 4.7, i.e. the effect of scaling the EOMs on the PSD of the particle's position, can be observed in the simulation data given in Figure 4.8 as well. To provide a baseline for comparison, the PSD from the theoretical Eqn. (4.12) for the unscaled system,  $a_2 = 1$ , and that of the experimental data are given in Figure 4.8a. Note that the data for experimental PSD has frequency values up to 50kHz only, which is half of the sampling frequency of the QPD at 100kHz. It can also be observed that the experimental PSD deviates from the theoretical plot at higher frequencies. This is attributed to the sensor noise. Rest of the subplots in Figure 4.8, i.e. Figure 4.8b to Figure 4.8e, compares the PSD from the theoretical

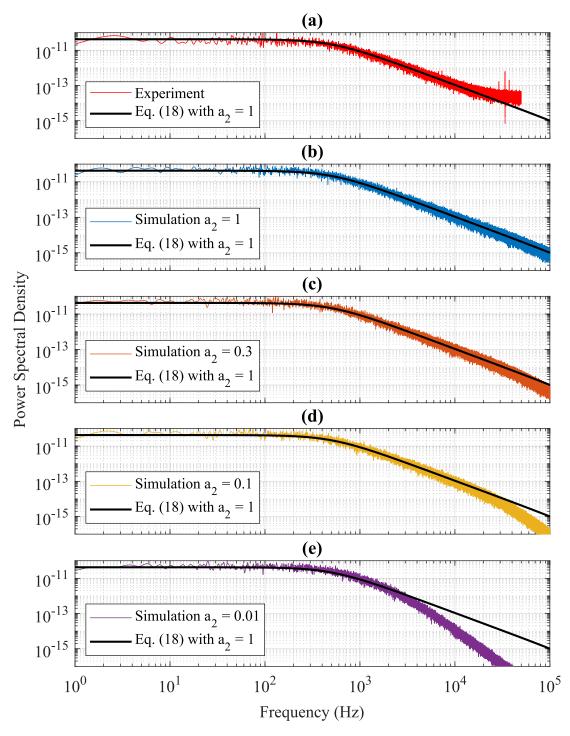


Figure 4.8: Comparison of theoretical PSD of unscaled system with experimental PSD and simulation PSD with different scaling factors

Eqn. (4.12) for the unscaled system and the PSD obtained from the system simulated with different scaling factors.

The PSD obtained from the simulation data for  $a_2 = 0.3$ , Figure 4.8c, provides the closest match to the theoretical PSD of the unscaled system throughout the entire frequency range,  $\approx 100 \text{kHz}$ . The PSD computed from simulation data loses high-frequency information as the scaling factor is decreased, as suggested in Figure 4.7. However, all the scaling factors yield similar corner frequency values,  $f_c$ , which is a direct measure of the trap stiffness.

Note that if the simulation data in Figure 4.8 is input to a curve fitting calculation [8, 9], it will attempt to match the unscaled PSD, Eqn. (4.14) with  $a_2 = 1$ , to the scaled data. This may yield vastly different values for the corner frequencies in each case. This wide variation can be reduced by limiting the data for the curve fit down to a smaller frequency range, as the scaling factor is decreased, where the discrepancy between scaled and unscaled system is less according to Figure 4.7. The result of this exercise is shown in Table 4.2 where the corner frequencies remain somewhat close to the unscaled one.

Table 4.2: Corner frequency value for systems with different scaling factors

Scaling Factor	Corner Frequency	Frequency Range
1	541.2Hz	1Hz - 20kHz
0.3	$525.6\mathrm{Hz}$	$1 \mathrm{Hz} - 20 \mathrm{kHz}$
0.1	$498.6 \mathrm{Hz}$	1 Hz - 10 kHz
0.01	$478.0 \mathrm{Hz}$	$1 \mathrm{Hz}$ - $2 \mathrm{kHz}$

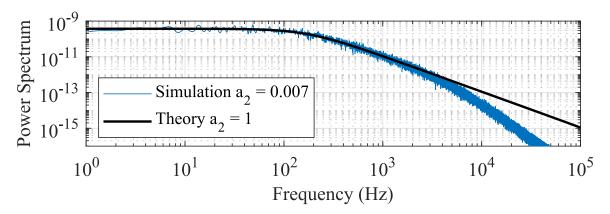


Figure 4.9: Theoretical PSD from the unscaled model vs Simulated PSD from the scaled model for 1950nm diameter particle

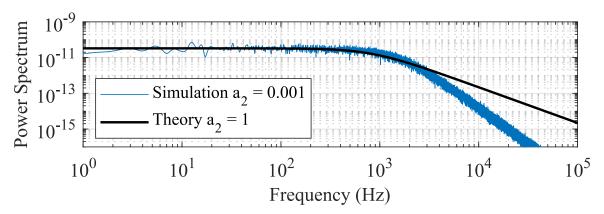


Figure 4.10: Theoretical PSD from the unscaled model vs Simulated PSD from the scaled model for 990nm diameter particle

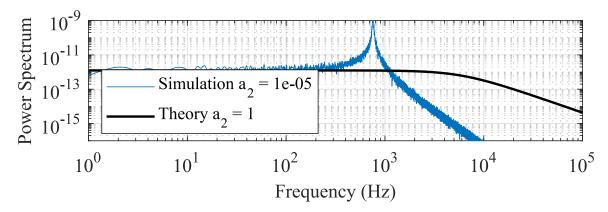


Figure 4.11: Theoretical PSD from the unscaled model vs Simulated PSD from the scaled model for 500nm diameter particle

# 4.5 PSD of particles from old experiments

The experimental PSD from the old experiments cannot be computed since the high temporal resolution data for a long time period is not available. Thus, the PSD for old experiments is estimated by first matching the trajectory of a simulation to the experimental trajectory and then using the corresponding scaling factor to compute the PSD with the help of the simulation. The estimated PSD for each particle size is provided in Figs. 4.9, 4.10 and 4.11. Note that for the  $1.95\mu m$  diameter particle, the estimated PSD matches well with the theoretical PSD for a wide range of frequencies. However, the estimated PSD of  $0.99\mu m$  and  $0.5\mu m$  diameter particles differ significantly from the theoretical PSD.

The PSD estimated for the old experimental data provides another parameter that can be compared with future experimental data. It can be noted that if the particle's motion shows an underdamped behavior, then the PSD would achieve a distinct peak before it starts to reduce at higher frequencies. Such a behavior has been observed experimentally in the past [28] where the cause of the peak was attributed to hydrodynamic memory. However, this study used melamine resin particles with diameters between 2 and 3  $\mu$ m and suspended them in acetone, which are different experimental parameters than being analyzed here.

The PSD profile of the scaled EOMs of the 500nm diameter particle also suggests that there might exist a discrepancy between the experimental conditions and the simulation model. Such discrepancies may include the hydrodynamic memory [28] discussed in the last paragraph, laser misalignment and aberrations [95, 76], thermal effects due to local heating [19] or other fluid effects [26]. Unfortunately, enough data is not available from old experiments for the analysis of these discrepancies. Thus, setting up a new experiment and collecting more data is necessary.

### 4.6 Suggestions on new Experimental Setup

Note that the possible discrepancies between the experiment and simulation model, discussed in the last section, can be classified into two broad categories corresponding to fluid or laser forces acting on the particle. Two experiments can be set up to isolate the effects of each major force and analyze them in detail.

One of the experimental setups would be an optical trap designed to minimize the effects of beam misalignment and aberrations along with a well-defined laser beam profile. This will achieve a closer match between the laser beam forces in the experiments and the simulation. Once achieved, trap-release-retrap experiments can be conducted where a trapped particle can be released and retrapped within a few milliseconds. These experiments will help investigate the underdamped motion observed in the trajectory of a 500nm particle being trapped. On the other hand, the Brownian motion of a trapped particle can be recorded for long time periods to perform PSD analysis and look for the peak in the PSD profile. This setup and new results are discussed in the next chapter.

The other experimental setup can be designed to isolate the effects of fluid forces on the particle. The eventual goal would be to make particle transport through an "L" shaped microchannel. If there are fluid forces that do not adhere to the standard Stokes drag model [24], then the particle's trajectory would be different downstream of the microchannel than expected. However, to observe this behavior, it will be important to track single particle trajectories from a known position upstream of the microchannel. This can be achieved by designing an electrical trap that relies on the dielectrophoretic (DEP) force[51] generated by a strong oscillating electric field. This experimental setup and the results are part of future work and thus will not be discussed here.

#### 4.7 Conclusion

The existence of the underdamped motion was investigated here for both the unscaled and scaled equations of motion. It was shown why the current unscaled model would never predict the underdamped motion for the experimental scenario being analyzed. A power spectral density analysis, commonly used to calibrate optical tweezers, was introduced, Eqn. (4.14) and Fig. 4.7, which concretely showed that decreasing the scaling factor of a stochastic differential equation model increases the loss of high-frequency content in the resulting motion. The idea of choosing an appropriate scaling factor, based on the desired preservation of high-frequency details in the PSD profile, was proposed. An example of a  $2\mu$ m silica particle in an optical tweezer was used to present the effectiveness of this idea. PSD profiles for the old experimental data were generated, where the scaling factor was chosen by matching the simulated and experimental particle trajectories. It was shown that there might exist a peak in the PSD profile of the particle that showed the underdamped motion.

Based on these analyses, possible discrepancies between the simulation model and the experiment were identified. Two experimental setups were suggested to isolate the effects of different forces acting on the particle so that the suspected underdamped motion could be analyzed in further detail.

# CHAPTER 5

#### EXPERIMENTAL EXPLORATION OF THE UNDERDAMPED MOTION

#### 5.1 Introduction

The new optical trapping setup developed to further analyze the suspected underdamped motion is discussed in this chapter. Note that the goal is to minimize the laser aberration in the optical trap. Another goal is to keep the experimental parameters, like the trap stiffness, particle material, NA of the objective, etc. similar to the previous experiment.

This chapter also discusses the experimental procedures that were followed. The simulation can compute the correct laser forces only if the laser beam profile, used in the simulation, matches the one in the experiment. The procedures provided here were designed to achieve the best laser beam alignment and profile measurement for every experiment.

The data collected from the experiment is huge and in the form of images. Thus, all the data needs to be compressed for better storage and processed to extract the position of the particle. This chapter discusses different techniques of data compression and image processing and also touches upon the advantages and disadvantages of each.

Finally, the new experimental data for  $2\mu m$ ,  $1\mu m$  and 500nm diameter particles is presented in this chapter. Data for both types of experiments, the Brownian motion of a trapped particle (referred to as the Brownian motion experiment) and the traprelease-retrap (referred to as the TRR experiment), is discussed here.

### 5.2 Experimental Setup

A simplified version of the optical tweezer platform along with the imaging setup is shown in Fig. 5.1. A continuous wave DPSS laser, Excelsior from Spectra-Physics Inc., beam with 1064nm wavelength and 800mW power was used to trap such particles. This laser was chosen because of its ability to produce an intensity profile that is close to Gaussian, which is commonly characterized by the beam quality factor  $(M^2)$  being close to 1 for  $TEM_{00}$  modes. The output beam from the laser unit has a diameter of  $0.45 \pm 0.05$ mm. However, note that a Gaussian function spans to  $\pm \infty$ . Thus, the beam diameter is defined as 1.7 times the full width at half maximum. This is discussed further in the next section.

A shutter was developed in-house to block the laser at desired times. The goal was to achieve low closing/opening timing. This was achieved by repurposing a dead hard disk drive (HDD). Hard drives contain a voice coil (actuator) that moves at the speed of  $\approx 2900^{\circ}$ /s. This actuator was powered through a DRV8871 DC Motor Driver Breakout Board, from Adafruit, operating at 12V supply. The shutter was created by drilling a hole in the HDD case and attaching a razor blade to the actuator arm that would cover the hole. This design was inspired by [56] and achieved 8ms of closing/opening time after proper tuning.

The  $0.45 \pm 0.05$ mm diameter beam from the laser was expanded to  $\approx 4.5$ mm using a 10X Galilean-type beam expander from Thorlabs. The output from the beam expander was passed through absorptive neutral density (ND) filters to reduce the laser power going toward the sample. The lower power beam is guided toward a dichroic mirror inside an inverted optical microscope, Nikon Eclipse E-800, via two 45° Broadband Dielectric Mirrors. The mirrors are placed on height-adjustable posts with 2D kinematic mounts. This setup allows four-dimensional, two position and two rotation, adjustments of the laser beam. After reflecting from the dichroic mirror, the

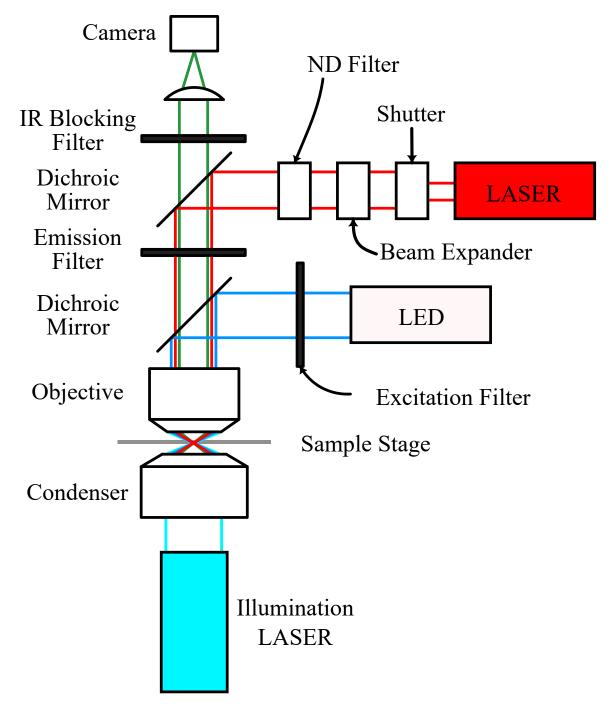


Figure 5.1: A simplified version of the experimental setup developed at the University of Texas at Arlington

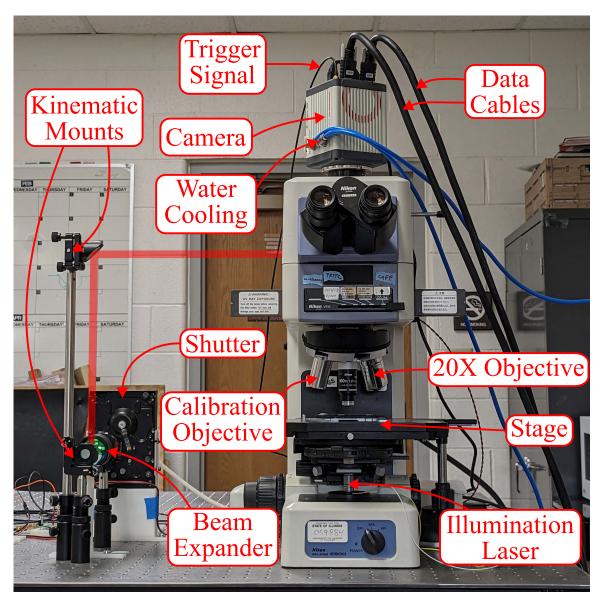


Figure 5.2: Front view of the experimental setup showing different components along with the laser path

beam enters at the back aperture of a 100x oil immersion objective, from Nikon[66], with a numerical aperture (NA) of 1.25.

The choice of the beam expander relies on the beam diameter at the output of the laser and the size of the back aperture of the objective lens. In this case, the back aperture of the objective lens is 7mm in diameter. This is important because if

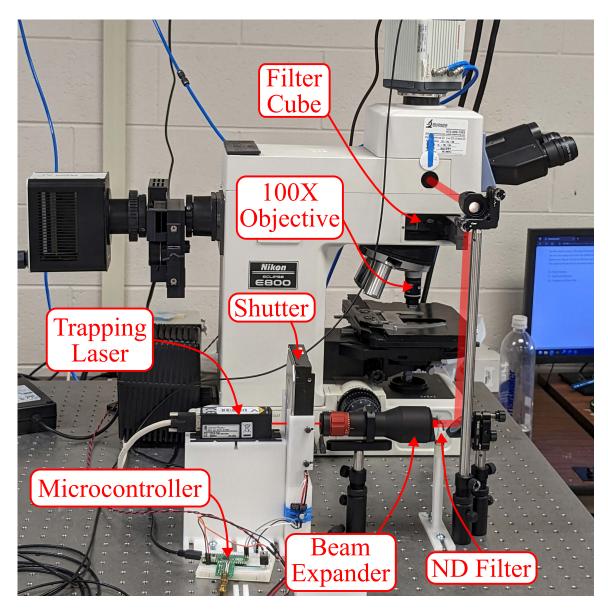


Figure 5.3: Side view of the experimental setup showing different components along with the laser path

the beam is larger than the objective back aperture, then the beam output from the objective will have an incomplete Gaussian profile. On the other hand, if the beam is smaller than the objective back aperture, then the high angle of divergence of the beam achievable, due to the high NA of the objective, will not be realized.

Samples were illuminated using a blue 3Watt LED light through a blue/green excitation-emission filter cube to achieve high contrast for particle tracking. However, the light from this LED was not dense enough to illuminate 500nm particles properly. This was tackled by illuminating the sample using a Cyan-colored (488nm wavelength) laser, GH04850B2G from Sharp, from the condenser side. Particle trajectories were captured with a high-speed digital camera, Hamamatsu Orca Flash 4. An infrared (IR) blocking filter was used to prevent the back-scattered laser light from reaching the camera.

The camera internally uses a Peltier device to actively cool the image sensor. A heat exchanger with a fan is present in the camera that dissipates the heat during normal operation. However, the vibrations from the fan were interfering with the PSD analysis. Thus, a water-cooling apparatus was used to cool the camera and prevent the onboard fan from running. The water cooling setup included a water pump with a small reservoir and a finned heat exchanger with a fan. Both of these components were located on a separate table from the optical table, RS4000 from Newport, on which the rest of the optical trapping setup was located.

To conduct the TRR experiments, it was important to have real-time control over the shutter, illumination system and camera recording. This syncing was achieved using a Raspberry Pi Pico microcontroller ( $\mu$ C). The program for the  $\mu$ C was designed to first close the shutter, then send a signal to the camera to trigger the recording and finally reopen the shutter. On top of controlling the timings for an experiment, the  $\mu$ C code was also designed to allow the user to control everything manually. The complete code of the  $\mu$ C is available in Appn. G. Frames recorded by the camera were sent to the host computer through a Camera Link Frame Grabber, FireBird 1XCLD-2PE8 from Active Silicon. The communication between the  $\mu$ C and the host computer was handled through a USB Serial interface. All the aspects of

communication with the camera and the  $\mu$ C combined were managed by a singular software that was developed in-house, provided in Appn. H.

# 5.3 Experimentation Procedures

Green fluorescent polystyrene particles with diameters of 500nm,  $1\mu$ m and  $2\mu$ m were used for the experiments. The particles were first diluted in distilled water. It was desirable to reduce the particle density in the solution to improve the chances of trapping singular particles. The solution was filled into a cavity created by sandwiching multiple layers of  $100\mu$ m thick double-sided tape between a glass slide and a cover slip as shown in Fig. 5.4. Precision coverslips with a thickness of  $170\mu$ m, recommended by Nikon for the objective[66], were used to minimize the amount of spherical aberration[27].

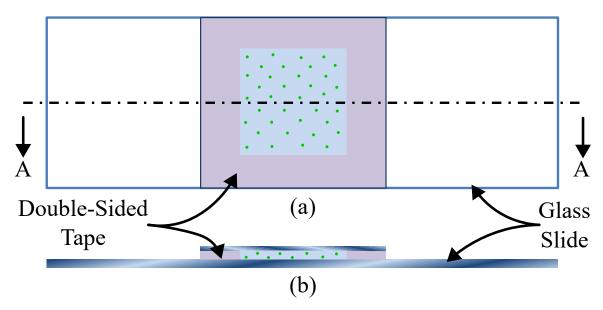


Figure 5.4: Sample created by filling the solution with particles into a cavity created by sandwiching double-sided tape between a glass slide and a cover slip. (a) Top view, (b) Cross-sectional view

The second important step during experiments was the alignment of the laser beam. The location and angle at which the beam enters the back aperture of the objective lens changes the trapping location and trap orientation[52, 62]. Thus, it was necessary to make sure that the beam entered at the center of the objective back aperture with an angle parallel to the longitudinal direction of the objective lens. A custom objective lens was developed to assist with the alignment of the laser beam entering the back aperture of the objective lens. This custom objective had acrylic plates at both ends with a cross-hair pattern engraved on them. If the resulting output of this custom objective showed only one cross-hair pattern then it meant that the beam was aligned with the longitudinal direction of the objective lens. The exploded rendering of this custom objective is shown in Fig. 5.5(a) and a sample of the output beam in an aligned state is shown in Fig. 5.5(b).

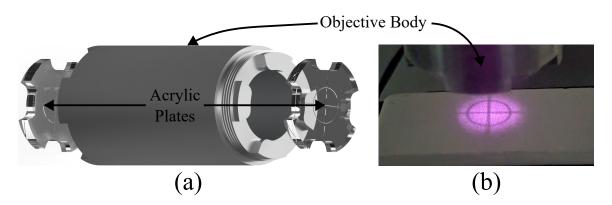


Figure 5.5: (a) Rendered image of the custom calibration objective, (b) Output of the custom objective when the laser is aligned well with the longitudinal direction of the objective

Another important aspect that determines the quality of the optical trap is the beam profile at the specimen plane. This was difficult to measure using a 100X oil immersion objective. Thus, a 20X air objective, from Nikon, with an NA of 0.75 was used along with a laser detection card. The surface of the detection card was brought

to focus, and the emitted green light was imaged revealing the relative intensity profile of the beam. However, the detection card surface is uneven which results in hot and cold spots in the image. This was resolved by capturing multiple images at different locations of the detection card and averaging the relative intensities. The resulting beam profile is shown in Fig. 5.6 along with a Gaussian curve fit. Matlab's lsqcurvefit was used to fit the relative intensity values to a 2D Gaussian function,

$$I(x,y) = B + A \cdot \exp\left(-\left(\frac{(x-x_0)^2}{2\sigma_x^2} + \frac{(y-y_0)^2}{2\sigma_y^2}\right)\right)$$
 (5.1)

where, B is the bias, A is the amplitude,  $(x_0, y_0)$  represent the location of the peak intensity and  $(\sigma_x, \sigma_y)$  are the standard deviations. Note that the beam intensity profile is close to being Gaussian. The full width at half maximum (FWHM) and the beam diameter, in Fig. 5.6, are defined as  $2.35482\sigma$  and  $4\sigma$  respectively [99]. The curve fit parameters after optimization were found to be

$$B = 0.0174 \quad x_0 = 502.8702 \text{px} \quad \sigma_x = 132.1023 \text{px}$$
 
$$A = 0.9053 \quad y_0 = 491.4815 \text{px} \quad \sigma_y = 135.4913 \text{px}$$
 (5.2)

This step also helped in calculating the approximate location of the trap in the image which will later be helpful with trapping the particles and maximizing the camera capturing rate.

The experiments can begin once the laser is aligned and the beam profile is measured. To conduct these experiments, the inner surface of the coverslip was first brought to focus. This was done by finding some particles that were stuck to the surface and did not show any Brownian motion. Experimental observations showed that trapping particles farther away from the coverslip, greater than  $\approx 80\mu m$ , was difficult. This effect can be attributed to the increase in the aberration as the distance from the coverslip increased. Thus, locating the coverslip surface helped find free

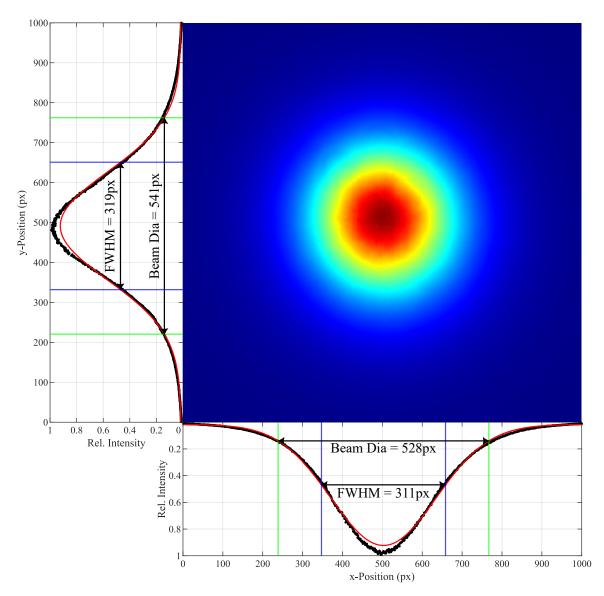


Figure 5.6: Laser beam profile at the sample plane in relative intensity units, captured using 20X objective and a laser detection card

particles that were close to it. Till this point, the trap is kept off by keeping the shutter closed.

A free particle is brought closer to the trap location in the image and the shutter is opened to trap it. The stage can be moved slowly to shift the trapped particle into a low particle density region ensuring that no other particle will get trapped during the data collection process. The region of interest in the image is changed to reduce the size of the images and achieve a high frame rate. Two different types of datasets were collected. One was the Brownian motion data of the trapped particle and the second was the data for the TRR experiment. The Brownian motion data of the trapped particle was collected for roughly 60 seconds while each TRR experiment was  $\approx 1$  second long with different shutter closing times for different particle sizes.

### 5.4 Data Processing

# 5.4.1 Data Compression

The data collected from experiments is first compressed before conducting further analysis. This step may not seem important for the research, however, it is very important for long-term storage since the amount of data generated is huge. The intensity value represented by each pixel of the image is 16 bits in depth, i.e. each pixel value can fall between 0 and 65535 and thus requires 2 bytes of storage. Considering the fact that the Brownian motion data for a  $1\mu$ m particle is collected at  $40\text{px} \times 64\text{px}$  image size and  $\approx 5100$  frames per second, one Brownian motion dataset will be  $40\text{px} \times 64\text{px} \times 2\text{bytes/px} \times 60\text{sec} \times 5100\text{frames/sec} \approx 1.46\text{GBytes}$  in size.

There exist multitudes of options for image and video compression; like converting the images to JPG or PNG format, or converting the video to MP4 or AVI format. However, these image/video formats perform lossy compression, i.e. some information is lost. The goal here was to attain the highest possible compression in lossless form. Two ways were encountered to achieve this, (1) Converting images into a video with Motion JPEG 2000 (MJ2) file format with lossless compression and (2) Accumulating all the images into a SuperFrame[37] image with JPEG 2000 (JP2)

file format with lossless compression. The MATLAB codes for both approaches are available in Appn. I.

Out of the two approaches, the SuperFrame approach gave better results, however, it was a bit difficult to implement compared to the first approach. Regardless, the Superframe approach achieved a compression ratio close to 3 while the video compression approach achieved a compression ratio of close to 2.3. However, during the implementation of the Superframe approach, a bug in MATLAB was encountered where a high image aspect ratio would cause the software to crash. Thus, the video compression approach was used in this work which made the 1.46GBytes of Brownian motion data for the  $1\mu$ m particle compress down to  $\approx 0.63$ GBytes.

### 5.4.2 Centroid Detection

Many techniques [29, 77, 57] exist that can help extract the position of a particle from a gray-scale image. This work uses two techniques, (1) Image binarization and region detection and (2) Region matching using cross-correlation [29]. Although each technique used here performs well on its own, every image processing algorithm has its pitfalls. Thus, the reliability of the data can be assessed by comparing the results of the two approaches used here.

The first approach, referred to as the 'imBinarize' approach from here on out, takes advantage of the fact that there is only one bright object in the image, i.e. the fluorescent particle. Figure 5.7 shows the steps taken to calculate the centroid of the particle. The first step is to use weiner2 filter from MATLAB to reduce the background noise. After this, the image can be binarized using imbinarize. All the connected regions in the binarized image can now be labeled using bwlabel. Finally, the regionprops function can be used to extract information regarding each detected

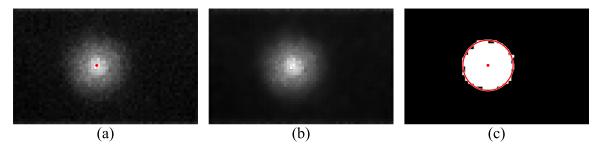


Figure 5.7: Visualization of image binarization and region detection (imBinarize) algorithm: (a) Image captured by the camera along with the computed particle centroid represented by a red dot (•), (b) Image filtered using weiner2 function in MATLAB, (c) Region in the image that represents the particle along with the computed centroid (•) and the circle perimeter (—)

region. The resulting binary image, particle centroid and the circle representing the particle are visualized in Fig. 5.7(c).

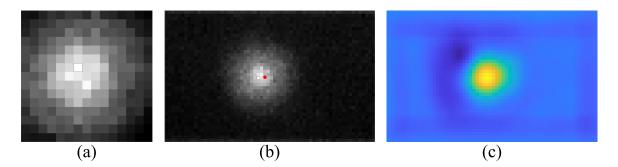


Figure 5.8: Visualization of region matching using cross-correlation (kernelFit) approach: (a) Kernel Image extracted from one of the images in the recording, (b) Image taken by the camera along with the computed particle centroid represented by a red dot (•), (c) Cross-Correlation matrix plotted as an image showing that the highest cross-correlation is achieved when the kernel image overlaps the particle

The approach of region matching using cross-correlation, referred to as the 'kernelFit' approach from here on out, starts with first choosing a kernel image, i.e., an image that represents a particle, out of a big image captured by the camera. The camera image is stored in a matrix I(x,y) with size  $p \times q$  pixels and the kernel extracted from this image is stored in a matrix K(i,j) with size  $r \times s$  pixels. It is

assumed that the center pixel of the kernel image, referred to as pixel K(0,0), is the centroid of the particle. A cross-correlation matrix of the same size as that of the image can be computed using the following formula

$$C(x,y) = \sum_{i=\frac{1-r}{2}}^{\frac{r-1}{2}} \sum_{j=\frac{1-s}{2}}^{\frac{s-1}{2}} (I(x+i,y+j) - I_{mean})(K(i,j) - K_{mean})$$
 (5.3)

where,  $I_{mean}$  and  $K_{mean}$  are the mean values of the complete image and kernel matrices respectively, and the pixel values I(x+i,y+j) that are not within the bounds of matrix I are assumed to equal zero. A threshold value T can be chosen to extract the cross-correlation peak. The particle centroid can be computed by

$$x_{c} = \frac{\sum x \cdot (C(x,y) - T)}{\sum (C(x,y) - T)} \qquad y_{c} = \frac{\sum y \cdot (C(x,y) - T)}{\sum (C(x,y) - T)}$$
(5.4)

Figure 5.8 shows this process. Note that the particle coordinates found here,  $x_c$  and  $y_c$ , are in Pixel units.

Note that the detected centroids from both approaches are different. This is mainly because the kernelFit approach finds the center of the kernel image in the camera image instead of finding the particle centroid. Thus, the results are reliable as long as the difference in centroid from both approaches is constant. Figure 5.9 shows the centroid difference between the two approaches for a 70sec Brownian motion dataset of a  $2\mu$ m diameter particle. Note that the standard deviation in the difference of the centroid values is  $\approx 0.085$ px for both directions.

#### 5.4.3 Trap stiffness estimation

Note that the coordinates of the particle centroid calculated in the last subsection are in pixel units. It is important to have these coordinates in physical units. The physical size of each pixel on the Hamamatsu camera is  $6.5\mu m \times 6.5\mu m$ . A conversion factor can be computed based on the objective zoom value that will allow

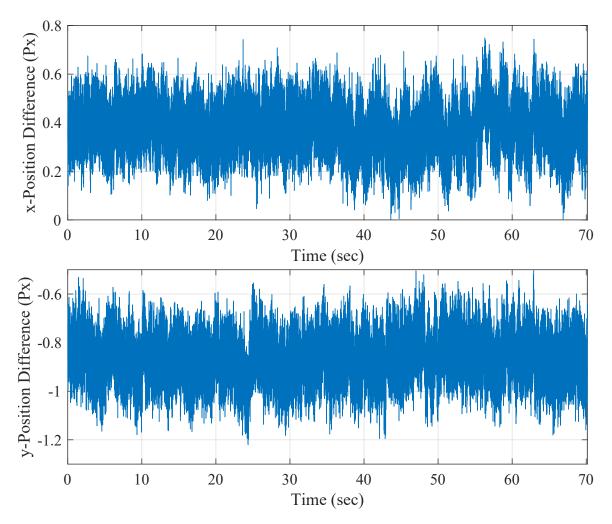


Figure 5.9: Difference in the detected particle centroid from the two centroid detection methods for a Brownian motion dataset of a  $2\mu$ m diameter particle

the conversion of pixel coordinates to physical units. The conversion factor for the 100X objective used for the experiments will be.

$$c = \frac{\text{Camera Pixel Size}}{\text{Zoom of the Objective}} = \frac{6.5\mu\text{m/px}}{100} = 0.065\mu\text{m/px}$$
 (5.5)

Many active and passive trap stiffness measurement techniques can be found in the literature [79, 44]. Each method has its advantages and shortcomings. This work utilizes a passive stiffness measurement technique, namely the Power Spectral Density (PSD) analysis discussed in Sec. 4.3. After converting the particle centroid values

to physical units, the Fourier transform of the Brownian motion data is calculated, using fft function in MATLAB, to further calculate the PSD using Eqn. (4.7). The noisy raw PSD profile is then filtered by dividing the data into several bins and averaging the data of each bin. Finally, the theoretical equation of the PSD profile, Eqn. (4.12), is fitted to the filtered PSD profile using lsqcurvefit in MATLAB. The estimated value of the corner frequency,  $f_c$ , is used to calculate an estimate of the trap stiffness in both the x and y directions. The PSD curve fits for particles with different diameters and the corresponding trap stiffness values are presented in the next section.

#### 5.5 Results and Discussion

The following subsections discuss the experimental PSD profiles and the curve fit of Eqn. (4.12) to the PSD profile along with the results of TRR experiments for the  $2\mu$ m,  $1\mu$ m and 500nm diameter polystyrene particles.

#### 5.5.1 Data for $2\mu m$ diameter particle

Figures 5.10 and 5.11 show the experimental PSD profiles and Eqn. (4.12) fitted to these profiles for a  $2\mu$ m diameter polystyrene particle. Specifically, Fig. 5.10 presents the PSD profile calculated from the particle position data that was extracted using the imBinarize approach. On the other hand, Fig. 5.11 presents the PSD profile calculated from the particle position data that was extracted using the kernelFit approach. The statistical values from five different Brownian motion datasets for the imBinarize approach are,

$$\bar{k}_x = 75.379823 \text{pN}/\mu \text{m}$$
  $\bar{k}_y = 78.893746 \text{pN}/\mu \text{m}$  (5.6)  
 $\sigma_{k_x}^2 = 0.766195 \text{pN}^2/\mu \text{m}^2$   $\sigma_{k_y}^2 = 0.731596 \text{pN}^2/\mu \text{m}^2$ 

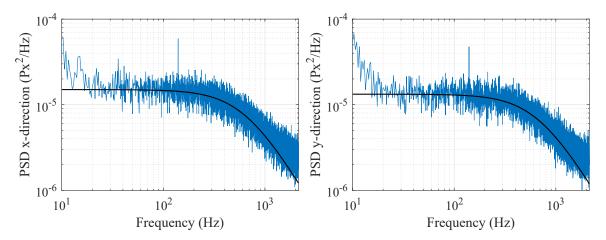


Figure 5.10: PSD profile for a  $2\mu$ m diameter particle centroid computed using the imBinarize approach (—) along with the curve fit of Eqn. (4.12) to the PSD profile (—)

where,  $\bar{k}_x$  and  $\bar{k}_y$  represent the average stiffnesses in x and y directions respectively, and  $\sigma_{k_x}^2$  and  $\sigma_{k_y}^2$  represent the corresponding variances. Similar values for the kernelFit approach are,

$$\bar{k}_x = 73.451003 \text{pN}/\mu \text{m}$$
  $\bar{k}_y = 76.546744 \text{pN}/\mu \text{m}$  (5.7)  
 $\sigma_{k_x}^2 = 0.732422 \text{pN}^2/\mu \text{m}^2$   $\sigma_{k_y}^2 = 0.255802 \text{pN}^2/\mu \text{m}^2$ 

Note that the stiffness values are quite similar between the two directions as well as between the two approaches. This means that the laser beam at the sample plane is close to symmetric and the stiffness values are reliable. The PSD profiles and curve fits for the other four Brownian motion datasets are available in Appn. B.1.

Figure 5.12 presents the data from the TRR experiment. These graphs present data from both the imBinarize and kernelFit approaches. It should be noted that the difference in the trajectory computed through both approaches has a constant difference as discussed in Subsection 5.4.2. For these experiments, the trap was turned off for 500ms so that the particle could wander off from the trap location and be retrapped. Note that the particle shows a pure Brownian motion for the

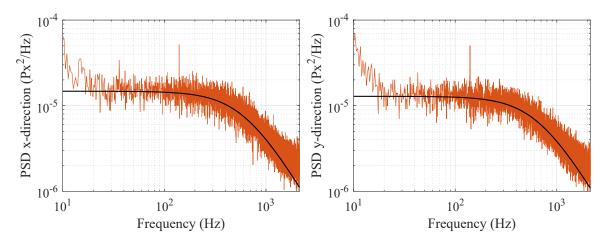


Figure 5.11: PSD profile for a  $2\mu$ m diameter particle centroid computed using the kernelFit approach (—) along with the curve fit of Eqn. (4.12) to the PSD profile (—)

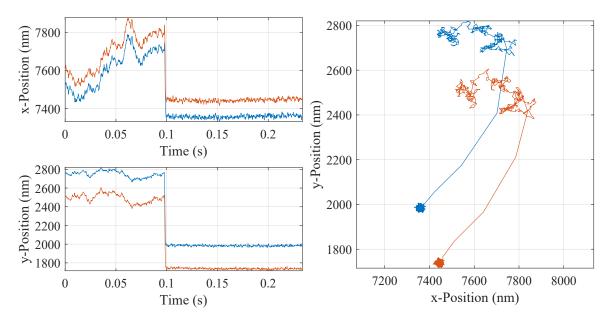


Figure 5.12: TRR data for a  $2\mu$ m diameter particle computed using both the imBinarize (—) and the kernelFit (—) approaches, the trap was turned off for 500ms in this experiment

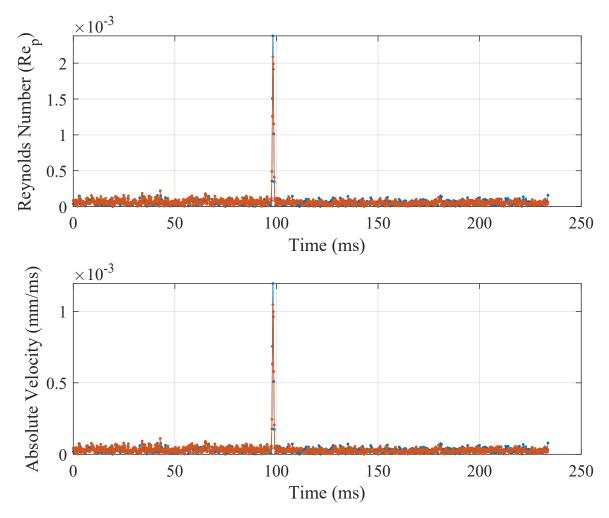


Figure 5.13: Particle Reynolds number and absolute velocity for a  $2\mu$ m diameter particle computed using both the imBinarize (—) and the kernelFit (—) approaches

first 100ms before it gets trapped. Also note that there is no underdamped behavior observed here similar to the previous dataset for the 1950nm diameter particle. Four more datasets of TRR experiments are available in Appn. C.

Figure 5.13 presents the particle Reynolds number (Re<sub>p</sub>), calculated using Eqn. (4.5), for the particle trajectory in the TRR experiment, Fig. 5.12. The particle velocity is calculated by numerically differentiating the particle position values in each direction. Note that the Re<sub>p</sub> for the particle here is smaller than or equal to  $2.5 \times 10^{-3}$ . This is higher than the one observed in the previous dataset of 1950nm

diameter particle, see Fig. 4.4. However, the difference is not too big to show any significant difference between the inertia forces and viscous forces.

### 5.5.2 Data for $1\mu m$ diameter particle

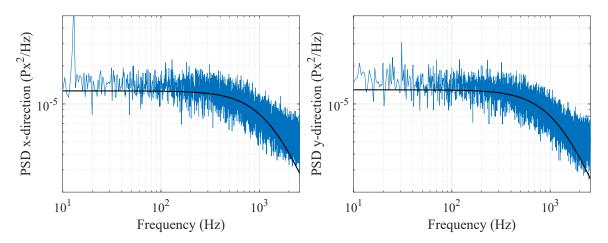


Figure 5.14: PSD profile for a  $1\mu$ m diameter particle centroid computed using the imBinarize approach (—) along with the curve fit of Eqn. (4.12) to the PSD profile (—)

The experimental PSD profile and the corresponding curve fit for  $1\mu$ m diameter polystyrene particle are presented in Figs. 5.14 and 5.15. The statistical values from nine different Brownian motion datasets for the imBinarize approach are,

$$\bar{k}_x = 81.148448 \text{pN}/\mu \text{m}$$
  $\bar{k}_y = 75.956058 \text{pN}/\mu \text{m}$  (5.8)  
 $\sigma_{k_x}^2 = 18.859406 \text{pN}^2/\mu \text{m}^2$   $\sigma_{k_y}^2 = 18.762983 \text{pN}^2/\mu \text{m}^2$ 

Similar values for the kernelFit approach are,

$$\bar{k}_x = 69.425459 \text{pN}/\mu\text{m}$$
  $\bar{k}_y = 64.393509 \text{pN}/\mu\text{m}$  (5.9)  
 $\sigma_{k_x}^2 = 7.976650 \text{pN}^2/\mu\text{m}^2$   $\sigma_{k_y}^2 = 7.705721 \text{pN}^2/\mu\text{m}^2$ 

The PSD profiles and curve fits for the other eight Brownian motion datasets are available in Appn. B.2. It should be noted that even though the difference in the

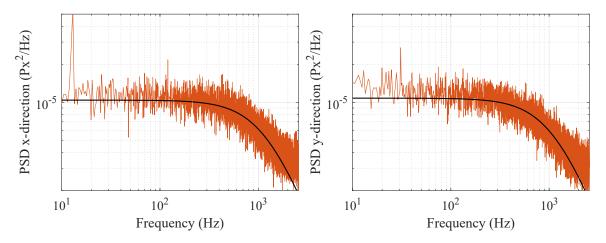


Figure 5.15: PSD profile for a  $1\mu$ m diameter particle centroid computed using the kernelFit approach (—) along with the curve fit of Eqn. (4.12) to the PSD profile (—)

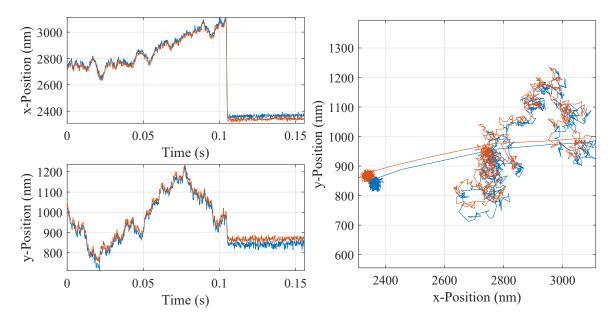


Figure 5.16: TRR data for a  $1\mu$ m diameter particle computed using both the imBinarize (—) and the kernelFit (—) approaches, the trap was turned off for 100ms in this experiment

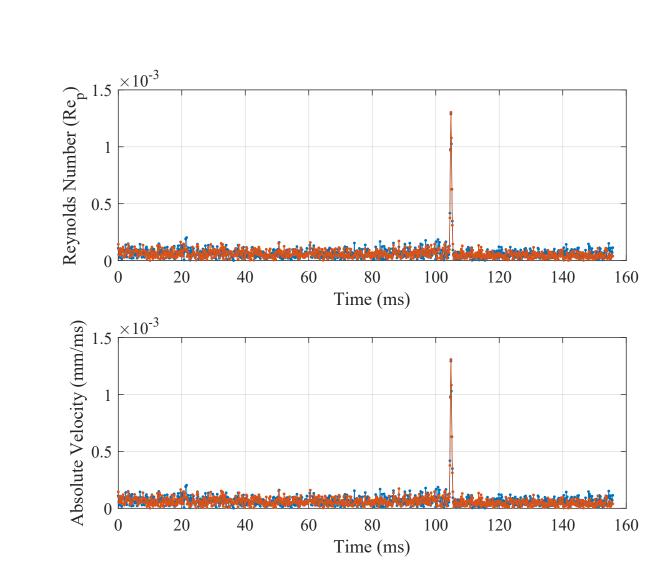


Figure 5.17: Particle Reynolds number and absolute velocity for a  $1\mu$ m diameter particle computed using both the imBinarize (—) and the kernelFit (—) approaches

stiffness values between the two directions and the approaches is small, the variances are much larger than the ones for the  $2\mu$ m particle. Thus, the accuracy of the stiffness value might be lower. Furthermore, note that the magnitude of the stiffness values found here is similar to the ones for the  $2\mu$ m particle. This is because the laser power used to collect these datasets was lower than the one used for the  $2\mu$ m particle.

There has to be a balance between the framerate used to capture the video and the trap stiffness. As the framerate increases, the light available from the particle to be captured by the camera reduces, thus reducing the signal-to-noise ratio. As a result, it is difficult to achieve frame rates higher than  $\approx 5000 \text{FPS}$  with the current setup even though the camera is more capable than this. This puts a limit on the observable frequency range of the PSD profile as per the Nyquist theorem. Thus, the stiffness/power has to be low enough so that the corner frequency can fall in the observable frequency range and can be estimated accurately. The main goal of the PSD analysis here is to check the evenness of the stiffness values in both directions, which is achieved here.

Figure 5.16 presents a dataset from the TRR experiments. For these experiments, the trap was turned off for 100ms. Note that this time period was enough for the particle to drift  $\approx 700$ nm away from the trapping location. The laser power used for these experiments was the same as the one used for the  $2\mu$ m particle. This was desirable because the higher stiffness value, achieved using the higher laser power, would increase the chances of causing the underdamped behavior as per Eqn. (4.3). However, there is no underdamped behavior observed here, contrary to a small amount observed in the old dataset of the 990nm diameter particle. Thirty-seven more datasets of TRR experiments are available in Appn. D.

Figure 5.17 presents the particle Reynolds number (Re<sub>p</sub>). Note that the Re<sub>p</sub> here is smaller than or equal to  $1.4 \times 10^{-3}$ . Similar to the  $2\mu$ m particle, the Re<sub>p</sub> is higher than the one observed in the previous dataset of 990nm diameter particle, see Fig. 4.5. This should mean that the effect of inertia forces should be higher than the viscous forces here. However, the underdamped behavior is nonexistent here while the previous dataset showed a small amount of it.

#### 5.5.3 Data for 500nm diameter particle

The particles were illuminated using only the blue LED in all the experiments so far. However, the available fluorescent light from the 500nm diameter particle was

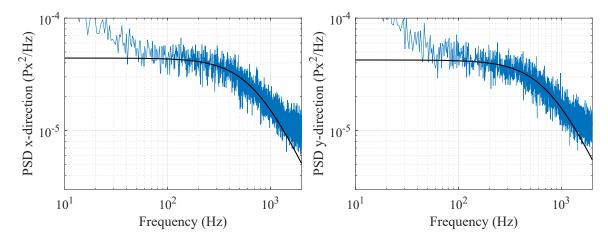


Figure 5.18: PSD profile for a 500nm diameter particle centroid computed using the imBinarize approach (—) along with the curve fit of Eqn. (4.12) to the PSD profile (—)

not enough, while using only the LED, to achieve high framerates. The addition of the Cyan colored laser resolved this problem and achieved the camera framerate of 4000FPS.

The trapping laser power was lowered even further for the Brownian motion experiments of the 500nm diameter particle. The experimental PSD profile and the corresponding curve fit are presented in Figs. 5.18 and 5.19. The statistical values from five different Brownian motion datasets for the imBinarize approach are,

$$\bar{k}_x = 21.388566 \text{pN}/\mu \text{m}$$
  $\bar{k}_y = 22.447706 \text{pN}/\mu \text{m}$  (5.10)  
 $\sigma_{k_x}^2 = 0.517168 \text{pN}^2/\mu \text{m}^2$   $\sigma_{k_y}^2 = 0.640007 \text{pN}^2/\mu \text{m}^2$ 

Similar values for the kernelFit approach are,

$$\bar{k}_x = 18.438312 \text{pN}/\mu\text{m}$$
  $\bar{k}_y = 19.416466 \text{pN}/\mu\text{m}$  (5.11)  
 $\sigma_{k_x}^2 = 0.460043 \text{pN}^2/\mu\text{m}^2$   $\sigma_{k_y}^2 = 0.409082 \text{pN}^2/\mu\text{m}^2$ 

The PSD profiles and curve fits for the other four Brownian motion datasets are available in Appn. B.3. Note that the difference in the stiffness values between the two directions and the approaches is small along with the low variance values

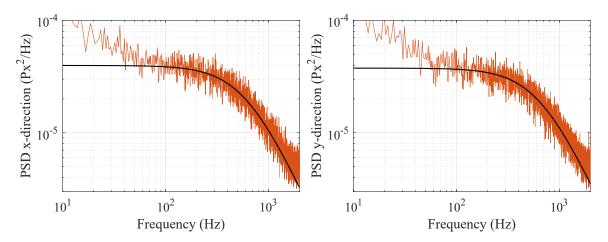


Figure 5.19: PSD profile for a 500nm diameter particle centroid computed using the kernelFit approach (—) along with the curve fit of Eqn. (4.12) to the PSD profile (—)

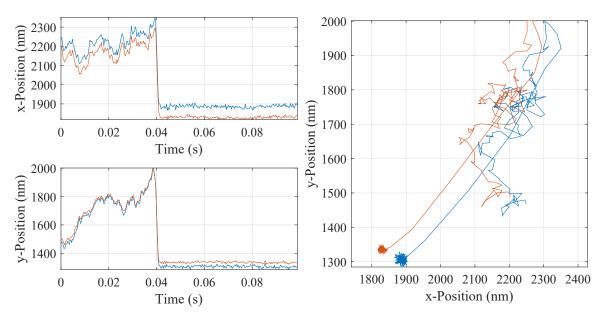


Figure 5.20: TRR data for a 500nm diameter particle computed using both the imBinarize (---) and the kernelFit (---) approaches, the trap was turned off for 30ms in this experiment

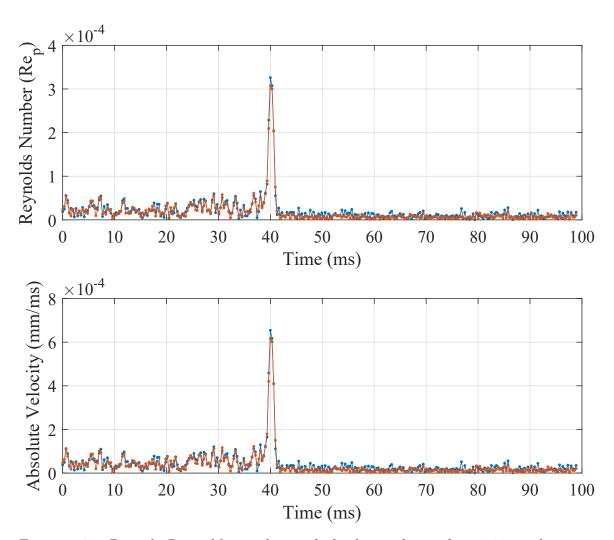


Figure 5.21: Particle Reynolds number and absolute velocity for a 500nm diameter particle computed using both the imBinarize (—) and the kernelFit (—) approaches

compared to the  $1\mu$ m dataset. This was achieved because the use of the Cyan laser improved the signal-to-noise ratio significantly. However, the particle would lose its ability to fluoresce if it was illuminated using the laser for more than 60 seconds. Thus, the Brownian motion datasets collected here were only 30 seconds long. Furthermore, note that no peak is visible in the PSD profile near the corner frequency as what was suggested by the simulated PSD from the scaled model for 500nm diameter particle in Section 4.5.

Data for the TRR experiment is presented in Fig. 5.20. The trap was turned off for 30ms in this case. Note that the particle is  $\approx 800$ nm away from the trapping location when the trap is turned on at 0.04s. The laser power used here was kept the same as the one used for the  $2\mu$ m particle to increase the chances of causing the underdamped behavior. However, no underdamped behavior was observed here, compared to what was observed in the old dataset. Thirty-nine more datasets of TRR experiments are available in Appn. E.

the particle Reynolds number (Re<sub>p</sub>) is presented in Fig. 5.21. Note that the Re<sub>p</sub> here is smaller than or equal to  $3.5 \times 10^{-4}$ . This value is extremely close to the one observed in the previous dataset of 500nm diameter particle, Re<sub>p</sub>  $\leq 3 \times 10^{-4}$ , Fig. 4.6. Although this value of Re<sub>p</sub> was enough in the old dataset to show an underdamped motion, no underdamped motion was observed in this case.

#### 5.6 Conclusion and Future Work

A new experimental setup for trapping micro/nano particles reliably and repeatedly is presented here with the primary goal of characterizing the suspected underdamped behavior that was observed in the previous dataset. This new setup is shown to have a measurably good quality of the laser beam intensity profile and the correct alignment with the objective, which should have minimized the light aberrations. Different methods and techniques of data compression, particle centroid detection and trap stiffness estimation were also presented here.

New experimental datasets for  $2\mu$ m,  $1\mu$ m and 500nm diameter particles were collected for both the Brownian motion experiments and the TRR experiments. No significant underdamped behavior was observed in the 40 TRR trajectories for the 500nm diameter particle presented here. Furthermore, it was discussed in Section 4.5 that a distinct peak should be visible in the PSD profile of the 500nm diameter

particle's position if the particle was supposed to show the underdamped motion. However, no such peak was observed in the new data presented here. Currently, the findings of the new dataset contradict the old experimental data and the hypothesis that was developed based on the previous observations.

One conclusion that can be drawn here is that if the laser beam profile has a good quality factor  $(M^2)$  and a correct alignment is achieved then the underdamped motion does not occur. Thus, the possible reasons for the underdamped motion in the old datasets could either be a poor beam quality or some type of fluid force effect that was not captured in the experiments presented here. Unfortunately, no data exists for the beam quality of the previous experiment.

The best way forward is to complete the experiments of transporting the particles through the "L" shaped microchannel as discussed in Section 4.6. If the data from these experiments deviate from the Stokes drag model then the fluid forces action on the particle can be analyzed in further detail. The current trapping setup can also be improved to reproduce the conditions encountered in the microchannel experiments.

Regardless of the steps that are taken in the future, the current optical trapping setup is already being pushed to its limits. The primary challenge with the current setup is the insufficient amount of fluorescent light emitting from the 500nm diameter particle. This can be improved by using an objective lens with higher NA. However, the problem may arise again as the particle size is reduced further. Furthermore, the particle will barely be visible through a camera as its size reduces. Thus, for the experiments with smaller particles, either a Quadrant Photodiode or a Lateral Effect Position Sensor can be used, both of which provide better accuracy and higher sampling rate.

# APPENDIX A

Equations of Motion for Double Pendulum System

The equations of motion for the double pendulum example are computed using Kane's method. Because the final EOMs are too large, this section does not derive complete EOMs. Rather it provides important equations in a format discussed in [15], which when evaluated result in the EOMs for the double pendulum.

#### A.1 Equations of Motion

**Rigid Bodies:** There are two rigid bodies, the two cylindrical rods of double pendulum.

Inertial Reference Frame and Point: The inertial reference point is N, and N =  $(\widehat{\mathbf{N}}_1, \widehat{\mathbf{N}}_2, \widehat{\mathbf{N}}_3)$  is the inertial reference frame shown in Fig. 2.2.

Other Points and Frames: Point A and B are body-attached points, and they are also the center of gravity of bodies A and B respectively. Point C represents the spherical joint between two rods.  $A = (\widehat{A}_1, \widehat{A}_2, \widehat{A}_3)$  and  $B = (\widehat{B}_1, \widehat{B}_2, \widehat{B}_3)$  are body-attached frames shown in Fig. 2.2.

**Location Descriptions:** The location descriptions provide a formal way of specifying the position of every point that comprises the rigid bodies in the system.

$$L_A = \{ \mathbf{P}_{NA}, {}_{A}^{N} R \} + geom. \quad L_B = \{ \mathbf{P}_{CB}, {}_{B}^{A} R \} + geom.$$
 (A.1)

$$\mathbf{P}_{NA} = \mathbf{P}_{AC} = l_A \widehat{\mathbf{A}}_1 \qquad \mathbf{P}_{NC} = 2l_A \widehat{\mathbf{A}}_1 \qquad \mathbf{P}_{CB} = l_B \widehat{\mathbf{B}}_1 \tag{A.2}$$

$${}_{A}^{N}R = \frac{1}{e_{A_0}^2 + e_{A_1}^2 + e_{A_2}^2 + e_{A_3}^2}$$

$$\begin{bmatrix} e_{A_0}^2 + e_{A_1}^2 - e_{A_2}^2 - e_{A_3}^2 & 2e_{A_1}e_{A_2} - 2e_{A_0}e_{A_3} & 2e_{A_0}e_{A_2} + 2e_{A_1}e_{A_3} \\ 2e_{A_1}e_{A_2} + 2e_{A_0}e_{A_3} & e_{A_0}^2 - e_{A_1}^2 + e_{A_2}^2 - e_{A_3}^2 & 2e_{A_2}e_{A_3} - 2e_{A_0}e_{A_1} \\ 2e_{A_1}e_{A_3} - 2e_{A_0}e_{A_2} & 2e_{A_2}e_{A_3} + 2e_{A_0}e_{A_1} & e_{A_0}^2 - e_{A_1}^2 - e_{A_2}^2 + e_{A_3}^2 \end{bmatrix}$$
(A.3)

$${}_{B}^{A}R = \frac{1}{e_{B_{0}}^{2} + e_{B_{1}}^{2} + e_{B_{2}}^{2} + e_{B_{3}}^{2}}$$

$$\begin{bmatrix} e_{B_{0}}^{2} + e_{B_{1}}^{2} - e_{B_{2}}^{2} - e_{B_{3}}^{2} & 2e_{B_{1}}e_{B_{2}} - 2e_{B_{0}}e_{B_{3}} & 2e_{B_{0}}e_{B_{2}} + 2e_{B_{1}}e_{B_{3}} \\ 2e_{B_{1}}e_{B_{2}} + 2e_{B_{0}}e_{B_{3}} & e_{B_{0}}^{2} - e_{B_{1}}^{2} + e_{B_{2}}^{2} - e_{B_{3}}^{2} & 2e_{B_{2}}e_{B_{3}} - 2e_{B_{0}}e_{B_{1}} \\ 2e_{B_{1}}e_{B_{3}} - 2e_{B_{0}}e_{B_{2}} & 2e_{B_{2}}e_{B_{3}} + 2e_{B_{0}}e_{B_{1}} & e_{B_{0}}^{2} - e_{B_{1}}^{2} - e_{B_{2}}^{2} + e_{B_{3}}^{2} \end{bmatrix}$$

$$(A.4)$$

Coordinates: Eight coordinates appear in the location descriptions. Where,  $e_{A_{0-3}}$  and  $e_{B_{0-3}}$  are Euler parameters representing body A's and body B's orientation respectively. The vector of generalized coordinates,  $\mathbf{q}$  is defined as:

$$\mathbf{q} = [\mathbf{e}_A^T \ \mathbf{e}_B^T]^T = [e_{A_0} \ e_{A_1} \ e_{A_2} \ e_{A_3} \ e_{B_0} \ e_{B_1} \ e_{B_2} \ e_{B_3}]^T$$

**Constraints:** The normalization constraint associated with both the Euler parameter sets are given as

$$q_1^2 + q_2^2 + q_3^2 + q_4^2 = 1$$
 ,  $q_5^2 + q_6^2 + q_7^2 + q_7^2 = 1$ 

Degrees of Freedom (DOFs):

8 coordinates - 2 constraint = 6 DOFs

**Velocity:** The angular velocity of body A and body B is

$$\mathbf{\omega}^{\mathbf{A}} = 2\left(u_{1}\widehat{\mathbf{A}}_{1} + u_{2}\widehat{\mathbf{A}}_{2} + u_{3}\widehat{\mathbf{A}}_{3}\right)$$

$$= 2(q_{1}\dot{q}_{2} - q_{2}\dot{q}_{1} - q_{3}\dot{q}_{4} + q_{4}\dot{q}_{3})\widehat{\mathbf{A}}_{1}$$

$$+ 2(q_{1}\dot{q}_{3} + q_{2}\dot{q}_{4} - q_{3}\dot{q}_{1} - q_{4}\dot{q}_{2})\widehat{\mathbf{A}}_{2}$$

$$+ 2(q_{1}\dot{q}_{4} - q_{2}\dot{q}_{3} + q_{3}\dot{q}_{2} - q_{4}\dot{q}_{1})\widehat{\mathbf{A}}_{3}$$
(A.5)

$${}^{\mathbf{A}}\boldsymbol{\omega}^{\mathbf{B}} = 2\left(u_{5}\widehat{\mathbf{B}}_{1} + u_{6}\widehat{\mathbf{B}}_{2} + u_{7}\widehat{\mathbf{B}}_{3}\right)$$

$$= 2(q_{5}\dot{q}_{6} - q_{6}\dot{q}_{5} - q_{7}\dot{q}_{8} + q_{8}\dot{q}_{7})\widehat{\mathbf{B}}_{1}$$

$$+ 2(q_{5}\dot{q}_{7} + q_{6}\dot{q}_{8} - q_{7}\dot{q}_{5} - q_{8}\dot{q}_{6})\widehat{\mathbf{B}}_{2}$$

$$+ 2(q_{5}\dot{q}_{8} - q_{6}\dot{q}_{7} + q_{7}\dot{q}_{6} - q_{8}\dot{q}_{5})\widehat{\mathbf{B}}_{3}$$
(A.6)

$${}^{\mathrm{N}}\boldsymbol{\omega}^{\mathrm{B}} = {}_{B}^{A}R^{T\mathrm{N}}\boldsymbol{\omega}^{\mathrm{A}} + {}^{\mathrm{A}}\boldsymbol{\omega}^{\mathrm{B}}$$
(A.7)

The translational velocity of the mass centers A and B and point C is given as

$$\mathbf{V}_{A} = \frac{d\mathbf{P}_{NA}}{dt} = {}^{\mathrm{N}}\boldsymbol{\omega}^{\mathrm{A}} \times \mathbf{P}_{NA} \tag{A.8}$$

$$\mathbf{V}_{C} = \frac{d\mathbf{P}_{NC}}{dt} = {}^{\mathrm{N}}\boldsymbol{\omega}^{\mathrm{A}} \times \mathbf{P}_{NC}$$
 (A.9)

$$\mathbf{V}_{B} = \frac{d\mathbf{P}_{NB}}{dt} = {}_{B}^{A}R^{T}\mathbf{V}_{C} + {}^{N}\boldsymbol{\omega}^{B} \times \mathbf{P}_{CB}$$
(A.10)

The quasi-velocities required to compute partial derivatives in Kane's equations of motion are  $\mathbf{u}_A$  and  $\mathbf{u}_B$  which are already defined in Section 2.3.

**Acceleration:** The angular acceleration of body A and body B is

$$\overset{N}{\boldsymbol{\omega}}^{A} = 2(q_{1}\ddot{q}_{2} - q_{2}\ddot{q}_{1} - q_{3}\ddot{q}_{4} + q_{4}\ddot{q}_{3})\widehat{\mathbf{A}}_{1} 
+ 2(q_{1}\ddot{q}_{3} + q_{2}\ddot{q}_{4} - q_{3}\ddot{q}_{1} - q_{4}\ddot{q}_{2})\widehat{\mathbf{A}}_{2} 
+ 2(q_{1}\ddot{q}_{4} - q_{2}\ddot{q}_{3} + q_{3}\ddot{q}_{2} - q_{4}\ddot{q}_{1})\widehat{\mathbf{A}}_{3}$$
(A.11)

$${}^{A}\dot{\boldsymbol{\omega}}^{B} = 2(q_{5}\ddot{q}_{6} - q_{6}\ddot{q}_{5} - q_{7}\ddot{q}_{8} + q_{8}\ddot{q}_{7})\widehat{\mathbf{B}}_{1}$$

$$+ 2(q_{5}\ddot{q}_{7} + q_{6}\ddot{q}_{8} - q_{7}\ddot{q}_{5} - q_{8}\ddot{q}_{6})\widehat{\mathbf{B}}_{2}$$

$$+ 2(q_{5}\ddot{q}_{8} - q_{6}\ddot{q}_{7} + q_{7}\ddot{q}_{6} - q_{8}\ddot{q}_{5})\widehat{\mathbf{B}}_{3}$$
(A.12)

$${}^{\mathrm{N}}\dot{\boldsymbol{\omega}}^{\mathrm{B}} = {}_{B}^{A}R^{T\mathrm{N}}\dot{\boldsymbol{\omega}}^{\mathrm{A}} + {}^{\mathrm{A}}\dot{\boldsymbol{\omega}}^{\mathrm{B}} + {}^{\mathrm{N}}\boldsymbol{\omega}^{\mathrm{B}} \times {}^{\mathrm{A}}\boldsymbol{\omega}^{\mathrm{B}}$$
(A.13)

The translational acceleration of mass centers A and B and point C is given as

$$\dot{\mathbf{V}}_{A} = \frac{d\mathbf{V}_{A}}{dt} = {}^{\mathrm{N}}\dot{\boldsymbol{\omega}}^{\mathrm{A}} \times \mathbf{P}_{NA} + {}^{\mathrm{N}}\boldsymbol{\omega}^{\mathrm{A}} \times ({}^{\mathrm{N}}\boldsymbol{\omega}^{\mathrm{A}} \times \mathbf{P}_{NA})$$
(A.14)

$$\dot{\mathbf{V}}_{C} = \frac{d\mathbf{V}_{C}}{dt} = {}^{\mathrm{N}}\dot{\boldsymbol{\omega}}^{\mathrm{A}} \times \mathbf{P}_{NC} + {}^{\mathrm{N}}\boldsymbol{\omega}^{\mathrm{A}} \times ({}^{\mathrm{N}}\boldsymbol{\omega}^{\mathrm{A}} \times \mathbf{P}_{NC})$$
(A.15)

$$\dot{\mathbf{V}}_{B} = \frac{d\mathbf{V}_{B}}{dt} = {}_{B}^{A}R^{T}\dot{\mathbf{V}}_{C} + {}^{N}\dot{\boldsymbol{\omega}}^{B} \times \mathbf{P}_{CB} + {}^{N}\boldsymbol{\omega}^{B} \times ({}^{N}\boldsymbol{\omega}^{B} \times \mathbf{P}_{CB})$$
(A.16)

Mass Properties: Mass of body A and body B is assumed to be  $m_A$  and  $m_B$ respectively while the spherical joint is assumed to be massless. The inertia matrix of body A and body B can be given as

$$I_{AA} = \begin{bmatrix} \frac{1}{2}m_A r^2 & 0 & 0\\ 0 & \frac{1}{12}m_A (3r^2 + L^2) & 0\\ 0 & 0 & \frac{1}{12}m_A (3r^2 + L^2) \end{bmatrix}$$

$$I_{BB} = \begin{bmatrix} \frac{1}{2}m_B r^2 & 0 & 0\\ 0 & \frac{1}{12}m_B (3r^2 + L^2) & 0\\ 0 & 0 & \frac{1}{12}m_B (3r^2 + L^2) \end{bmatrix}$$
(A.17)

$$I_{\text{BB}} = \begin{vmatrix} \frac{1}{2}m_B r^2 & 0 & 0\\ 0 & \frac{1}{12}m_B (3r^2 + L^2) & 0\\ 0 & 0 & \frac{1}{12}m_B (3r^2 + L^2) \end{vmatrix}$$
(A.18)

Forces and Moments: No external moments are acting on the bodies. Only the gravitational force acts on both the bodies. Note that both resultant force and moment vectors given below are expressed in inertial frame. Moments were taken about the mass center of each body.

$$\mathbf{F}_{A} = -m_{A}g\widehat{\mathbf{N}}_{3} \qquad , \qquad \mathbf{F}_{B} = -m_{B}g\widehat{\mathbf{N}}_{3} \qquad (A.19)$$

$$\mathbf{M}_{AA} = \mathbf{0} \qquad , \qquad \mathbf{M}_{BB} = \mathbf{0} \qquad (A.20)$$

**Equations of Motion:** The equations of motion can be computed using the Kane's equations given below. All the necessary terms are already defined in this appendix.

$$0 = F_{i} - F_{i}^{*}$$

$$F_{i} = \sum_{K=1}^{bodies} \left[ \mathbf{F}_{K} \cdot \frac{\partial \mathbf{V}_{K}}{\partial u_{i}} + \mathbf{M}_{KK} \cdot \frac{\partial^{N} \boldsymbol{\omega}^{K}}{\partial u_{i}} \right]$$

$$F_{i}^{*} = \sum_{K=1}^{bodies} \left[ m_{K} \dot{\mathbf{V}}_{K} \cdot \frac{\partial \mathbf{V}_{K}}{\partial u_{i}} + \dot{\mathbf{H}}_{KK} \cdot \frac{\partial^{N} \boldsymbol{\omega}^{K}}{\partial u_{i}} \right]$$
(A.21)

where  $i = \{1, 2, 3, 5, 6, 7\}$  and,

$$\dot{\mathbf{H}}_{KK} = I_{KK}{}^{N}\dot{\boldsymbol{\omega}}^{K} + {}^{N}\boldsymbol{\omega}^{K} \times (I_{KK}{}^{N}\boldsymbol{\omega}^{K}) \tag{A.22}$$

#### A.2 Online Constraint Embedding Method

The virtual work done by the system can be calculated from (A.21) as follows

$$0 = \delta W = \sum_{i=1}^{n} \sum_{K=1}^{bodies} \left[ \mathbf{F}_{K} \cdot \frac{\partial \mathbf{V}_{K}}{\partial u_{i}} - m_{K} \dot{\mathbf{V}}_{K} \cdot \frac{\partial \mathbf{V}_{K}}{\partial u_{i}} + \mathbf{M}_{KK} \cdot \frac{\partial^{N} \boldsymbol{\omega}^{K}}{\partial u_{i}} - \dot{\mathbf{H}}_{KK} \cdot \frac{\partial^{N} \boldsymbol{\omega}^{K}}{\partial u_{i}} \right] \delta q_{i}$$

The equation above can also be expressed as

$$0 = \sum_{i=1}^{n} (F_i - F_i^*) \delta q_i$$
 (A.23)

Here, the  $F_i$  and  $F_i^*$  are generated for both the dependent and independent generalized coordinates. Note that any holonomic constraint can be expressed in a form that is linear in the virtual displacements. Let's say that we are solving for a system that

has five generalized coordinates and two constraints. Thus, the relationship between dependent and independent virtual displacements can be given as

$$\begin{bmatrix} \delta q_{D_4} \\ \delta q_{D_5} \end{bmatrix} = \begin{bmatrix} C_{41} & C_{42} & C_{43} \\ C_{51} & C_{52} & C_{53} \end{bmatrix} \begin{bmatrix} \delta q_{I_1} \\ \delta q_{I_2} \\ \delta q_{I_3} \end{bmatrix}$$
(A.24)

where, the subscripts 'D' and 'I' denote a dependent or independent virtual displacement respectively. Substituting the equation above in Eqn. (A.23) yields

$$0 = (F_{1} - F_{1}^{*})\delta q_{1} + (F_{2} - F_{2}^{*})\delta q_{2} + (F_{3} - F_{3}^{*})\delta q_{3}$$

$$+ (F_{4} - F_{4}^{*})\delta q_{4} + (F_{5} - F_{5}^{*})\delta q_{5}$$

$$= (F_{1} - F_{1}^{*})\delta q_{1} + (F_{2} - F_{2}^{*})\delta q_{2} + (F_{3} - F_{3}^{*})\delta q_{3}$$

$$+ (F_{4} - F_{4}^{*})(C_{41}\delta q_{1} + C_{42}\delta q_{2} + C_{43}\delta q_{3})$$

$$+ (F_{5} - F_{5}^{*})(C_{51}\delta q_{1} + C_{52}\delta q_{2} + C_{53}\delta q_{3})$$

$$= (F_{1} - F_{1}^{*} + C_{41}(F_{4} - F_{4}^{*}) + C_{51}(F_{5} - F_{5}^{*}))\delta q_{1}$$

$$+ (F_{2} - F_{2}^{*} + C_{42}(F_{4} - F_{4}^{*}) + C_{52}(F_{5} - F_{5}^{*}))\delta q_{2}$$

$$+ (F_{3} - F_{3}^{*} + C_{43}(F_{4} - F_{4}^{*}) + C_{53}(F_{5} - F_{5}^{*}))\delta q_{3}$$
(A.25)

Because the virtual displacements in the equation above are independent, the only way for the virtual work to be equal to zero for any values they may take, is when their coefficients are zero for all time. Thus, it can be shown that

$$\bar{F}_i - \bar{F}_i^* = 0$$
  $\bar{F}_i = F_i + \sum_{j=p+1}^{p+q} C_{ji} F_j$   $\bar{F}_i^* = F_i^* + \sum_{j=p+1}^{p+q} C_{ji} F_j^*$  (A.26)

where, p and q are the number of independent and dependent generalized coordinates respectively. And,  $i = \{1, 2, 3, ..., p\}$ .

### APPENDIX B

PSD Profiles and Curve Fits

Throughout this appendix, the blue line (—) corresponds to the data generated using the imBinarize centroid detection method while the orange line (—) corresponds to the data generated using the kernelFit centroid detection method. The solid black line represents the curve fit of Eqn. (4.12) to the experimental data. The stiffness values estimated through curve fitting are provided in the caption of each figure.

### B.1 Data for $2\mu m$ diameter particle

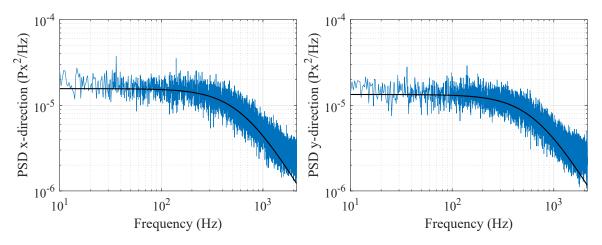


Figure B.1:  $k_x=73.9196 \mathrm{pN}/\mu\mathrm{m},\,k_y=78.0766 \mathrm{pN}/\mu\mathrm{m}$ 

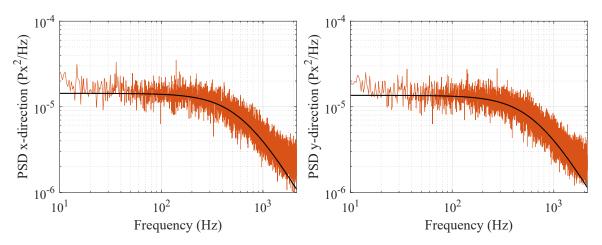


Figure B.2:  $k_x=72.7761 \mathrm{pN}/\mu\mathrm{m},\,k_y=76.7711 \mathrm{pN}/\mu\mathrm{m}$ 

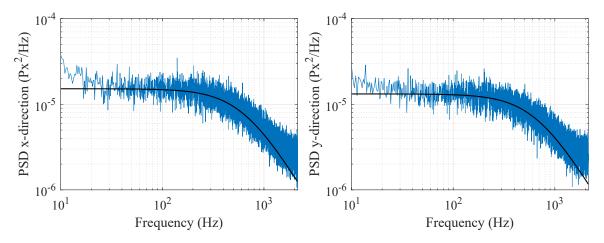


Figure B.3:  $k_x=75.8743 \mathrm{pN}/\mu\mathrm{m},\,k_y=78.9234 \mathrm{pN}/\mu\mathrm{m}$ 

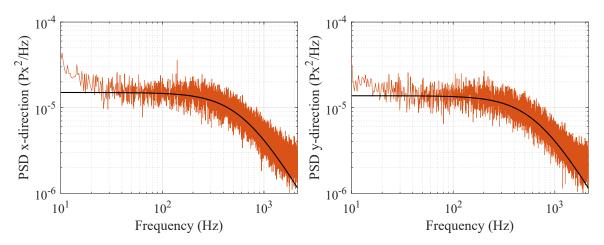


Figure B.4:  $k_x=73.2490 \mathrm{pN}/\mu\mathrm{m},\,k_y=76.6792 \mathrm{pN}/\mu\mathrm{m}$ 

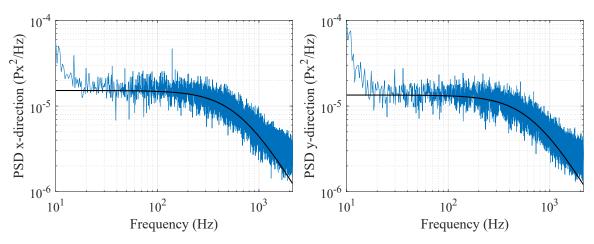


Figure B.5:  $k_x=75.8401 \mathrm{pN}/\mu\mathrm{m},\,k_y=79.7704 \mathrm{pN}/\mu\mathrm{m}$ 

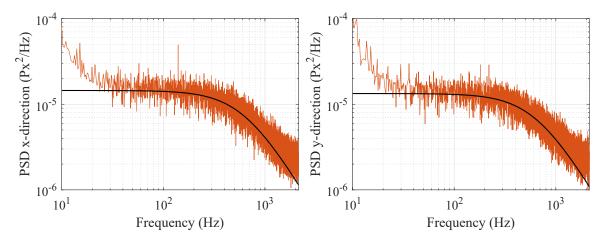


Figure B.6:  $k_x=74.4082 \mathrm{pN}/\mu\mathrm{m},\,k_y=75.7354 \mathrm{pN}/\mu\mathrm{m}$ 

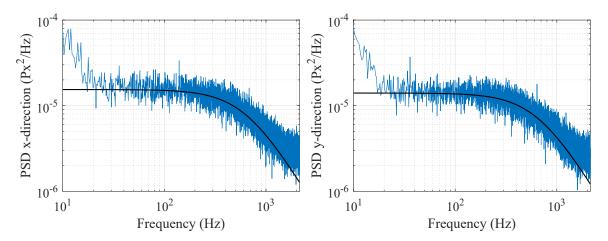


Figure B.7:  $k_x=76.0498 \mathrm{pN}/\mu\mathrm{m},\,k_y=77.9873 \mathrm{pN}/\mu\mathrm{m}$ 

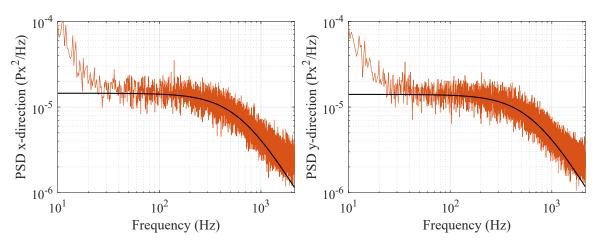


Figure B.8:  $k_x=74.2808 \mathrm{pN}/\mu\mathrm{m},\,k_y=76.4626 \mathrm{pN}/\mu\mathrm{m}$ 

## B.2 Data for $1\mu m$ diameter particle

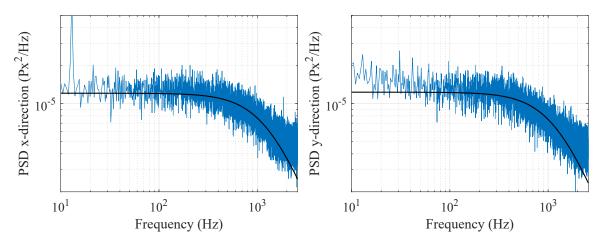


Figure B.9:  $k_x = 78.2915 \mathrm{pN}/\mu\mathrm{m}, \, k_y = 73.7166 \mathrm{pN}/\mu\mathrm{m}$ 

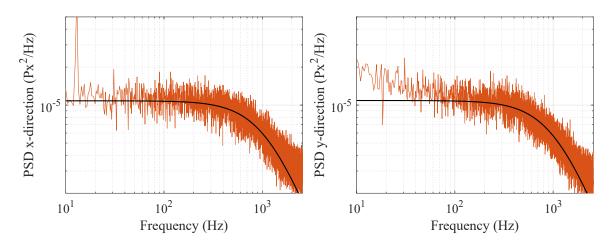


Figure B.10:  $k_x = 65.9141 \mathrm{pN}/\mu\mathrm{m}, \, k_y = 62.2912 \mathrm{pN}/\mu\mathrm{m}$ 

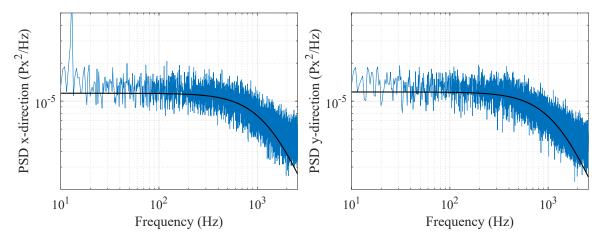


Figure B.11:  $k_x=83.1381 \mathrm{pN}/\mu\mathrm{m},\,k_y=78.8821 \mathrm{pN}/\mu\mathrm{m}$ 

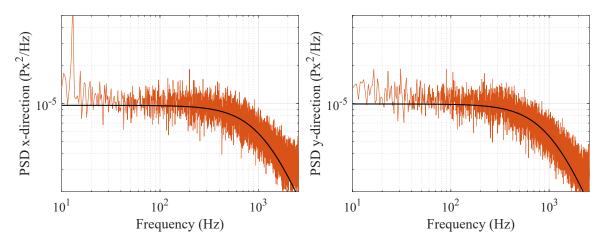


Figure B.12:  $k_x=72.6940 \mathrm{pN}/\mu\mathrm{m},\,k_y=66.1881 \mathrm{pN}/\mu\mathrm{m}$ 

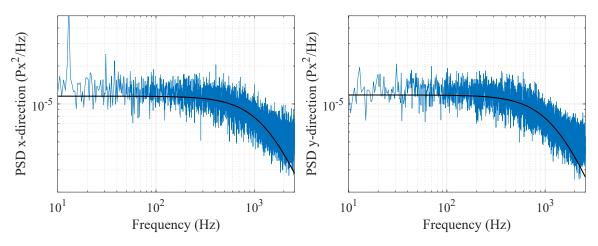


Figure B.13:  $k_x = 86.4218 \text{pN}/\mu\text{m}, k_y = 81.6438 \text{pN}/\mu\text{m}$ 

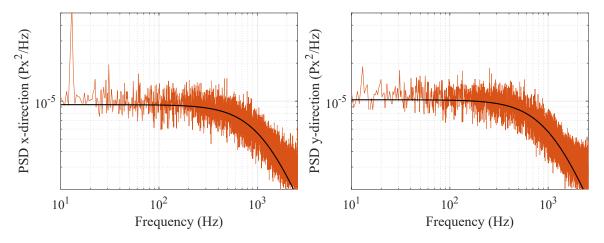


Figure B.14:  $k_x=72.0587 \mathrm{pN}/\mu\mathrm{m},\,k_y=66.4809 \mathrm{pN}/\mu\mathrm{m}$ 

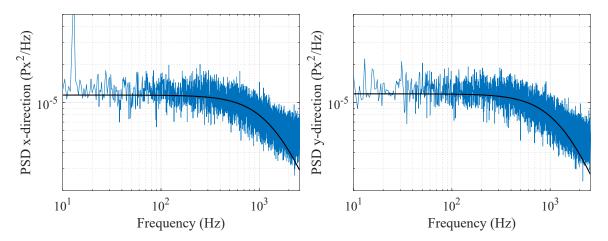


Figure B.15:  $k_x = 88.9685 \text{pN}/\mu\text{m}, \, k_y = 83.2548 \text{pN}/\mu\text{m}$ 

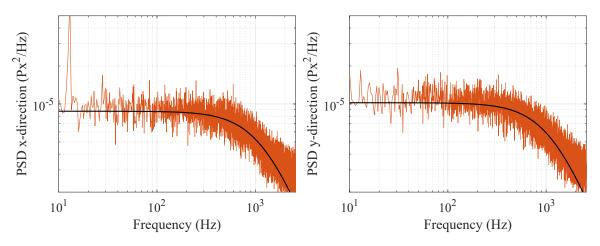


Figure B.16:  $k_x = 72.7083 \text{pN}/\mu\text{m}, k_y = 69.2532 \text{pN}/\mu\text{m}$ 

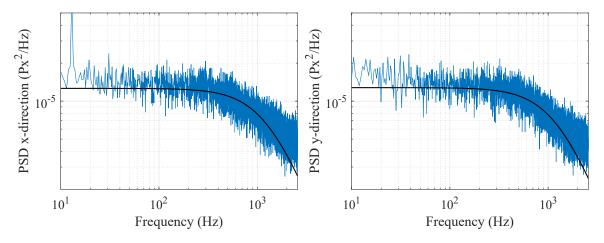


Figure B.17:  $k_x=76.7048 \mathrm{pN}/\mu\mathrm{m},\,k_y=73.9067 \mathrm{pN}/\mu\mathrm{m}$ 

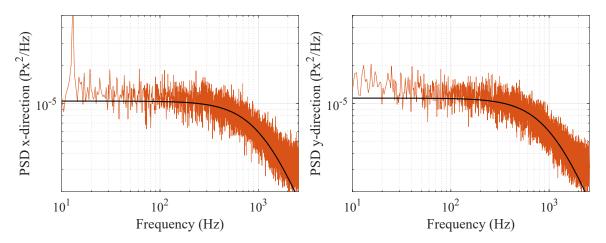


Figure B.18:  $k_x=68.0154 \mathrm{pN}/\mu\mathrm{m},\,k_y=64.6954 \mathrm{pN}/\mu\mathrm{m}$ 

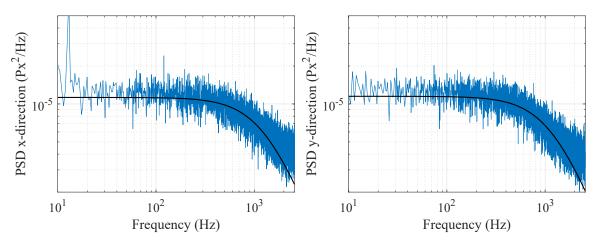


Figure B.19:  $k_x = 77.1914 \text{pN}/\mu\text{m}, k_y = 70.5412 \text{pN}/\mu\text{m}$ 

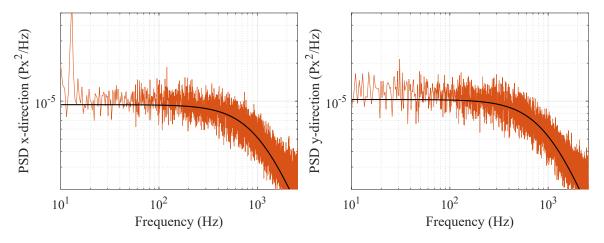


Figure B.20:  $k_x=65.5011 \mathrm{pN}/\mu\mathrm{m},\,k_y=60.4925 \mathrm{pN}/\mu\mathrm{m}$ 

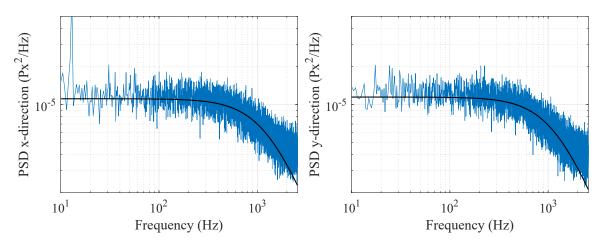


Figure B.21:  $k_x = 77.4360 \mathrm{pN}/\mu\mathrm{m}, \, k_y = 72.4398 \mathrm{pN}/\mu\mathrm{m}$ 

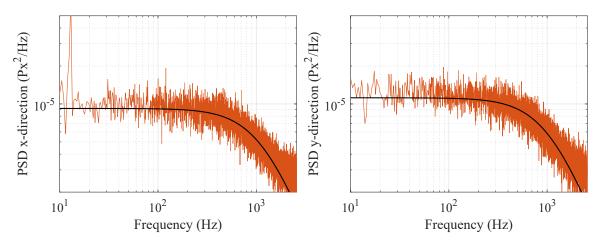


Figure B.22:  $k_x = 67.7851 \text{pN}/\mu\text{m}, k_y = 61.8914 \text{pN}/\mu\text{m}$ 

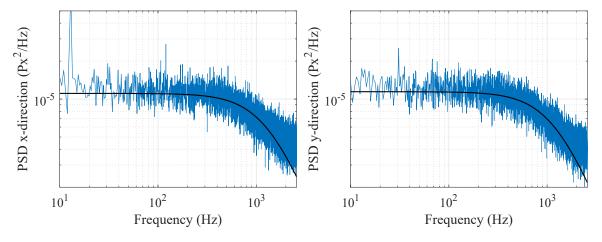


Figure B.23:  $k_x = 80.5437 \mathrm{pN}/\mu\mathrm{m}, \, k_y = 73.7746 \mathrm{pN}/\mu\mathrm{m}$ 

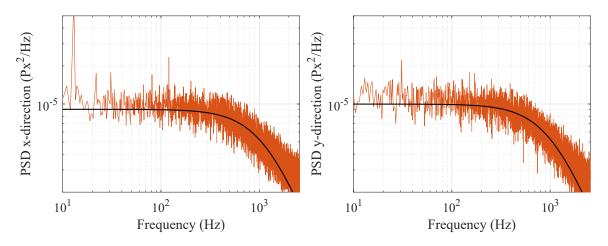


Figure B.24:  $k_x=69.0360 \mathrm{pN}/\mu\mathrm{m},\,k_y=62.6550 \mathrm{pN}/\mu\mathrm{m}$ 

### B.3 Data for 500nmm diameter particle

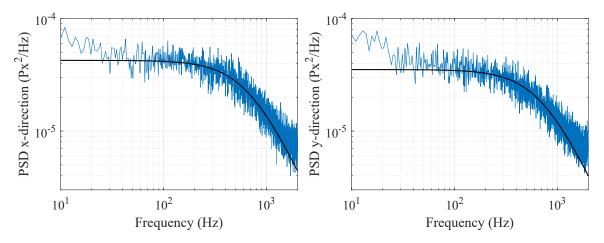


Figure B.25:  $k_x=20.2335 \mathrm{pN}/\mu\mathrm{m},\,k_y=21.2079 \mathrm{pN}/\mu\mathrm{m}$ 

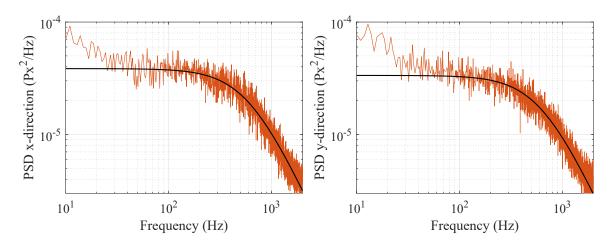


Figure B.26:  $k_x = 17.7502 \text{pN}/\mu\text{m}, k_y = 18.4455 \text{pN}/\mu\text{m}$ 

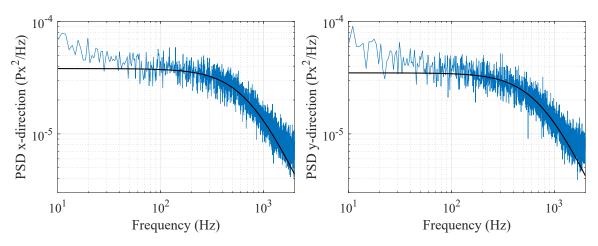


Figure B.27:  $k_x=21.4025 \mathrm{pN}/\mu\mathrm{m},\,k_y=22.0832 \mathrm{pN}/\mu\mathrm{m}$ 

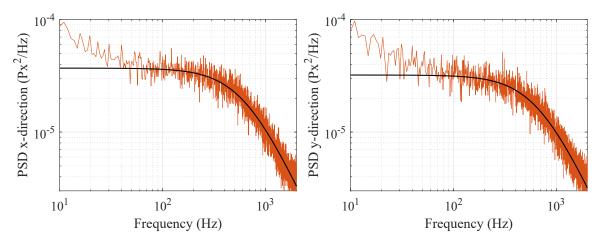


Figure B.28:  $k_x=18.5560 \mathrm{pN}/\mu\mathrm{m},\,k_y=19.7081 \mathrm{pN}/\mu\mathrm{m}$ 

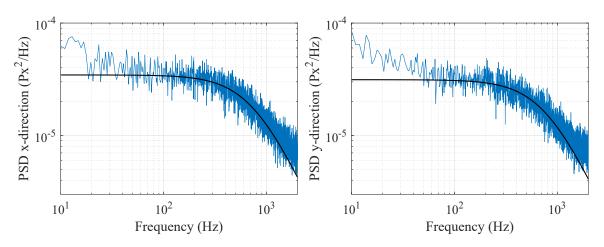


Figure B.29:  $k_x = 22.2028 \text{pN}/\mu\text{m}, \, k_y = 22.9997 \text{pN}/\mu\text{m}$ 

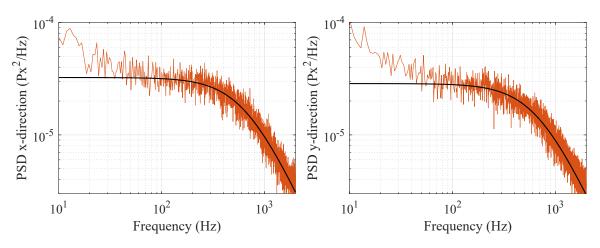


Figure B.30:  $k_x = 19.2673 \text{pN}/\mu\text{m}, k_y = 19.9635 \text{pN}/\mu\text{m}$ 

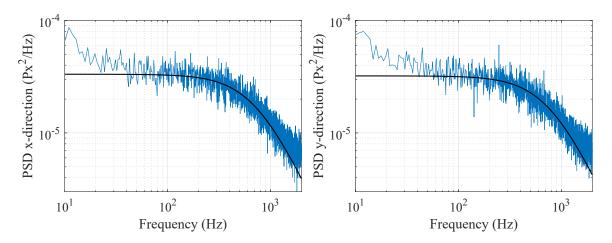


Figure B.31:  $k_x = 21.6464 \text{pN}/\mu\text{m}, \, k_y = 23.1000 \text{pN}/\mu\text{m}$ 

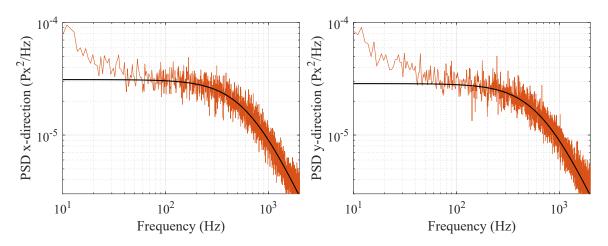
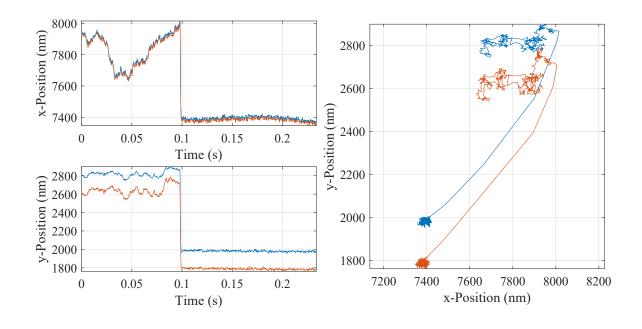
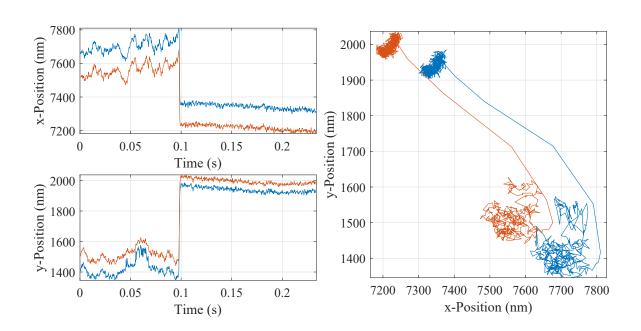


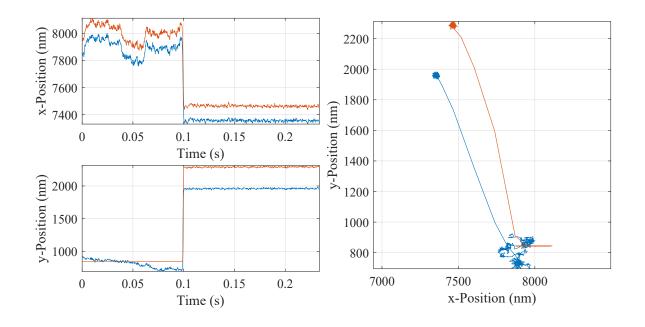
Figure B.32:  $k_x=18.8710 \mathrm{pN}/\mu\mathrm{m},\,k_y=19.8701 \mathrm{pN}/\mu\mathrm{m}$ 

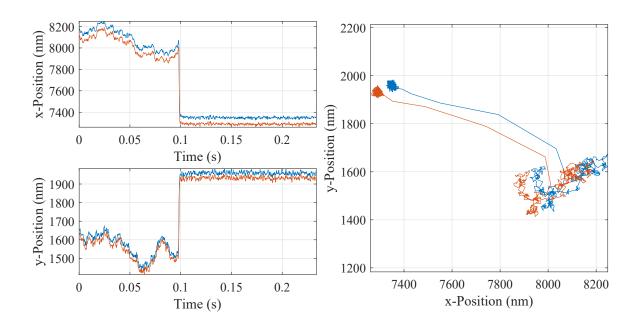
# APPENDIX C

TRR Experiments Data for  $2\mu\mathrm{m}$  Diameter Particle



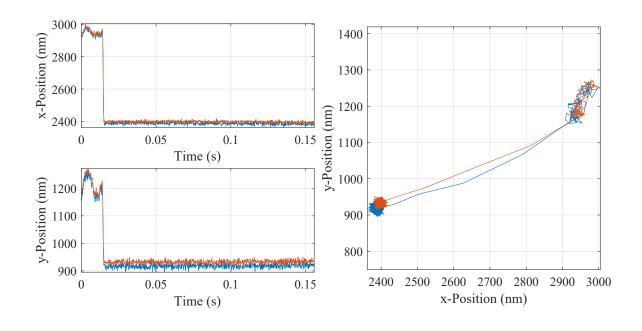


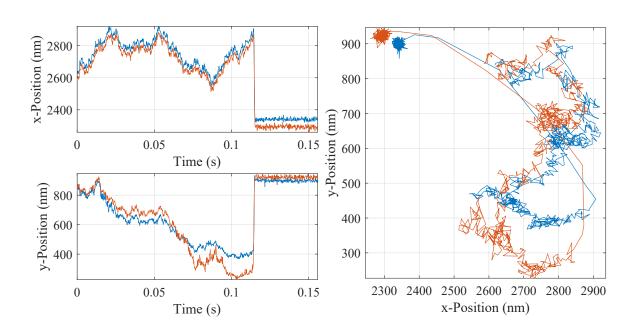


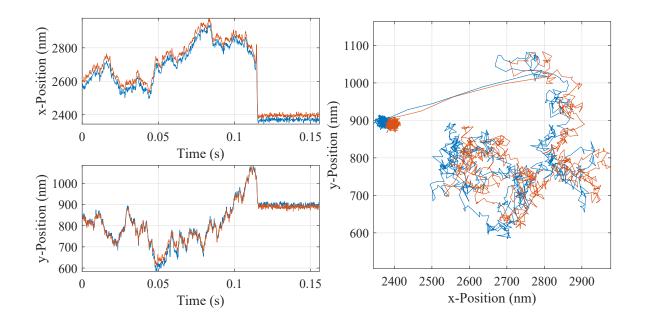


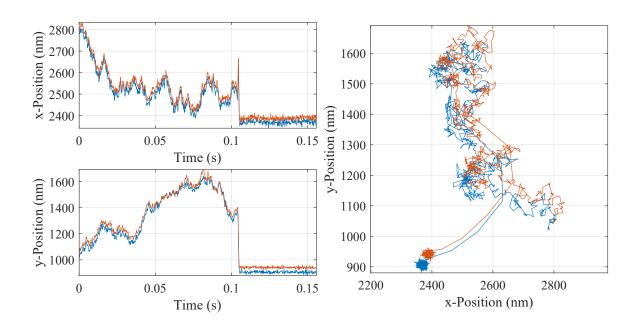
# APPENDIX D

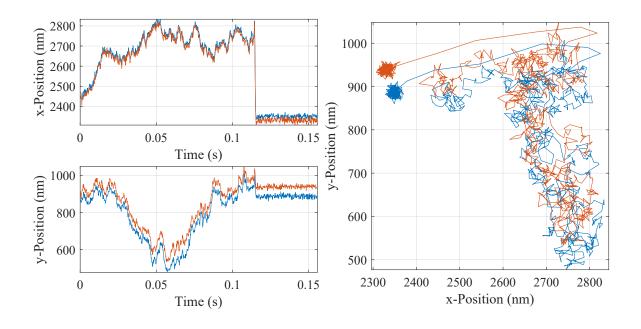
TRR Experiments Data for  $1\mu\mathrm{m}$  Diameter Particle

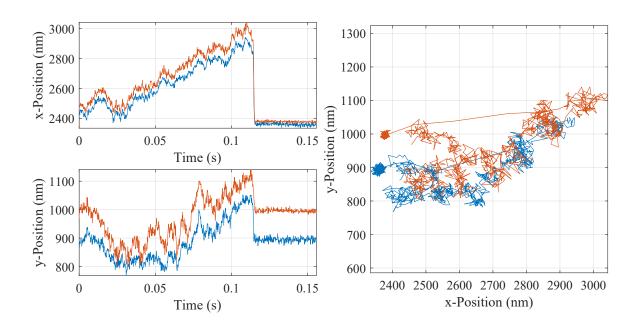


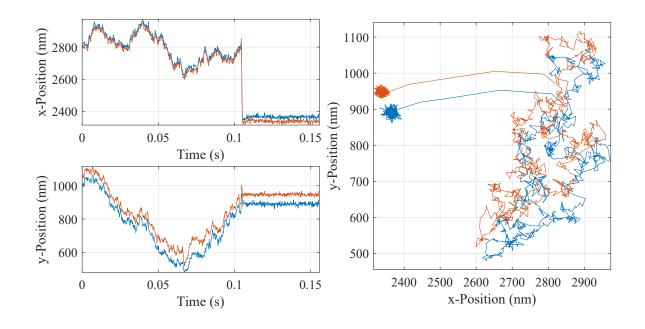


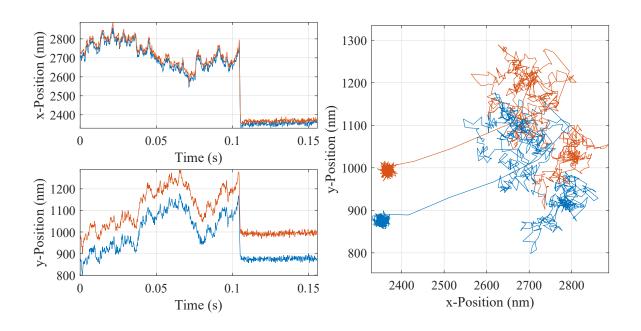


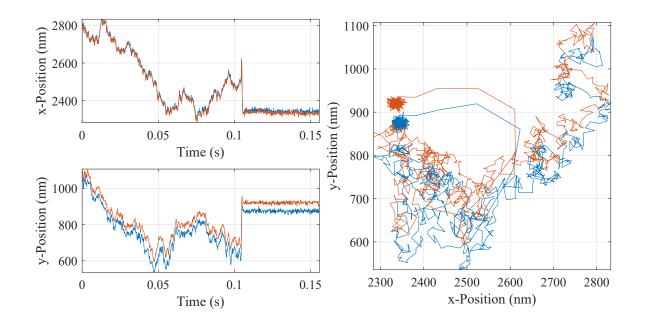


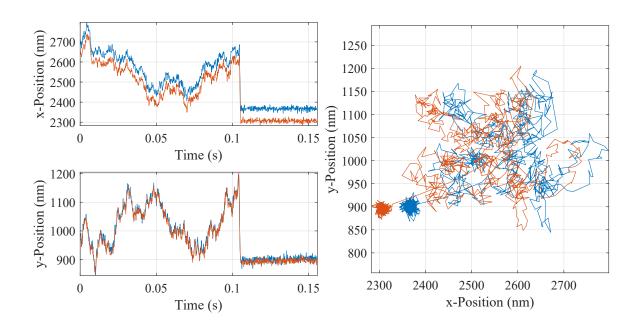


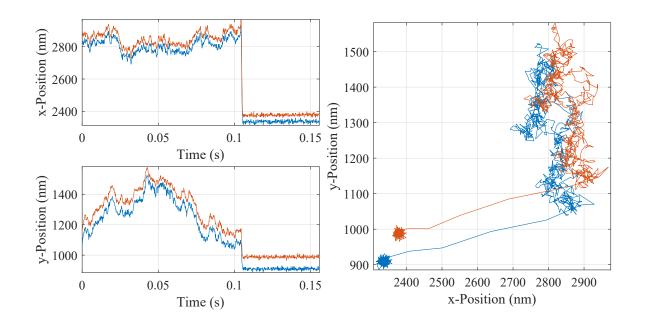


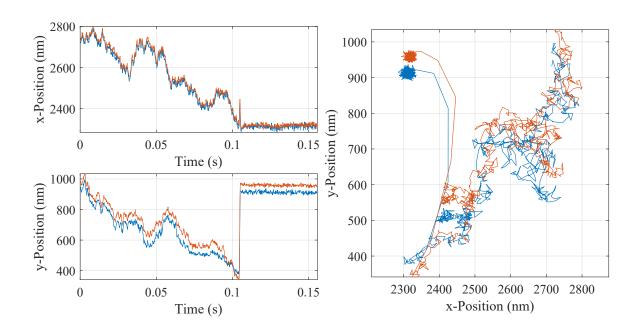


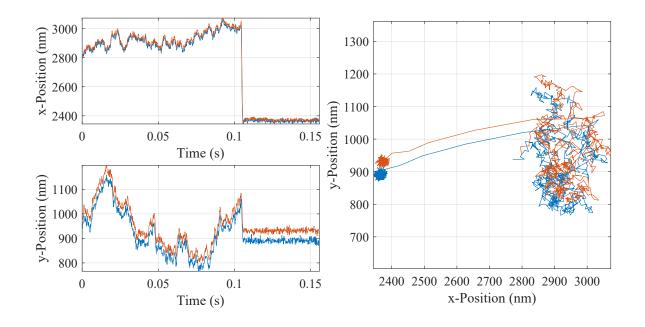


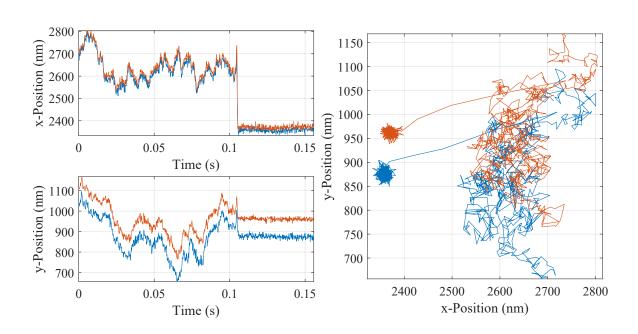


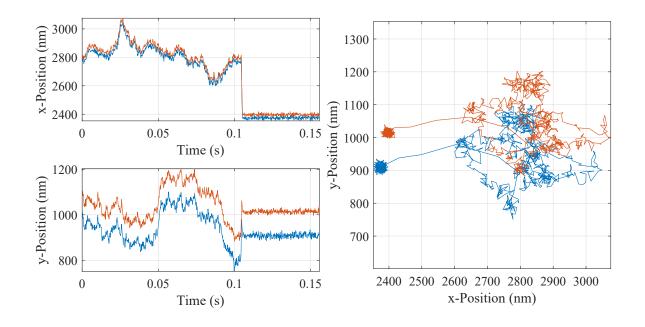


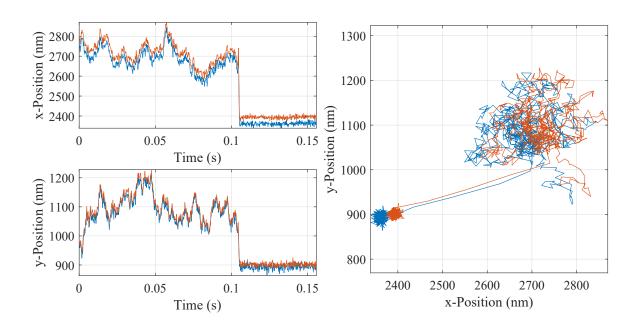


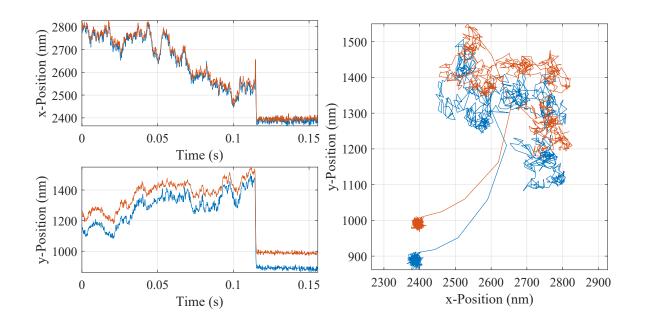


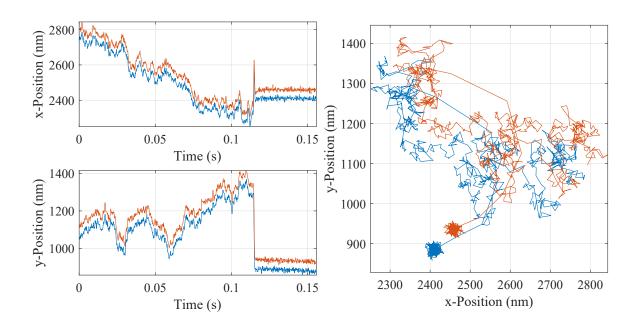


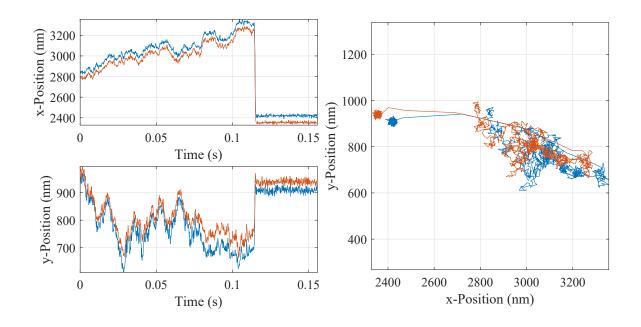


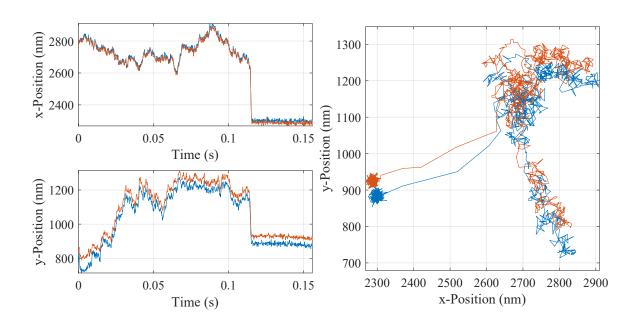


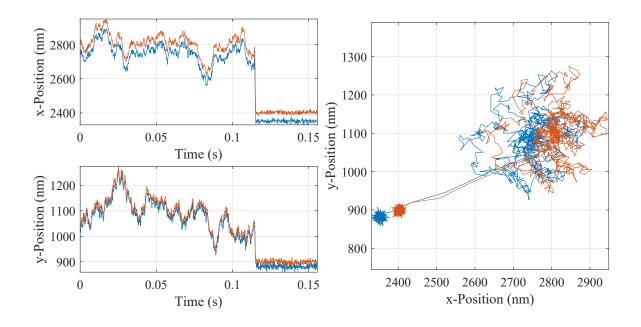


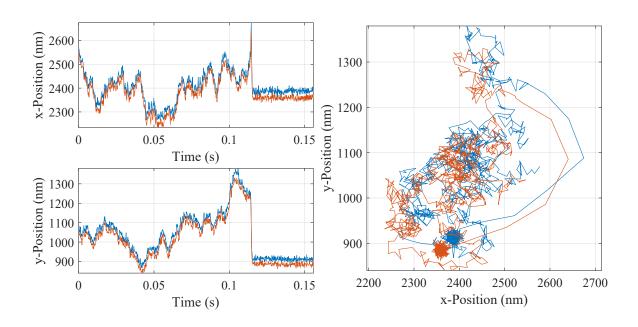


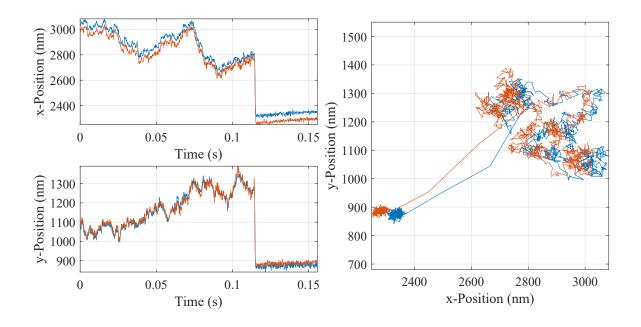


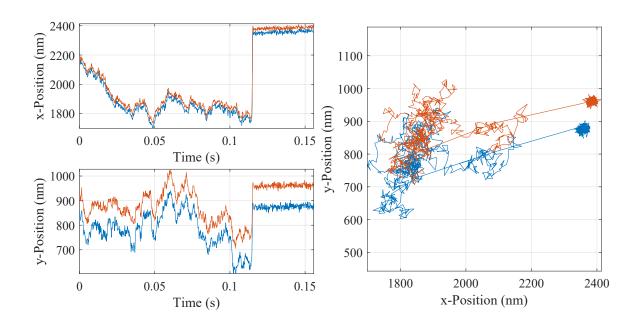


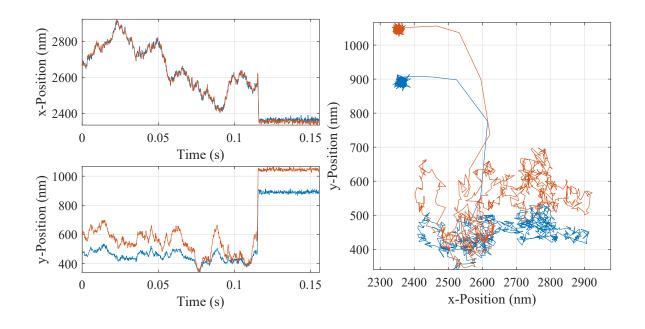


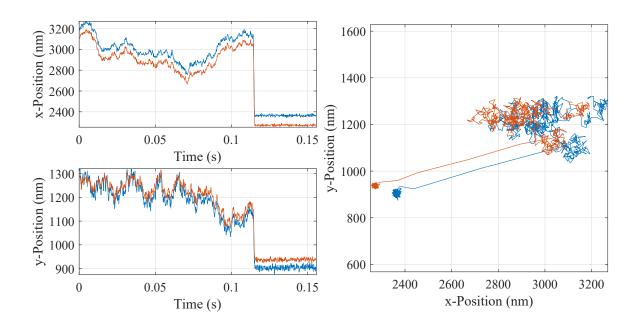


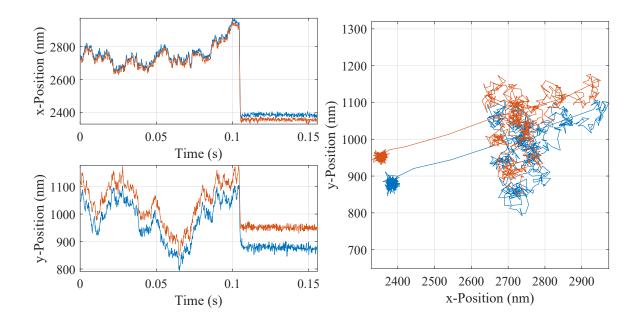


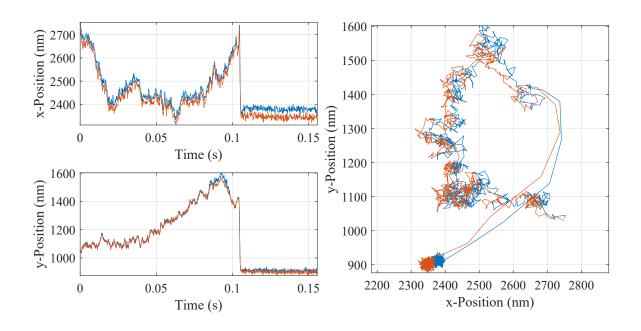


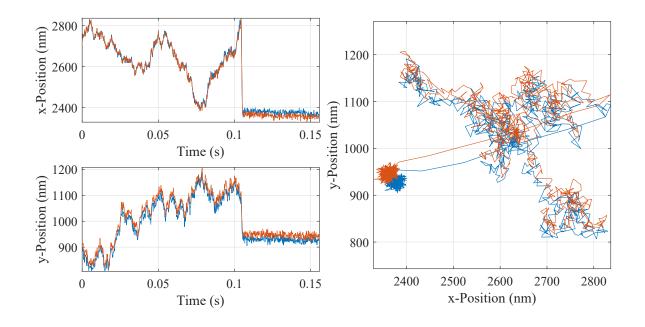


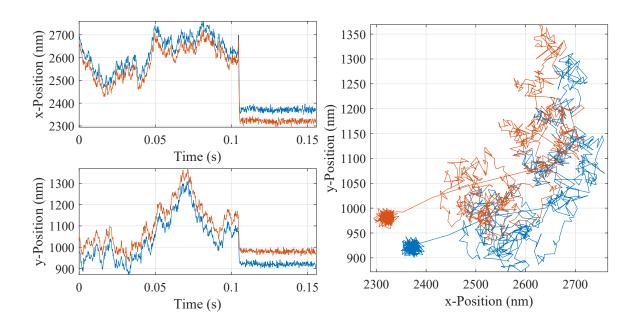


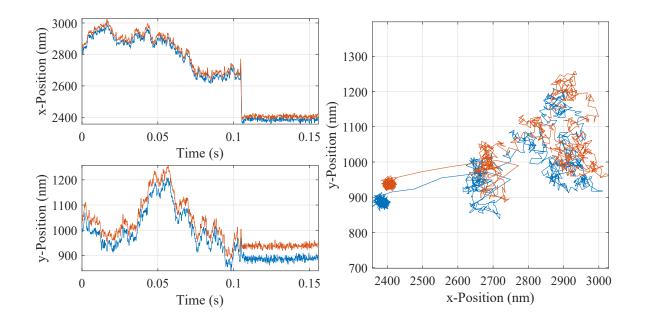


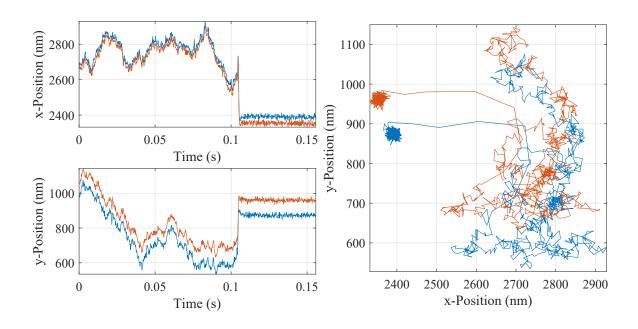


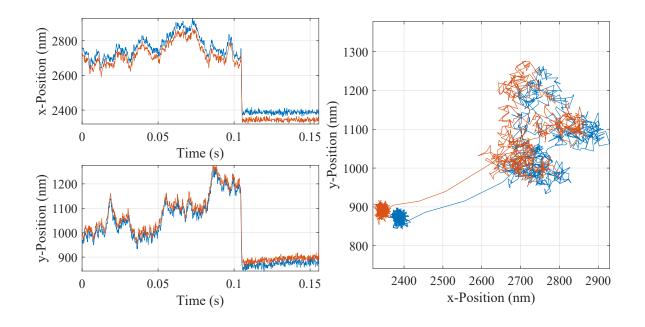


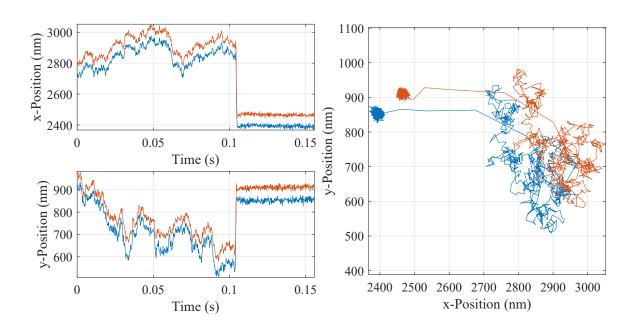


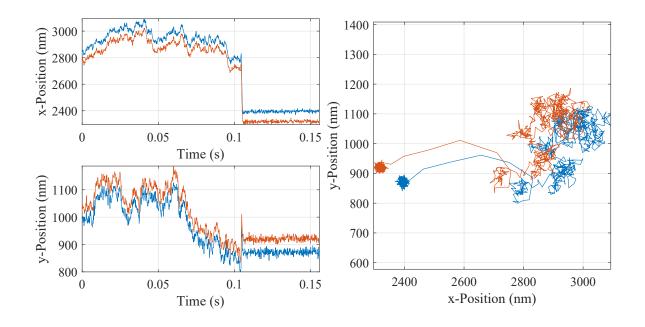


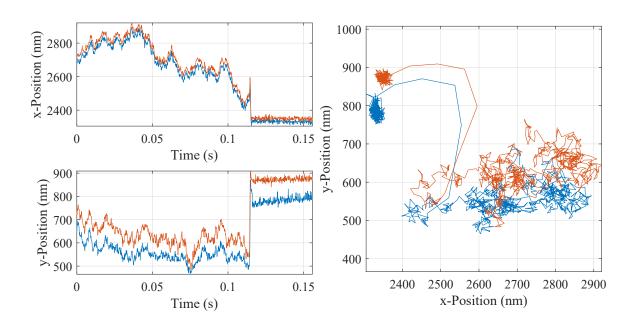


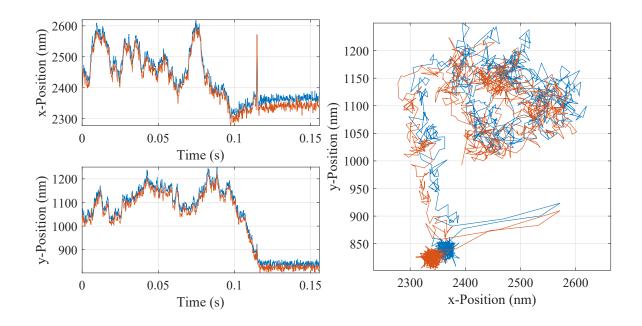






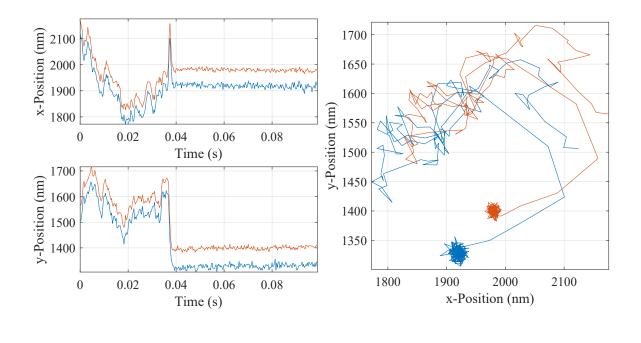


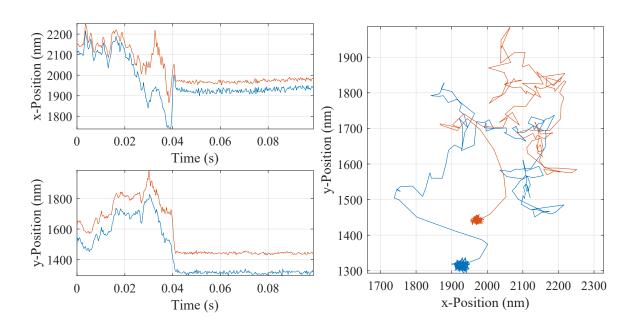


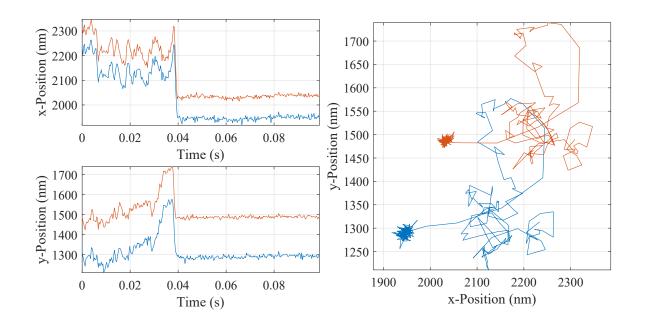


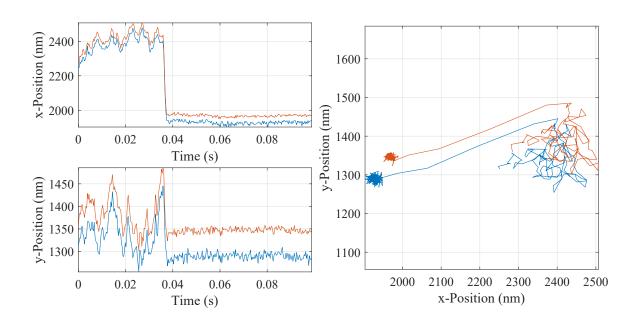
## APPENDIX E

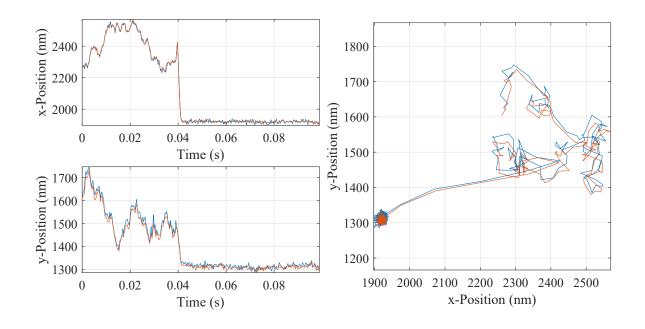
TRR Experiments Data for 500nm Diameter Particle

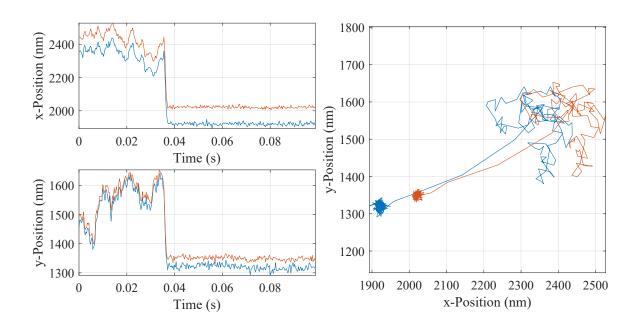


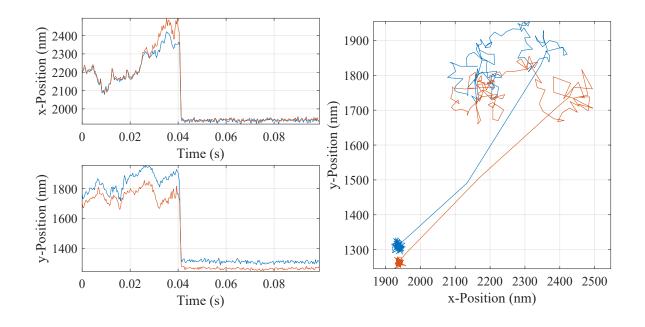


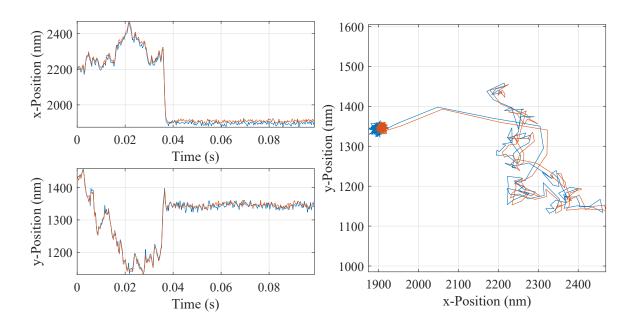


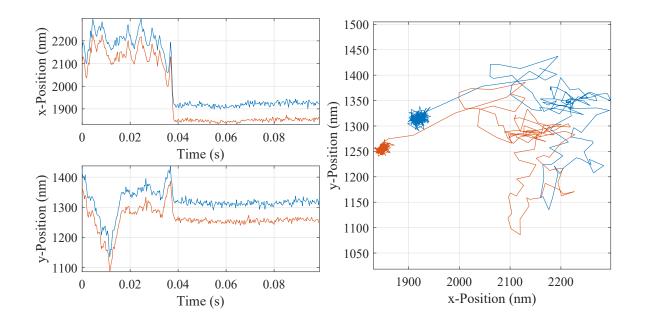


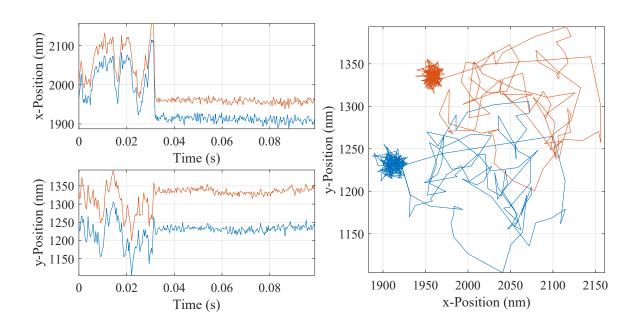


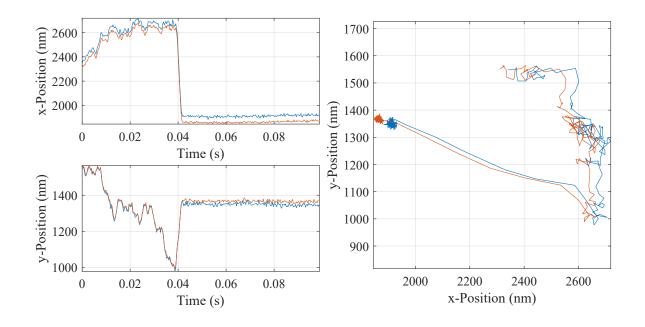


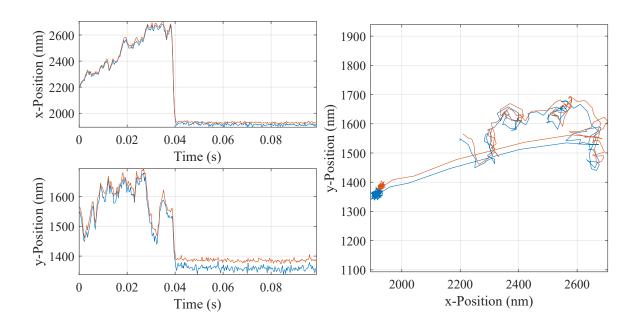


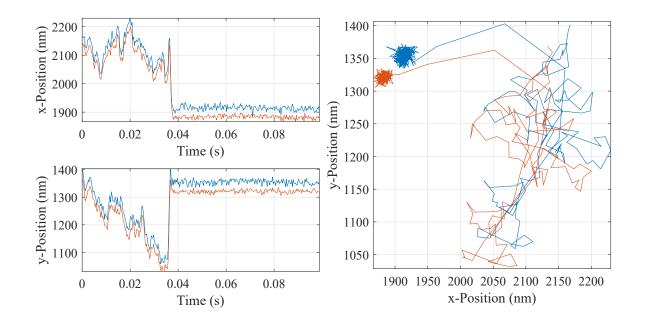


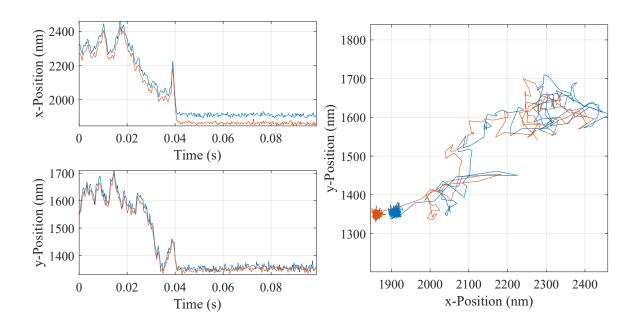


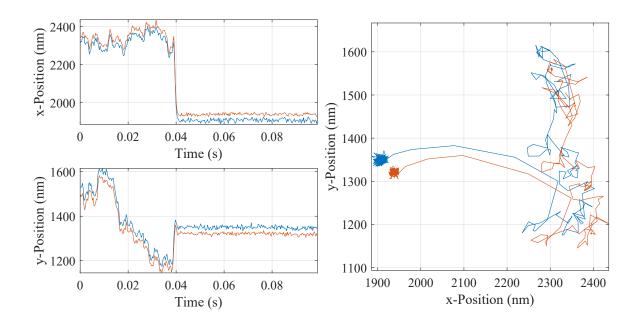


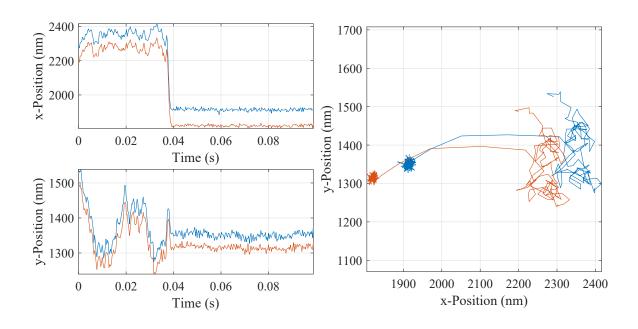


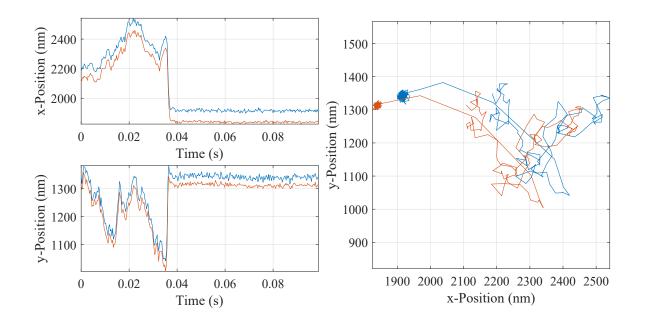


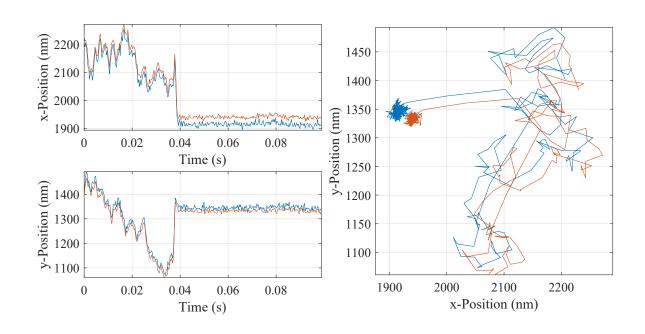


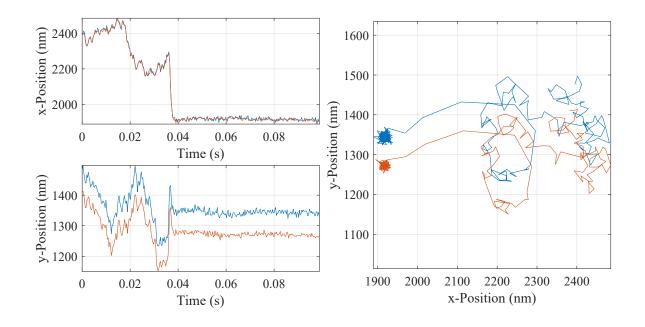


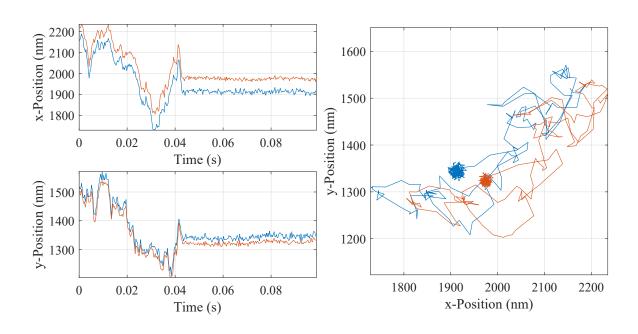


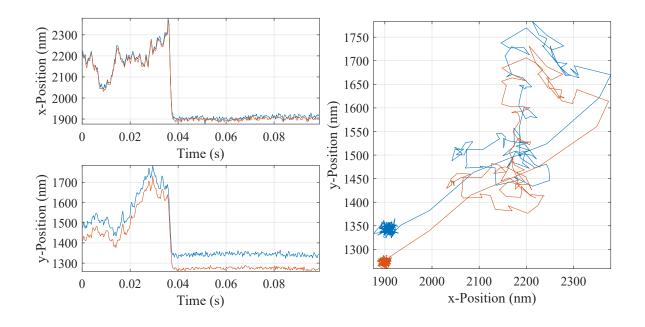


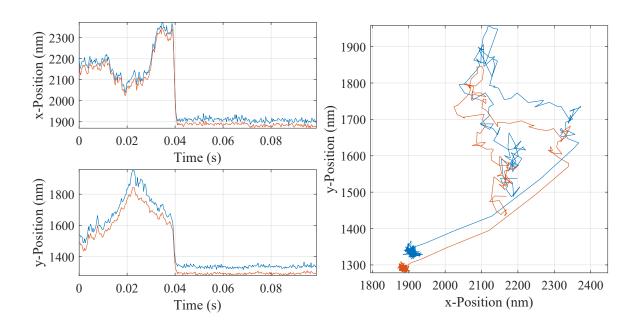


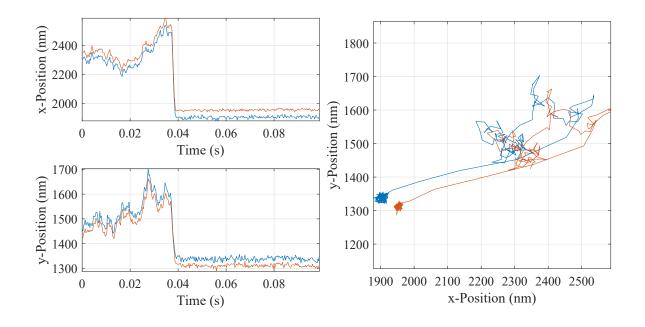


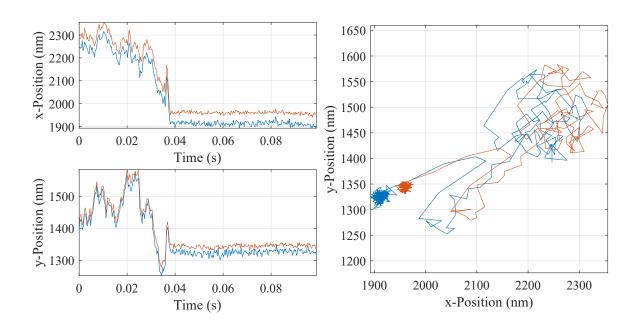


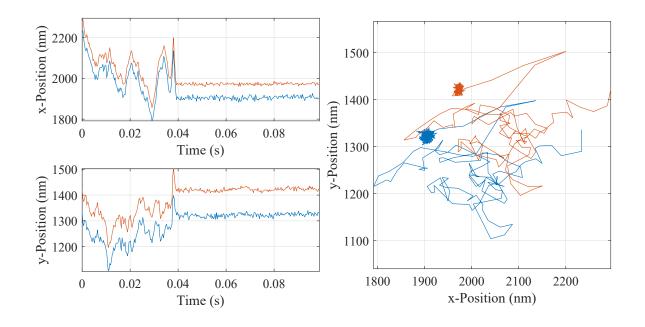


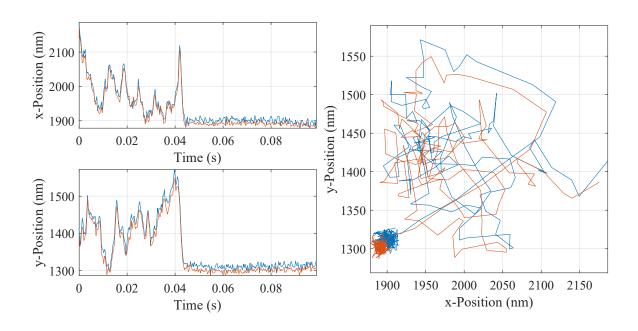


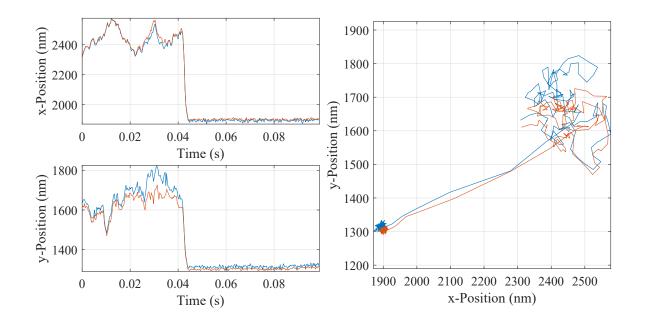


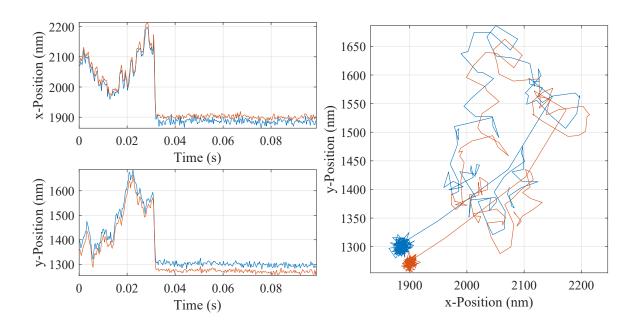


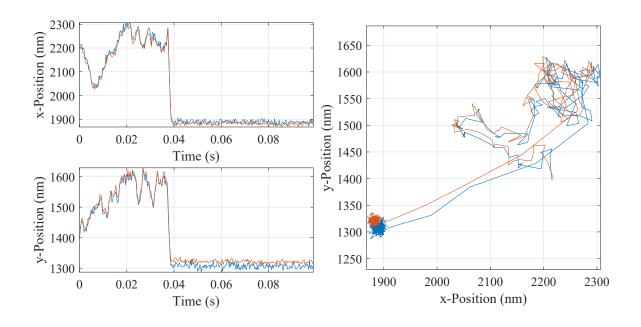


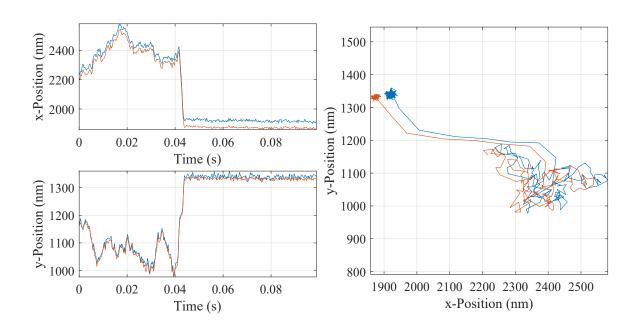


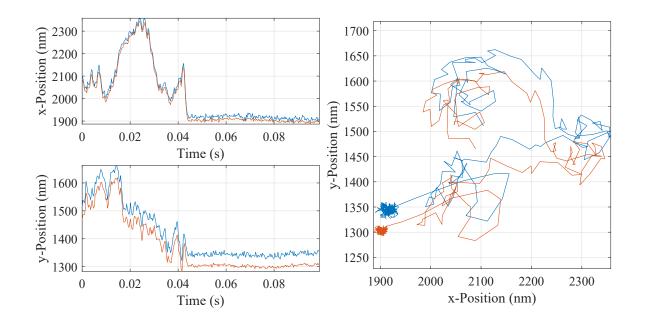


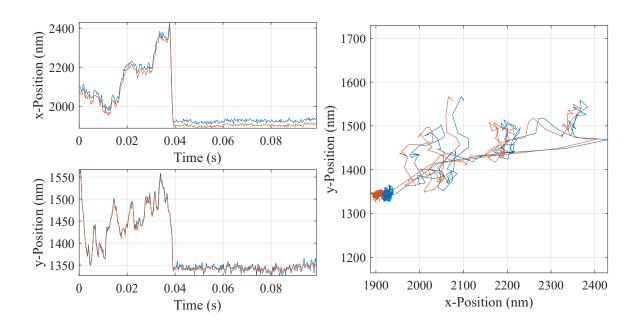


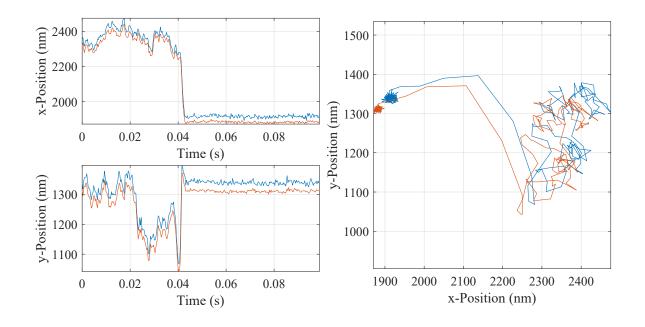


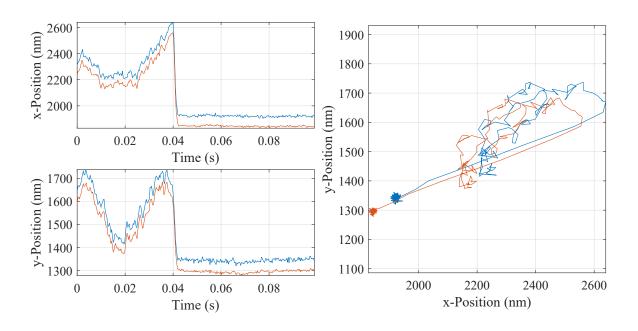


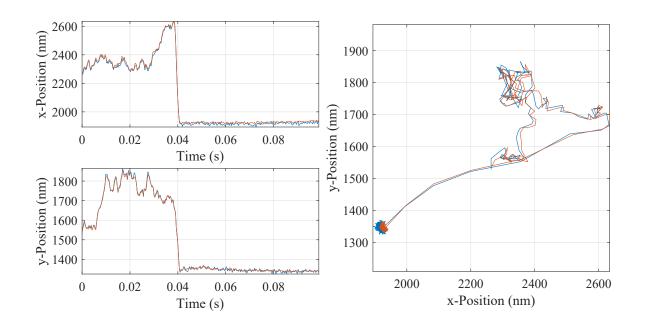


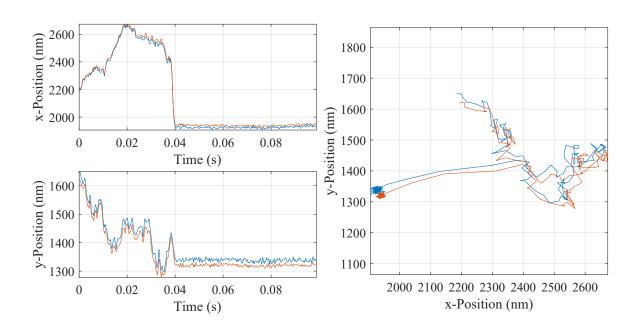


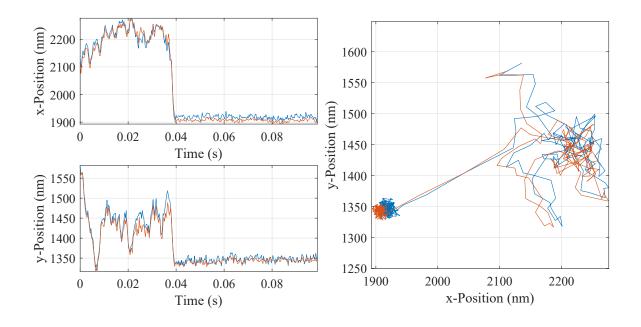


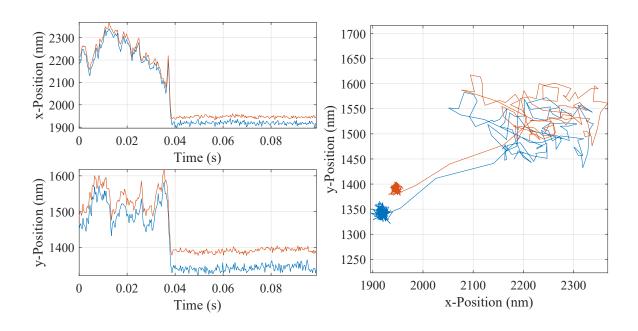


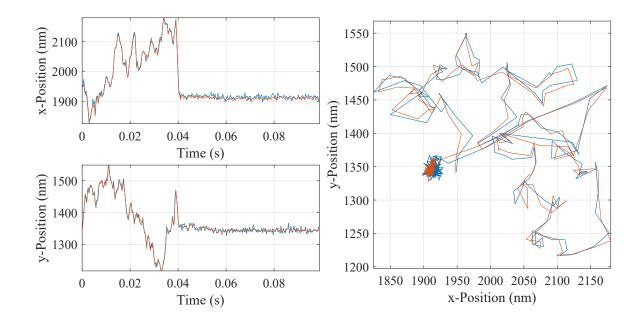












# APPENDIX F

Code Listings for the Optical Trapping Simulation

The code presented here relies on a bunch of packages from Julia programming language as well as a third-party toolbox for MATLAB. Following is the complete list of these,

## • Packages from Julia:

- DifferentialEquations.jl: Provides a big set of Stichastic Differential
   Equation solvers, some of which are used here.
- Interpolations.jl: Provides fast interpolation functionality, needed here to interpolate the laser force values.
- LinearAlgebra.jl: Provides functions and structures for matrix operations.
- MATLAB.jl: Used to communication with MATLAB, where the laser force calculation happens.
- MAT.jl: Used to store data in a MATLAB readable file format, \*.mat.
- Glob.jl: Provides functionality of file name matching and pattern finding.

#### • Toolbox for MATLAB:

- ott: Full name is Optical Tweezer Toolbox, available at https://github.com/ilent2/ott. It calculates the laser beam forces using T-Matrix approach of solving Mie Scattering problems. Used here to precaculate the force field created by the trapping laser.

## F.1 Directory tree

### F.2 Code Listings

#### F.2.1 inputSc0.jl

```
# Load system parameters, initial comditions and experimental data
    params = sysInfo(kgram = 1e15, meter = 1e3, second = 1e3, kelvin = 1, temp = 293.15, \rho_s =
    \hookrightarrow 2000, r_s = 1e-6, \rho_m = 998.2071, \mu_m = 0.001002, n_s = 1.45, n_m = 1.33, NA = 1.2, \lambda_\theta =
    \rightarrow 1064e-9, sf = 1);
    P = 300 * 1e-3 * (params.kgram * params.meter^2 / params.second^3);
    expNo = 1;
 4
 5
    # Load experimental data
 6
    expFile = matopen("./expData/tek" * string(expNo, base = 10, pad = 4) * ".mat"); # The
    \rightarrow dataset has time in ms and displacement in mm
    qpdTrTime = vec(read(expFile, "qpdTrTime")) * 1e-3 * params.second;
    vidTrTime = vec(read(expFile, "vidTrTime")) * 1e-3 * params.second;
    qpdTrData = read(expFile, "qpdTrData") * 1e-3 * params.meter;
10
    vidTrData = read(expFile, "vidTrData") * 1e-3 * params.meter;
11
    close(expFile);
12
    # Define initial condition and time span
14
    expInitIdx = 89;
15
   # q123 = [vidTrpData(1,1) vidTrpData(1,2) -3.023*lambda0];
16
    q123 = [qpdTrData[expInitIdx, 1]; qpdTrData[expInitIdx, 2]; -0 * params.\lambda_0];
17
    qd123 = [0; 0; 0] * params.meter / params.second; # Initial speed
18
    w = 0; # Work done
19
   q0 = [q123; qd123; w]; # Initial state vector
20
    dt = 2e-6 * params.second; # m/beta v/5;
```

```
tVals = Vector(qpdTrTime[expInitIdx]:dt:qpdTrTime[end]);
relaxationTime = params.ms / params.βν;
subInter = dt / (ceil(dt / relaxationTime * 5) - 1);
```

#### F.2.2 compBeamForce.jl

```
struct forceVals
        Z :: Matrix{<:Real}</pre>
 2
        fVals :: Array{<:Real,3}
 3
        tVals :: Array{<:Real,3}
 4
        X :: Matrix{<:Real}</pre>
 5
        fStiffness :: Vector{<:Real}</pre>
 6
        k :: Matrix{<:Real}</pre>
        x :: Vector{<:Real}
        z :: Vector{<:Real}</pre>
10
        function forceVals(matPath::String)
11
            matStruct = matread(matPath);
12
13
            @assert haskey(matStruct,"Z") && haskey(matStruct,"fVals") &&
14
             → haskey(matStruct,"tVals") && haskey(matStruct,"X") &&
             → haskey(matStruct,"fStiffness") && haskey(matStruct,"k") &&
             → haskey(matStruct,"x") && haskey(matStruct,"z")
            nx = length(matStruct["x"]); nz = length(matStruct["z"]);
15
            @assert size(matStruct["Z"],1) == nz && size(matStruct["X"],1) == nz &&
16

    size(matStruct["fVals"],1) == nz && size(matStruct["tVals"],1) == nz

            @assert size(matStruct["Z"],2) == nx \&\& size(matStruct["X"],2) == nx \&\&
17

    size(matStruct["fVals"],2) == nx && size(matStruct["tVals"],2) == nx

            @assert length(matStruct["fStiffness"]) == 3 && size(matStruct["k"]) == (6,6)
18
19
20
                new(matStruct["Z"],matStruct["fVals"],matStruct["tVals"],matStruct["X"],vec(matStruct["fStiffness"]
        end
21
    end
22
23
    function compBeamForce(params::sysInfo,P::Union{Vector{<:Real},Real})</pre>
24
        if !ispath("./inputData/forceFields/")
26
             mkpath("./inputData/forceFields/");
27
        end
28
29
        mlSessionSet = false;
30
31
        for pwr in P
32
            if !isfile("./inputData/forceFields/" * string(trunc(Int,params.rs*2e6)) * "." *
33

    string(trunc(Int,pwr*1e-9)) * ".mat")

                 println("Computing force field for $pwr mW power.")
34
                 if !mlSessionSet
35
                     global s = MSession();
36
```

```
put variable(s, :kgram, mxarray(params.kgram));
37
                     put_variable(s, :meter, mxarray(params.meter));
                     put variable(s, :second, mxarray(params.second));
39
                     put variable(s, :r, mxarray(params.rs));
40
                     put_variable(s, :NA, mxarray(params.NA));
41
                     put variable(s, :n s, mxarray(params.ns));
42
                     put_variable(s, :n_m, mxarray(params.n_m));
43
                     put variable(s, :lambda0, mxarray(params.λ₀));
44
                     put variable(s, :c, mxarray(params.c));
45
                     mlSessionSet = true;
46
47
                 end
                 put_variable(s, :P, mxarray(pwr));
48
                mat"
49
                 % Generate the beam in SI units
50
                beam = ott.BscPmGauss('NA',NA,'polarisation',[1
51
                 → 1i], 'power', P/(kgram*meter^2/second^3),...
                 'index_medium',n_m,'wavelength0',lambda0/(meter));
52
                 % Calculate T-matrix in SI units
53
54
                 shape = ott.shapes.Shape.simple('sphere',r/(meter));
                 T = ott.Tmatrix.simple(shape, 'index_medium', n_m, ...
55
                 'index particle', n s, 'wavelength0', lambda0/(meter));
56
57
                % Compute the forces at different locations of the beam
58
                 z = (-4:0.01:1)*lambda0;
                 x = (0:0.01:3)*lambda0;
60
                 [X,Z] = meshgrid(x,z);
61
                 fVals = zeros([size(Z),3]);
62
                tVals = zeros([size(Z),3]);
63
                 parfor j = 1:size(Z,2)
64
                     [force,torque] = ott.forcetorque(beam, T,'position',[X(:,j)
65

    zeros(size(Z,1),1) Z(:,j)]'/(meter));

                     fVals(:,j,:) = (n_m*P/c)*reshape(force',size(Z,1),1,3);
                     tVals(:,j,:) = (lambda0*P/c)*reshape(torque',size(Z,1),1,3);
67
                 end
68
69
                % Compute trap stiffness
70
                 [~,~,k] = ott.trap_stiffness(beam,T);
71
                 fStiffness = abs(diag(-k(1:3,1:3)*(n m*P/c)/meter)); % Unit - pN/mm
72
73
                 % Save data to a file
74
                 save(['./inputData/forceFields/' num2str(r*2e6) '.' num2str(P*1e-9)
75
                    '.mat'],'Z','X','z','x','fVals','tVals','k','fStiffness');
76
            end
77
78
        end
        if mlSessionSet
79
            eval_string(s,"delete(gcp('nocreate'))");
            close(s);
81
        end
82
    end
83
```

# F.2.3 der.jl

```
0.00
 1
 2
     ....
3
4
    function der_f!(x::Vector{<:Real}, x::Vector{<:Real}, sysData::Tuple{sysInfo,Any,Any},</pre>
     params = sysData[1]
 6
         fx = sysData[2]
 7
         fy = sysData[3]
         fz = sysData[4]
9
10
         \dot{x}[1:3] = x[4:6]
11
12
         # Convert cartesian coordinates to cylindrical
13
14
         \phi = \operatorname{atan}(x[2], x[1])
         rMat = [\cos(\phi) - \sin(\phi) \ 0; \sin(\phi) \cos(\phi) \ 0; \ 0 \ 0 \ 1]
15
16
         qCyl = rMat' * x[1:3]
^{17}
         # Calculate the force on the particle
18
         F\_beam = rMat * [fx(qCyl[1], qCyl[3]); fy(qCyl[1], qCyl[3]); fz(qCyl[1], qCyl[3])]
19
20
         # Calculation of translational acceleration
21
         F drag = -params.\beta_{v} * x[4:6]
22
         F_bg = (params.m_s - params.V_s * params.\rho_m) * [0; 0; -params.g] #
23
         → [0;0;params.rho_m*params.g*params.Vol] - params.m*[0;0;params.g]
         F = F_bg + F_beam + F_drag
^{24}
         \dot{x}[4:6] = params.sf * params.M^{-1} * F
25
26
         if any(isnan.(\dot{x}))
27
              throw(error("x has NaN values."))
28
         end
29
    end
30
31
     function der_g!(x::Vector{<:Real}, x::Vector{<:Real}, sysData::Tuple{sysInfo,Any,Any},</pre>
32
     params = sysData[1]
33
34
         val = params.sf * params.\sigma_v / params.m_s
35
36
         \dot{x}[1] = 0
37
         \dot{x}[2] = 0
38
         \dot{x}[3] = 0
39
         \dot{x}[4] = val
40
         \dot{x}[5] = val
41
42
         \dot{x}[6] = val
    end
43
```

### F.2.4 opticalTweezer.jl

```
module opticalTweezer
    using MATLAB
3
    using MAT
4
    using LinearAlgebra
6
   export forceVals, compBeamForce, myinterp, sysInfo, der_f!, der_g!
    include("myinterp.jl")
   include("sysInfo.jl")
10
   include("compBeamForce.jl")
   include("der.jl")
12
13
   end
14
```

### F.2.5 sysInfo.jl

```
struct sysInfo
        # All parameters values are defined with SI(kgram, meter, second) unit system.
2
3
        kgram :: Real; meter :: Real; second :: Real; kelvin :: Real;
        temp :: Real # System Temperature
4
        k□ :: Real# Boltzmann Constant
        ρs :: Real # Bead density
        rs :: Real # Bead radius 0.5e-3*(meter);#
        Vs :: Real # Bead volume
        ms :: Real # Bead mass
        M<sup>-1</sup> :: Matrix{<:Real} # Inverse of mass matrix
10
        I :: Matrix{<:Real} # Inertia matrix</pre>
11
        g :: Real # Gravitational acceleration
12
        pm :: Real # Density of fluid medium
13
        \mu_m :: Real # Dynamic viscosity of fluid medium at 20d C
        \beta_{v} :: Real # Translational drag coefficient
15
        \beta\omega :: Real # Rotational drag coefficient
        \sigma_{v} :: Real # Standard deviation for translational Brownian motion
17
        σω :: Real # Standard deviation for rotational Brownian motion
18
        ns :: Real # Particle's refractive index
19
        nm :: Real # Medium's refractive index
20
        c :: Real # Speed of light in a vaccum
21
        NA :: Real # Numerical Aperture of objective
22
        \lambda_0 :: Real # Wavelength of laser
23
        sf :: Real # Scaling factor
24
25
26
27
             sysInfo(;kgram,meter,second,kelvin,temp,\rho_s,r_s,\rho_m,\mu_m,n_s,n_m,NA,\lambda_\theta,sf)
28
29
```

```
Creates a `sysInfo` structure to store all the necessary Optical Tweezer simulation
30
                → parameters.
31
                # Example
32
                ```julia> sysInfo(kgram = 1e15, meter = 1e3, second = 1e3, kelvin = 1, temp = 293.15,
33
                \hookrightarrow \rho_s = 1050, r_s = 0.25e-6, \rho_m = 998.2071, \mu_m = 0.001002, n_s = 1.57773, n_m = 1.33, NA
                \rightarrow = 1.3, \lambda_0 = 8e-7, sf = 1)\``
34
                All parameters values should be provided in SI(kgram, meter, second) unit system.
35
36
                Conversion to desired unit system will be handeled using `kgram`, `meter`, `second` and
37
                → `kelvin` parameters at the time of object construction.
                # Member/Parameter explanations
39
                - `kgram` : Unit conversion constant for kg. If the unit system uses mg then this value
40
                → would be 1e6.
                - `meter` : Unit conversion constant for m. If the unit system uses nm then this value
                \rightarrow would be 1e9.
42
                - `second` : Unit conversion constant for s. If the unit system uses \mu s then this value
                \rightarrow would be 1e6.

    `kelvin` : Unit conversion constant for °K. This is generally 1.

43
                - `temp` : System Temperature in °K
44
                - `k□` : Boltzmann Constant
45
                - `\rho_s` : Bead density
46
                - `rs`
                            : Bead radius
47
                - V_s: Bead volume = 4/3*\pi*r_s*r_s*r_s
48
                - `m_s` : Bead mass = `\rho_s*V_s`
49
                - M^{-1}: Inverse of mass matrix = diagm([1/m_s, 1/m_s, 1/m_s])
50
                    `I` : Inertia matrix = `diagm([2/5*ms*rs*rs, 2/5*ms*rs*rs, 2/5*ms*rs*rs])`
51
                - `g` : Gravitational acceleration = `9.80665*(meter/second^2)`
52
                - \rho_m: Density of fluid medium
53
                             : Dynamic viscosity of fluid medium at defined `temp`
                - \beta_v: Translational drag coefficient = 6*\pi*\mu_m*r_s
55
                - `\beta\omega` : Rotational drag coefficient = `8*\pi*\mu_m*r_s^3`
56
                - `σ<sub>v</sub>` : Standard deviation for translational Brownian motion = `sqrt(2*β<sub>v</sub>*k<sub>□</sub>*temp)`
57
                - `σω` : Standard deviation for rotational Brownian motion = `sqrt(2*βω*k∏*temp)`
                 - `ns` : Particle's refractive index
59
                - `nm` : Medium's refractive index
60
                - `c` : Speed of light in a vaccum
61
62
                - `NA` : Numerical Aperture of objective
                - `\lambda_{\theta} : Wavelength of laser
63
                - `sf` : Scaling factor
64
65
                function
66

→ sysInfo(;kgram::Real,meter::Real,second::Real,kelvin::Real,temp::Real,ρs::Real,rs::Real,ρm::Real,μm::Re
                       temp = temp*kelvin;
67
                        k = 1.38064852*1e-23*(meter^2*kgram/second^2/kelvin);
                       \rho_s = \rho_s * (kgram/meter^3);
69
                       r_s = r_s*(meter);
                       V_s = 4/3*\pi*r_s*r_s*r_s:
71
                       m_s = \rho_s * V_s;
```

```
M^{-1} = diagm([1/m_s, 1/m_s, 1/m_s]);
73
               I = diagm([2/5*m_s*r_s*r_s, 2/5*m_s*r_s*r_s, 2/5*m_s*r_s*r_s]);
74
               g = 9.80665*(meter/second^2);
75
               \rho_m = \rho_m^* (kgram/meter^3);
76
               \mu_m = \mu_m^* (kgram/meter/second);
77
               \beta_{v} = 6*\pi*\mu_{m}*r_{s};
78
               \beta\omega = 8*\pi*\mu_m*r_s^3;
79
               \sigma_v = \text{sqrt}(2*\beta_v*k_{\square}*\text{temp});
80
               σω = sqrt(2*βω*k[]*temp);
82
               new(
83
                    kgram, meter, second, kelvin,
84
                    temp*kelvin,
 85
                    k∏,
86
 87
                    ρs,
                    rs,
88
                    Vs,
 89
                    Мs,
90
                    M^{-1},
91
                    I,
92
93
                    g,
94
                    ρm,
95
                    μm,
                    βν,
96
                    βω,
97
98
                    σν,
                    σω,
99
100
                    ns,
                    nm,
101
                    299792458*(meter/second),
102
                    NA,
103
                    \lambda_0*(meter),
104
                    sf
105
106
          end
107
108
      end
109
      function Base.print(io::Core.IO, params::sysInfo)
110
          for fname in fieldnames(typeof(params))
111
                println("$fname = $(getfield(params, fname))")
112
          end
113
      end
114
115
      Base.print(params::sysInfo) = print(stdout,params)
116
117
118
      Base.println(io::Core.IO,params::sysInfo) = println(io,params)
119
      Base.println(params::sysInfo) = println(stdout,params)
120
```

### F.2.6 beadSimCompScaling.jl

```
include("./otModule/opticalTweezer.jl")
    using .opticalTweezer
    using DifferentialEquations
    using Interpolations
 4
    using Glob
    using MAT
 6
    using DifferentialEquations.EnsembleAnalysis
    for i in 0:3
 9
        include("./inputData/inputSc" * string(i) * ".jl")
10
11
        # Compute the force field and load the data
12
        compBeamForce(params, P)
13
        forceData = forceVals("./inputData/forceFields/" * string(trunc(Int, params.rs * 2e6))
14
         → * "." * string(trunc(Int, P * 1e-9)) * ".mat")
        fx = scale(interpolate(forceData.fVals[:, :, 1]', BSpline(Quadratic(Line(OnGrid())))),
15
        \rightarrow (0:0.01:4) * params.\lambda_0, (-4:0.01:4) * params.\lambda_0)
        fy = scale(interpolate(forceData.fVals[:, :, 2]', BSpline(Quadratic(Line(OnGrid())))),
16
         \rightarrow (0:0.01:4) * params.\lambda_0, (-4:0.01:4) * params.\lambda_0)
        fz = scale(interpolate(forceData.fVals[:, :, 3]', BSpline(Quadratic(Line(OnGrid())))),
17
        \rightarrow (0:0.01:4) * params.\lambda_0, (-4:0.01:4) * params.\lambda_0)
18
        # Run the SDE solver
19
        prob = SDEProblem(der_f!, der_g!, q0[1:(end-1)], (tVals[1], 30), (params, fx, fy, fz))
20
        ensembleprob = EnsembleProblem(prob)
21
        compTime = @elapsed begin
22
             sol = solve(ensembleprob, ImplicitEM(), EnsembleThreads(), trajectories=10000)
23
        end
        summ = EnsembleSummary(sol)
25
        compTime = @elapsed begin
26
             sol = solve(ensembleprob, ImplicitEM(), EnsembleThreads(), trajectories=10000,
27

    saveat=summ.t)

        end
28
        qMean, qVar = timeseries steps meanvar(sol)
29
        qMean = Array(qMean[:, :]')
30
        qVar = Array(qVar[:, :]')
31
        t = Array(sol.u[1].t)
32
        println(compTime)
33
34
        # Save the data to a mat file
35
        if !ispath("./results/ensemble/")
36
             mkpath("./results/ensemble/")
37
        end
        rm.(glob("./results/ensemble/" * "tek" * string(expNo, base=10, pad=4) * "." *
39

    string(params.sf) * ".mat"))
        matwrite("./results/ensemble/" * "tek" * string(expNo, base=10, pad=4) * "." *
40
         → string(params.sf) * ".mat", Dict(
             "expNo" => expNo,
41
             "kgram" => params.kgram,
42
```

```
"meter" => params.meter,
43
              "second" => params.second,
44
              "kelvin" => params.kelvin,
45
              "temp" => params.temp,
46
              "rho_s" \Rightarrow params.\rho_s,
47
              "r s" => params.rs,
48
              "rho_m" => params.ρm,
49
              "mu m" => params.\mu_m,
50
              "n s" => params.ns,
51
              "n m" => params.nm,
52
              "NA" => params.NA,
53
              "P" => P,
54
              "lambda_0" => params.\lambda_\theta,
              "sf" => params.sf,
56
              "expInitIdx" => expInitIdx,
57
              "t" => t.
58
              "qMean" => qMean,
59
              "qVar" => qVar,
60
              "compTime" => compTime
61
         ))
62
63
    end
64
```

### F.2.7 beadSimCompScalingPSD.jl

```
include("./otModule/opticalTweezer.jl")
    using .opticalTweezer
    using DifferentialEquations
    using Interpolations
4
    using Glob
    using MAT
6
    for i in 0:3
        include("./inputData/inputSc" * string(i) * ".jl")
9
10
        # Compute the force field and load the data
11
12
        compBeamForce(params, P)
        forceData = forceVals("./inputData/forceFields/" * string(trunc(Int, params.rs * 2e6))
13
         → * "." * string(trunc(Int, P * 1e-9)) * ".mat")
        fx = scale(interpolate(forceData.fVals[:, :, 1]', BSpline(Quadratic(Line(OnGrid()))))),
14
         \rightarrow (0:0.01:4) * params.\lambda_0, (-4:0.01:4) * params.\lambda_0)
        fy = scale(interpolate(forceData.fVals[:, :, 2]', BSpline(Quadratic(Line(OnGrid())))),
15
         \rightarrow (0:0.01:4) * params.\lambda_0, (-4:0.01:4) * params.\lambda_0)
        fz = scale(interpolate(forceData.fVals[:, :, 3]', BSpline(Quadratic(Line(OnGrid())))),
16
         \rightarrow (0:0.01:4) * params.\lambda_0, (-4:0.01:4) * params.\lambda_0)
17
        # Run the SDE solver
18
19
        prob = SDEProblem(der_f!, der_g!, q0[1:(end-1)], (0, 11000), (params, fx, fy, fz))
        compTime = @elapsed begin
20
```

```
sol = solve(prob, ImplicitEM(), dtmax=0.001, save_idxs=[1, 2], saveat=0.001,
21
              \hookrightarrow maxiters=1e8)
         end
22
         t = sol.t[1001:end]
23
         q = Array(sol[:, 1001:end]')
24
         println(compTime)
25
26
         # Save the data to a mat file
27
         if !ispath("./results/PSD/")
28
             mkpath("./results/PSD/")
29
30
         end
         rm.(glob("./results/PSD/" * "tek" * string(expNo, base=10, pad=4) * "." *
31

    string(params.sf) * ".mat"))

         matwrite("./results/PSD/" * "tek" * string(expNo, base=10, pad=4) * "." *
32

    string(params.sf) * ".mat", Dict(
              "expNo" => expNo,
33
              "kgram" => params.kgram,
34
              "meter" => params.meter,
35
              "second" => params.second,
36
             "kelvin" => params.kelvin,
37
             # "temp" => params.temp,
38
             # "rho_s" \Rightarrow params.\rho_s,
39
             \# "r s" => params.r<sub>s</sub>,
40
             # "rho_m" => params.ρ<sub>m</sub>,
41
             # "mu m" \Rightarrow params.\mu_m,
42
             # "n_s" => params.ns,
43
             # "n m" => params.nm,
44
             # "NA" => params.NA,
45
             # "P" => P,
46
             # "lambda_0" => params.λ<sub>θ</sub>,
47
             # "sf" => params.sf,
48
             # "expInitIdx" => expInitIdx,
              "t" => t,
50
              q" => q
51
              "compTime" => compTime
52
         ))
53
    end
54
```

### F.2.8 beadSimCompScalingSingle.jl

```
include("./otModule/opticalTweezer.jl")
using .opticalTweezer
using DifferentialEquations
using Interpolations
using Plots
using Glob
using MAT

for i in 0:3
```

```
include("./inputData/inputSc" * string(i) * ".jl")
10
11
         # Compute the force field and load the data
12
         compBeamForce(params, P)
13
         forceData = forceVals("./inputData/forceFields/" * string(trunc(Int, params.rs * 2e6))
14
         → * "." * string(trunc(Int, P * 1e-9)) * ".mat")
         fx = scale(interpolate(forceData.fVals[:, :, 1]', BSpline(Quadratic(Line(OnGrid()))))),
15
         \rightarrow (0:0.01:4) * params.\lambda_0, (-4:0.01:4) * params.\lambda_0)
         fy = scale(interpolate(forceData.fVals[:, :, 2]', BSpline(Quadratic(Line(OnGrid()))))),
16
         \rightarrow (0:0.01:4) * params.\lambda_0, (-4:0.01:4) * params.\lambda_0)
         fz = scale(interpolate(forceData.fVals[:, :, 3]', BSpline(Quadratic(Line(OnGrid()))))),
17
         \rightarrow (0:0.01:4) * params.\lambda_0, (-4:0.01:4) * params.\lambda_0)
         # Run the SDE solver
19
         prob = SDEProblem(der_f!, der_g!, q0[1:(end-1)], (tVals[1], tVals[end]), (params, fx,
20
         \hookrightarrow fy, fz))
         compTime = @elapsed begin
             sol = solve(prob, ImplicitEM(), dtmax=0.015)
22
23
         end
         t = sol.t
24
         q = Array(sol[:, :]')
25
         println(compTime)
26
27
         # Save the data to a mat file
28
         if !ispath("./results/single/")
29
             mkpath("./results/single/")
30
31
         rm.(glob("./results/single/" * "tek" * string(expNo, base=10, pad=4) * "." *
32

    string(params.sf) * ".mat"))

         matwrite("./results/single/" * "tek" * string(expNo, base=10, pad=4) * "." *
33

    string(params.sf) * ".mat", Dict(
             "expNo" => expNo,
             "kgram" => params.kgram,
35
             "meter" => params.meter,
36
             "second" => params.second,
37
             "kelvin" => params.kelvin,
38
             "temp" => params.temp,
39
             "rho s" => params.ρs,
40
             "r s" => params.rs,
41
42
             "rho m" => params.ρm,
             "mu_m" => params.μm,
43
             "n s" => params.ns,
44
             "n_m" => params.nm,
45
             "NA" => params.NA,
46
             "P" \Rightarrow P.
47
             "lambda 0" => params.λ<sub>0</sub>,
48
             "sf" => params.sf,
49
             "expInitIdx" => expInitIdx,
50
             "t" => t,
51
             "a" => a.
52
             "compTime" => compTime
53
```

54 )) **end** 

# APPENDIX G

Code Listings for the Microcontroller

# G.1 Directory tree

### G.2 Code Listings

#### G.2.1 CMakeLists.txt

```
cmake minimum required(VERSION 3.13)
    set(ENV{PICO_SDK_PATH} "~/pico/pico-sdk/")
    include(pico_sdk_import.cmake)
 4
    project(hddVcShutterCode C CXX ASM)
 6
    set(CMAKE_C_STANDARD 11)
    set(CMAKE_CXX_STANDARD 17)
    pico_sdk_init()
10
    add executable(shutter
11
12
      serialInput.cpp
      serialCOM.cpp
13
      helpDocs.cpp
14
      shutter.cpp
15
16
17
    target_include_directories(shutter PRIVATE
18
      $<BUILD_INTERFACE:${CMAKE_CURRENT_SOURCE_DIR}</pre>
19
    )
20
21
    pico enable stdio usb(shutter 1)
22
    pico_enable_stdio_uart(shutter 0)
23
24
    # Turn off floating point support
25
    target_compile_definitions(shutter PRIVATE
26
    PICO DEFAULT FLOAT IMPL=pico float none
27
28
    PICO DEFAULT DOUBLE IMPL=pico double none
    )
29
30
    # Link common dependencies
```

```
target_link_libraries(shutter pico_stdlib hardware_timer hardware_watchdog hardware_pwm)

# Create map/bin/hex files
pico_add_extra_outputs(shutter)
```

### G.2.2 helpDocs.cpp

```
// Author: Vatsal Asitkumar Joshi
   // Date: May 23rd, 2022
   // This code is a set of functions to work with USB serial communication.
4
   //
       "If you are done writing the code, now is a good time to debug it."
5
   //
6
    #include "helpDocs.h"
8
   void help(const char *command)
10
11
       if (!strcmp(command, NULL))
12
           help_about();
13
       else if (!strcmp(command, "shutterForce"))
14
           help_shutterForce();
15
       else if (!strcmp(command, "openingTiming"))
16
           help openingTiming();
17
       else if (!strcmp(command, "closingTiming"))
18
           help closingTiming();
19
       else if (!strcmp(command, "cycleTiming"))
20
           help_cycleTiming();
21
    }
22
23
    void help_about()
24
25
       const char *doc = "\r\n\r\n"
26
                         "----->\r\n"
27
                         "Optical Tweezer Laser Shutter and Camera Trigger Controller\r\n"
28
                         "Author: Vatsal Asitkumar Joshi\r\n"
29
                         "=============>\r\n"
30
31
                         "This program is developed to control the shutter made out of a\r\n"
32
                         "Hard Disc Drive(HDD) and sync it with a camera to record the r\n"
33
                         "motion of a bead in an optical trap.\r\n"
34
35
                         "\r\n"
                         "The user has to setup timings of one \"Experiment\" (Exp). The r\n"
36
                         "shutter can be in one of the following states during an Exp:\r\n"
37
                         "\tExp start
   -> This is the default resting state of the\r\n"
38
                         "\t |
  shutter. In this state, the shutter is\r\n"
39
                         "\t |
  assumed to be open and the output for\r^n
40
                         "\t |
  camera trigger is off. From this point\r\n"
41
```

```
"\t |
  onward, the user is not allowed to
42
                            \hookrightarrow change\r\n"
                            "\t |
  any parameters until the experiment\r"
43
                            "\t |
  is completed.\r\n"
44
                            "\tClosing
   -> The shutter is moving towards the closed\r\n"
45
                            "\t |
  state. This state is sub-divided into\r\n"
46
                            "\t |
  three more states which are start, delay\r\n"
47
                            "\t |
  and end. In start state, a negative
48
                            \hookrightarrow torque\r\n"
                            "\t |
  is applied on the shutter to start
49
                            → moving\r\n"
                            "\t |
  it in the closed state. Then the power
50
                            \hookrightarrow to\r\n"
                            "\t |
  the shutter is cut-off momentarily. And \r \n"
51
                            "\t |
  finally, a positive torque is applied on r n
52
                            "\t |
  the shutter to stop its motion. The sum r n
53
                            "\t |
  of all 3 sub-state time periods is
54
                            \hookrightarrow called\r\n"
                            "\t |
  \"timeShutterClosing\".\r\n"
55
                            "\tClosed
   -> Right after the \"Closing stop\" state
56
                            \hookrightarrow of\r\n"
                            "\t |
  the shutter, a very small negative
57

    torque\r\n"

                            "\t |
  is applied on the shutter to keep it in
58
                            \hookrightarrow the\r\n"
                            "\t |
  closed sate. The time period of this
59

    state\r\n"

                            "\t |
  is called \"timeShutterStayClosed\".
60

    Also,\r\n"

                            "\t |
  the camera trigger will be activated
61

    during\r\n"

                            "\t |
  this state.\r\n"
62
                            "\t0pening
   -> This state is very similar to the
63

    closing\r\n"

                            "\t |
  state with the only difference that the\r\n"
64
                            "\t |
  torque directions in each sub-state
65
                            "\t |
  delay and end) is reversed so that the r\n"
66
                            "\t |
  shutter now moves towards the opened
67

    state.\r\n"

                            "\t |
  The sum of all 3 sub-state time periods
68

    is\r\n"

                            "\t |
  called \"timeShutterOpening\".\r\n"
69
                            "\t0pened
   -> In this state, the power to the shutter
70

    is\r\n"

71
                            "\t |
  cut-off and the system waits for the r\n"
                            "\t |
  remaining time of one Exp. The time
72
                            → period\r\n"
                            "\t |
  of one complete experiment is called\r\n"
73
                            "\t |
  \"timeOfExperiment\".\r\n"
74
```

```
"\tExp end
   -> At this point, the system moves to \"Exp
75

    start\"\r\n"

                            "\t
   state and stays there until another Exp
76

    is\r\n"

                            "\t
   initiated by the software.\r\n"
77
                            "\r\n"
 78
                            "Following is the list of commands and their basic use,\r\n"
79
   -> To show this help document and the
80

    detailed\r\n"

                            "\t
   documentation for a specific command.\r\n"
 81
                            "\tshutterForce
   -> Set the shutter torque amplitude as a %\r\n"
 82
                            "\t
   of maximum possible value for \"Closing\"
83
                            \hookrightarrow or\r\n"
                            "\t
   "Opening" and <math>"Closed" states.\r\n"
84
                            "\topeningTiming
   -> Set timings of the sub-states of
 85
                            → \"Opening\"\r\n"
                            "\t
   state.\r\n"
 86
                            "\tclosingTiming
   -> Set timings of the sub-states of
87
                            \hookrightarrow \"Closing\"\r\n"
                            "\t
   state.\r\n"
88
                            "\tcycleTiming
   -> Set the timings of one experiment.\r\n";
 89
90
         // Print out the documentation
91
         putsUSB(doc);
92
     }
93
94
     void help shutterForce()
95
96
         const char *doc = "\tshutterForce <movingForce> <hldingForce>\r\n"
97
                            "\r\n"
98
                            "This command allows the user to set %% of the max\r\n"
99
                            "possible force to be used during shutter motion\r\n"
100
                            "states which are \"Closing\" and \"Opening\" and\r\n"
101
                            "the shutter holding state which is \"Closed\".\r\n"
102
                            "These values are set with command arguments <movingForce>\r\n"
103
                            "and <hldingForce> respectively. Both of these areguments\r\n"
104
                            "must have values between 0 and 100 inclusive. And, these r \ n"
105
                            "values have to be integer. If the command is called r\n"
106
                            "without any arguments then the current set values are r\n"
107
108
                            "printed out.\r\n"
                            "\r\n"
109
                            "Example:\r\n"
110
                            "\t>shutterForce
  This will print out current
111
                            → values of <movingForce> and <hldingForce>.\r\n"
                            "\t>shutterForce 100 10
  This will make Closing/Opening
112

→ torque = 100% of max possible torque\r\n"

                            "\t
  and Closed torque = 10% of max
113
                            → possible torque.\r\n"
                            "\r\n";
114
115
         // Print out the documentation
116
```

```
putsUSB(doc);
117
     }
118
119
     void help openingTiming()
120
121
         const char *doc = "\topeningTiming <start> <delay> <stop>\r\n"
122
                            "\r\n"
123
                            "User can set the time period of each sub-state\r\n"
124
                            "of \"Opening\" state using this command. The r\n"
125
                            "<movingForce>%% of max possible power will be\r\n"
126
                            "applied for <start> microseconds to make the r\n"
127
                            "shutter start moving towards \"Opened\" state.\r\n"
128
                            "In \"Opening\" state, the power will be cut-off\r\n"
129
                            "for <delay> microseconds. And, the negative\\r\\n"
130
                            "<movingForce>%% of max possible power will be\r\n"
131
                            "applied for <stop> microseconds to make the\\r\\n"
132
                            "shutter stop moving. All the argument values\r\n"
                            "have to be integers and are assumed to be in\r\n"
134
                            "the units of microseconds. If the command is\r\n"
135
                            "entered without any arguments then current set\r^n"
136
                            "values are printed out.\r\n"
137
                            "\r\n"
138
                            "Example:\r\n"
139
                            "\t>openingTiming
  This will print out current
140
                            → values of <start>, <delay> and <stop>.\r\n"
                            "\t>openingTiming 3000 2500 2000
  This will make openingStart =
141
                            \rightarrow 3ms, openingDelay = 2.5ms and openingStop = 2ms.\r\n"
                            "\r\n";
142
143
         // Print out the documentation
144
         putsUSB(doc);
145
     }
146
147
     void help_closingTiming()
148
     {
149
         const char *doc = "\tclosingTiming <start> <delay> <stop>\r\n"
150
                            "\r\n"
151
                            "User can set the time period of each sub-state\r\n"
152
                            "of \"Closing\" state using this command. The r\n"
153
154
                            "negative <movingForce>%% of max possible power\r\n"
                            "will be applied for <start> microseconds to\r\n"
155
                            "make the shutter start moving towards \"Closed'"\r\n"
156
                            "state. In \"Closing\" state, the power will be\r\n"
157
                            "cut-off for <delay> microseconds. And, the r\n"
158
                            "<movingForce>% of max possible power will be\r\n"
159
160
                            "applied for <stop> microseconds to make the\\r\\n"
                            "shutter stop moving. All the argument values\r\n"
161
                            "have to be integers and are assumed to be in\r\n"
162
                            "the units of microseconds. If the command is\r\n"
163
                            "entered without any arguments then current set\r\n"
164
                            "values are printed out."
165
```

```
"\r\n"
166
                          "Example:\r\n"
167
                          "\t>closingTiming
  This will print out current
168

→ values of <start>, <delay> and <stop>.\r\n"

                          "\t>closingTiming 4000 800 2900
  This will make closingStart =
169
                          \rightarrow 4ms, closingDelay = 0.8ms and closingStop = 2.9ms.\r\n"
                          "\r\n";
170
171
        // Print out the documentation
172
        putsUSB(doc);
173
174
    }
175
    void help_cycleTiming()
176
177
        const char *doc = "\tcycleTiming <timeShutterStayClosed> <timeCameraTrigAdv>
178
         "\r\n"
                          "This command allows to set all the necessary\r\n"
180
                          "parameters for one experiment. All the values\r\n"
181
                          "provided here are assumed to be in microseconds\r\n"
182
                          "and must be integer values. If this command is\r\n"
183
                          "entered without any arguments then current set\r^n"
184
                          "values will be printed out. The time of one\r^n"
185
                          "experiment <timeOfExperiment> is defined as\r\n"
186
                          "\r\n"
187
                              timeOfExperiment = max(\r\n"
188
   timeShutterClosing + timeShutterStayClosed
189
                              + timeShutterOpening\r\n"
  ,\r\n"
190
   timeShutterClosing + timeShutterStayClosed
191
                              + timeShutterOpening + timeCameraRecorords -
                              timeCameraTrigAdv\r\n"
   )\r\n"
192
                          "\r\n"
193
                          "The meaning of each variable is explained below.\r\n"
194
                          "\ttimeShutterClosing
  Time period for which shutter is in
195
                          → \"Closing\" state,\r\n"
                          "\t
  i.e. sum of all sub-states of the
196
                          → \"Closing\" state.\r\n"
                          "\timeShutterStayClosed
197
  Time period for which the shutter
                          → stays in \"Closed\" state.\r\n"
                          "\ttimeShutterOpening
  Time period for which shutter is in
198
                           → \"Opening\" state,\r\n"
                          "\t
  i.e. sum of all sub-states of the
199
                          → \"Opening\" state.\r\n"
200
                          "\ttimeCameraTrigAdv
  Time period by which the camera

    trigger is advanced\r\n"

                          "\t
  before the shutter's \"Opening\"
201

    state starts. This\r\n"

                          "\t
  value must be smaller than
202
```

```
"\ttimeCameraRecorords
   Time period for which camera trigger
203

→ will be active.\r\n"

  Total time of one experiment.\r\n"
                           "\ttimeOfExperiment
204
                           "\r\n"
205
                           "Example:\r\n"
206
                           " >cycleTiming 200000 1000 500000 1000000\r\n"
207
                           "\r\n"
208
                           "For the exaple above, the shutter will stay in \"Closed\" state for
209
                           \rightarrow 200ms.\r\n"
                           "The camera trigger will be active 1ms befor the shutter goes into
210
                           → \"Opening\"\r\n"
                           "state. This trigger will last for 500ms. And, the complete
211
                           "last for 1s.\r\n";
212
213
        // Print out the documentation
214
215
        putsUSB(doc);
    }
216
```

### G.2.3 helpDocs.h

```
// Author: Vatsal Asitkumar Joshi
    // Date: May 23rd, 2022
    // This code is a set of functions to work with USB serial communication.
   //
4
        "If you are done writing the code, now is a good time to debug it."
    //
6
7
    #ifndef __HELPDOCS_DEFINED__
    #define __HELPDOCS_DEFINED__
9
10
    #include "serialCOM.h"
11
12
    void help(const char *command);
13
    void help_about();
14
    void help_shutterForce();
15
    void help_openingTiming();
    void help_closingTiming();
17
    void help_cycleTiming();
18
   void help_start();
19
    void help stop();
20
    void help_status();
21
22
    void help_reboot();
^{23}
    #endif
24
```

# G.2.4 serialCOM.cpp

```
// Author: Vatsal Asitkumar Joshi
    // Date: May 23rd, 2022
        This code is a set of functions to work with USB serial communication.
    //
        "If you are done writing the code, now is a good time to debug it."
 6
    #include "serialCOM.h"
    // Blocking function, if timeput us = 0, that returns with a character when available.
10
    // Otherwise the number would be negative if no character is received within timeout.
11
    int getcUSB(uint32_t timeout_us)
12
13
        int c;
14
15
        if (timeout us)
            c = getchar_timeout_us(timeout_us);
16
17
            while ((c = getchar\_timeout\_us(0)) < 0);
18
        return c;
19
    }
20
21
    // Blocking function, if timeput_us = 0, that reads a string when available.
22
    // The timeout us value is used for each character.
23
    bool getsUSB(struct serialInput *userInput, uint32_t timeout_us)
    {
25
        uint8 t count = 0;
26
        int c;
27
        while (count < MAX_CHARS)</pre>
29
30
            c = getcUSB(timeout_us);
31
            if (c == 8 || c == 127)
32
33
                 if (count == 0)
34
                     continue;
35
                 count - -;
36
            }
37
            else if (c == 10 || c == 13) // Putty generally sends '\r' on Enter key
38
39
                 userInput->str[count] = '\0'; // Add Null character
40
                 c = getcUSB(1000); // Flush out the expected '\n' character if received within
41

→ 1ms
                 break;
^{42}
43
            else if (c > 31 && c < 127)
44
                 userInput->str[count++] = c;
45
            else if (!timeout_us)
46
                 continue;
47
            else
48
```

```
return 0;
49
50
        parseString(userInput);
51
        return 1;
52
    }
53
54
    uint32_t intPow(uint32_t x, uint32_t p)
55
56
        uint32_t i = 1;
57
        for (uint32_t j = 0; j < p; j++)
58
             i *= x;
59
        return i;
60
    }
61
62
    // void itoa(uint32_t iVal, char *str, uint8_t strLength)
63
    // {
64
    //
            uint8_t p = 255;
    //
            while (iVal / intPow(10, ++p) != 0)
66
    //
            if (p)
    //
68
69
    //
   //
                str[p] = ' \setminus 0';
70
    //
                for (uint8 t i = 1; i < strLength - 1; ++i)
71
    //
72
                    str[p - i] = '0' + (iVal % 10);
73
    //
    //
                    iVal /= 10;
74
                    if (i == p)
    //
75
    //
76
    //
                         strLength = p;
77
                         break;
    //
    //
                    }
79
    //
                }
80
    //
            }
81
    //
            else
    //
            {
83
                str[0] = '0';
84
    //
    //
                str[1] = '\0';
85
            }
    //
86
            str[strLength] = ' \setminus 0';
    //
87
    // }
88
89
    void getPercentage(uint32_t iVal, char *str, uint8_t strLength)
90
91
        str[4] = iVal % 10 + '0';
92
        iVal /= 10;
93
94
        str[3] = iVal % 10 + '0';
        iVal /= 10;
95
        str[2] = '.';
96
        str[1] = iVal % 10 + '0';
97
        iVal /= 10;
98
        str[0] = iVal % 10 + '0';
99
```

### G.2.5 serialCOM.h

```
// Author: Vatsal Asitkumar Joshi
    // Date: May 23rd, 2022
   // This code is a set of functions to work with USB serial communication.
    //
        "If you are done writing the code, now is a good time to debug it."
    //
6
    #ifndef __SERIALCOM_DEFINED__
    #define __SERIALCOM_DEFINED__
9
10
   #include <stdio.h>
11
   #include <string.h>
12
   #include "pico/stdlib.h"
13
   #include "serialInput.h"
15
   // Define tokens used for communication
    #define sndToken "115104117116116101114067111110116114111108108101114\r\n" // Each 3 digits

→ converted to chars results in 'shutterController'

    #define recToken "116119101101122101114083111102116119097114101"
   // Each 3 digits

→ converted to chars results in 'tweezerSoftware'

    #define endRspToken "101110100067109100082115112\r\n"
  // Each 3 digits
19

→ converted to chars results in 'endCmdRsp'

20
    #define putcUSB(c) printf("%c", c) // Blocking function that writes a character
21
    #define putsUSB(str_ptr) printf("%s", str_ptr) // Blocking function that writes a string
22
23
    int getcUSB(uint32_t timeout_us = 0);
^{24}
    bool getsUSB(struct serialInput *userInput, uint32_t timeout_us = 0);
25
    // void itoa(uint32_t iVal, char *str, uint8_t strLength);
    void getPercentage(uint32_t iVal, char *str, uint8_t strLength);
27
    #endif
29
```

### G.2.6 pico\_sdk\_import.cmake

```
# This is a copy of <PICO_SDK_PATH>/external/pico_sdk_import.cmake

# This can be dropped into an external project to help locate this SDK

# It should be include()ed prior to project()

f (DEFINED ENV{PICO_SDK_PATH} AND (NOT PICO_SDK_PATH))
```

```
set(PICO SDK PATH $ENV{PICO SDK PATH})
7
        message("Using PICO SDK PATH from environment ('${PICO SDK PATH}')")
    endif ()
9
10
    if (DEFINED ENV{PICO SDK FETCH FROM GIT} AND (NOT PICO SDK FETCH FROM GIT))
11
        set(PICO SDK FETCH FROM GIT $ENV{PICO SDK FETCH FROM GIT})
12
        message("Using PICO_SDK_FETCH_FROM_GIT from environment
13
        endif ()
14
15
    if (DEFINED ENV{PICO_SDK_FETCH_FROM_GIT_PATH} AND (NOT PICO_SDK_FETCH_FROM_GIT_PATH))
16
        set(PICO SDK FETCH FROM GIT PATH $ENV{PICO SDK FETCH FROM GIT PATH})
17
        message("Using PICO_SDK_FETCH_FROM_GIT_PATH from environment
           ('${PICO SDK FETCH FROM GIT PATH}')")
    endif ()
20
    set(PICO_SDK_PATH "${PICO_SDK_PATH}" CACHE PATH "Path to the Raspberry Pi Pico SDK")
21
    set(PICO SDK FETCH FROM GIT "${PICO SDK FETCH FROM GIT}" CACHE BOOL "Set to ON to fetch

→ copy of SDK from git if not otherwise locatable")
    set(PICO_SDK_FETCH_FROM_GIT_PATH "${PICO_SDK_FETCH_FROM_GIT_PATH}" CACHE FILEPATH "location
23

→ to download SDK")

24
    if (NOT PICO SDK PATH)
25
        if (PICO_SDK_FETCH_FROM_GIT)
^{26}
            include(FetchContent)
27
            set(FETCHCONTENT_BASE_DIR_SAVE ${FETCHCONTENT_BASE_DIR})
28
            if (PICO SDK FETCH FROM GIT PATH)
29
                get_filename_component(FETCHCONTENT_BASE_DIR "${PICO_SDK_FETCH_FROM_GIT_PATH}"
30

→ REALPATH BASE DIR "${CMAKE SOURCE DIR}")
            endif ()
31
            FetchContent_Declare(
32
                    pico sdk
33
                    GIT REPOSITORY https://github.com/raspberrypi/pico-sdk
34
                    GIT TAG master
35
            )
36
            if (NOT pico sdk)
37
                message("Downloading Raspberry Pi Pico SDK")
38
                FetchContent Populate(pico sdk)
39
                set(PICO SDK PATH ${pico sdk SOURCE DIR})
40
41
            set(FETCHCONTENT_BASE_DIR ${FETCHCONTENT_BASE_DIR_SAVE})
42
        else ()
43
            message(FATAL_ERROR
44
                    "SDK location was not specified. Please set PICO SDK PATH or set
45
                    → PICO SDK FETCH FROM GIT to on to fetch from git."
                    )
46
        endif ()
47
    endif ()
48
49
    get filename component(PICO SDK PATH "${PICO SDK PATH}" REALPATH BASE DIR
50
```

```
if (NOT EXISTS ${PICO SDK PATH})
51
        message(FATAL_ERROR "Directory '${PICO_SDK_PATH}' not found")
52
    endif ()
53
54
    set(PICO_SDK_INIT_CMAKE_FILE ${PICO_SDK_PATH}/pico_sdk_init.cmake)
55
    if (NOT EXISTS ${PICO SDK INIT CMAKE FILE})
56
        message(FATAL_ERROR "Directory '${PICO_SDK_PATH}' does not appear to contain the
57
        → Raspberry Pi Pico SDK")
    endif ()
58
59
    set(PICO_SDK_PATH ${PICO_SDK_PATH} CACHE PATH "Path to the Raspberry Pi Pico SDK" FORCE)
60
61
    include(${PICO_SDK_INIT_CMAKE_FILE})
62
```

# G.2.7 serialInput.cpp

```
// Author: Vatsal Asitkumar Joshi
   // Date: May 23rd, 2022
    // This code is a set of functions to work with USB serial communication.
   //
   // "If you are done writing the code, now is a good time to debug it."
    //
    #include "serialInput.h"
    void parseString(struct serialInput *userInput)
10
11
        bool prevCharState = 0; // Previous Character state. 0 = Character not useful, 1 =
12
        userInput->argCount = 0;
13
        uint8_t length = strlen(userInput->str);
14
        for (uint8_t i = 0; i < length; ++i)
15
16
            char c = userInput->str[i];
17
            if ((c == 43 || c == 46 || c == 38) || (c > 47 && c < 58) || (c > 64 && c < 91) ||
            \rightarrow (c > 96 && c < 123))
19
                if (prevCharState == 0)
20
                {
21
                    if (userInput->argCount == MAX_FIELDS)
22
23
                        putsUSB("\r\n> Number of arguments entered is more than maximum
24
                        → limit.");
                        putsUSB("\r^n> All the arguments after maximum limit will be
25

    ignored.");

                        break;
26
27
28
                    userInput->pos[userInput->argCount++] = i;
                    prevCharState = 1;
29
```

```
}
30
                 // if (c>64 && c<91)
31
                 // {
32
                 //
                             userInput->str[i] += 32;
33
                 // }
34
             }
35
             else
36
37
                  prevCharState = 0;
38
                  userInput->str[i] = ' \setminus 0';
39
40
             }
        }
41
    }
42
43
    const char *getArgString(struct serialInput *userInput, uint8_t argNumber)
44
45
46
         if (argNumber + 1 <= userInput->argCount)
             return userInput->str + userInput->pos[argNumber];
47
         else
48
             return NULL;
49
50
```

# G.2.8 serialInput.h

```
// Author: Vatsal Asitkumar Joshi
    // Date: May 23rd, 2022
    // This code is a set of functions to work with USB serial communication.
    //
    //
        "If you are done writing the code, now is a good time to debug it."
    //
6
    #ifndef __SERIAL_INPUT
    #define __SERIAL_INPUT__
9
10
    #include <stdint.h>
11
   #include <stdbool.h>
12
    #include <string.h>
    #include "serialCOM.h"
14
15
    #define MAX CHARS 80
16
    #define MAX FIELDS 6
17
18
19
    struct serialInput
20
        char str[MAX_CHARS + 1];
21
        uint8_t pos[MAX_FIELDS], argCount;
22
    };
23
24
    void parseString(struct serialInput *userInput);
```

```
const char *getArgString(struct serialInput *userInput, uint8_t argNumber);
#endif
```

### G.2.9 shutter.cpp

```
// Author: Vatsal Asitkumar Joshi
   // Date: May 23rd, 2022
   // This code is a set of functions to work with USB serial communication.
   //
        "If you are done writing the code, now is a good time to debug it."
   //
6
   #include <stdio.h>
   #include <stdlib.h>
10 #include "pico/stdlib.h"
#include "hardware/pwm.h"
#include "hardware/timer.h"
   #include "hardware/watchdog.h"
13
  #include "serialCOM.h"
   #include "helpDocs.h"
15
   // Define alarm numbers and IRQ vector numbers for shutter and camera timing
17
   #define SHTR ALARM NUM 0
18
   #define CMRA ALARM NUM 1
19
20
   // Define the necessary pins
21
   const uint blueLED = 14;
22
   const uint shutterInA = 16;
23
   const uint shutterInB = 17;
   const uint camTrigger = 9;
25
   const uint centrifuge = 2;
26
27
   // Shutter PWM specific definitions
28
   #define SYSTEM FREQ 125000000
   // RP2040 clock frequency 125MHz
   #define SHTR PWM FRE0 20000
   // Desired PWM output frequency 20kHz
30
   #define SHTR PWM TOP (SYSTEM FREQ) / SHTR PWM FREQ) // Value that TOP register should have

→ to get desired PWM frequency

   // Blue LED PWM specific definitions
33
  // Desired PWM output frequency 125kHz
    #define BLED PWM FREQ 125000
34
   #define BLED_PWM_TOP (SYSTEM_FREQ / BLED_PWM_FREQ) // Value that TOP register should have

→ to get desired PWM frequency

36
   // Structures to hold shutter timings
37
   struct motion
38
39
        uint start_us; // Time for which power will be applied in one direction during motion
40
        uint delay_us; // Time for which power will be off during motion
41
```

```
uint stop us; // Time for which power will be in the opposite direction to stop the
42
        \hookrightarrow motion
    };
43
    struct cycle
44
45
        struct motion opening; // Shutter opening motion timings
46
        struct motion closing; // Shutter closing motion timings
47
                              // Time for which shutter stay closed
        uint closed us;
48
        uint camTrigAdv us;
                               // Camera trigger applied befor this many microseconds of
49

→ shutter start opening

                               // Time period for which the camera will be recording
        uint camTrigLen_us;
50
        // Length of one cycle = closing + closed us + opening + camTrigLen us - camTrigAdv us
51
    } shutter = {4500, 800, 2500, 4500, 800, 2500, 200000, 1000, 500000};
52
    struct _pwm
53
54
        uint movingDutyCycle;
  // PWM CC register value while the shutter is
55

→ moving

        uint hldingDutyCycle;
  // PMW CC register value while the shutter is
56

→ not moving

    } pwm = {SHTR_PWM_TOP + 1, SHTR_PWM_TOP * 10 / 100}; // Default values: movingDutyCycle =
57

→ 100%, hldingDutyCycle = 1%

58
    // Define necessary global variables
59
   // Container to hold user input
    struct serialInput usbSerialIn;
60
    volatile bool expInProcess = false; // States whether some experiments are currently
61

→ hapenning or not

    volatile bool camRecording = false; // Status whether camera is currently recording or not
62
    uint expLen_us = shutter.closing.start_us + shutter.closing.delay_us +
63

→ shutter.closing.stop us

                   + shutter.closed_us
64
                   + shutter.opening.start_us + shutter.opening.delay_us +
65
                    + shutter.camTrigLen_us - shutter.camTrigAdv_us;
66
67
    // Define different states the shutter could be in
68
    enum shutterState
69
70
        opened,
71
        closing_start,
72
73
        closing delay,
        closing_stop,
74
        closed,
75
        opening_start,
76
        opening delay,
77
78
        opening_stop
    };
79
    void shutterISR(uint alarmNum)
81
    {
82
        static enum shutterState lastState = opened;
   // Assume that initially the shutter is
83
        → open
```

```
static absolute_time_t expStartTime;
   // Time at which the experiment was
84
         \hookrightarrow started
85
         // Get current time
86
         absolute_time_t t = get_absolute_time();
87
88
         // State that experiments are hapenning
89
         expInProcess = true;
90
         // Do stuff based on what the last state of the shutter was
92
         switch (lastState)
93
94
         case opened: // If the shutter was opened then start closing it
95
             // Make INA = 100% and INB = 0%
96
             pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInA),
             → pwm_gpio_to_channel(shutterInA), pwm.movingDutyCycle);
             pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInB),
             → pwm_gpio_to_channel(shutterInB), 0);
             // Call this ISR after shutter closing start
100
             hardware alarm set target(SHTR ALARM NUM, delayed by us(t,
101
             \ \hookrightarrow \ \ \text{shutter.closing.start\_us));}
102
             // Save experiment start time
103
             expStartTime = t;
104
105
             // Make shutter state closing start
106
             lastState = closing_start;
107
             break;
108
         case closing_start: // If the shutter has started closing then cut off the power
109
             // Make INA = 0% and INB = 0%
110
             pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInA),
             → pwm_gpio_to_channel(shutterInA), 0);
             pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInB),
112
             → pwm_gpio_to_channel(shutterInB), 0);
113
             // Call this ISR after shutter closing delay
114
             hardware alarm set target(SHTR ALARM NUM, delayed by us(t,
115
             → shutter.closing.delay_us));
116
             // Make shutter state closing_delay
117
             lastState = closing delay;
118
             break;
119
         case closing delay: // If the shutter was in the closing delay then supply power in
120
         → opposite direction to stop the motion
121
             // Make INA = 0% and INB = 100%
             pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInA),
122
             → pwm_gpio_to_channel(shutterInA), 0);
             pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInB),
123
             → pwm_gpio_to_channel(shutterInB), pwm.movingDutyCycle);
124
```

```
// Call this ISR after shutter closing stop
125
            hardware_alarm_set_target(SHTR_ALARM_NUM, delayed_by_us(t,
126
             → shutter.closing.stop us));
127
            // Make shutter state closing stop
128
            lastState = closing stop;
129
            break:
130
        case closing stop: // If the shutter was stopping the closing motion then keep it in
131

→ the closed position

            // Make INA = 1% and INB = 0
133
            pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInA),
             → pwm_gpio_to_channel(shutterInA), pwm.hldingDutyCycle);
            pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInB),
134
             → pwm_gpio_to_channel(shutterInB), 0);
135
            // Call this ISR after the time shutter should stay closed
136
            hardware_alarm_set_target(SHTR_ALARM_NUM, delayed_by_us(t, shutter.closed_us));
138
139
            // Call cameraISR slightly before shutter starts to open
            hardware_alarm_set_target(CMRA_ALARM_NUM, delayed_by_us(t, shutter.closed_us -
140
             141
            // Make shutter state closed
142
            lastState = closed;
143
            break;
144
        case closed: // If the shutter was closed then start opening it
145
            // Make INA = 0% and INB = 100%
146
            pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInA),
147
             → pwm_gpio_to_channel(shutterInA), 0);
148
            pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInB),
             → pwm_gpio_to_channel(shutterInB), pwm.movingDutyCycle);
149
            // Call this ISR after timeShutterMoving
150
            hardware_alarm_set_target(SHTR_ALARM_NUM, delayed_by_us(t,
151
             → shutter.opening.start_us));
152
            // Make shutter state opening_start
153
            lastState = opening start;
154
            break:
155
        case opening start: // If the shutter has started opening then cut off the power
156
            // Make INA = 0% and INB = 0%
157
            pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInA),
158
             → pwm_gpio_to_channel(shutterInA), 0);
            pwm set chan level(pwm gpio to slice num(shutterInB),
159
             → pwm_gpio_to_channel(shutterInB), 0);
160
            // Call this ISR after timeShutterMoving
161
            hardware_alarm_set_target(SHTR_ALARM_NUM, delayed_by_us(t,
162
             163
164
            // Make shutter state opening delay
```

```
lastState = opening_delay;
165
            break;
166
        case opening delay: // If the shutter was in the opening delay then supply power in
167
            opposite direction to stop the motion
            // Make INA = 100% and INB = 0%
168
            pwm set chan level(pwm gpio to slice num(shutterInA),
169
             → pwm_gpio_to_channel(shutterInA), pwm.movingDutyCycle);
            pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInB),
170
             → pwm gpio to channel(shutterInB), 0);
171
            // Call this ISR after timeShutterMoving
172
            hardware alarm set target(SHTR ALARM NUM, delayed by us(t,
173
             → shutter.opening.stop_us));
174
            // Make shutter state opening stop
175
            lastState = opening stop;
176
        default: // If the shutter was stopping the opening motion then cut off the power
178
            // Make INA = 0% and INB = 1%
179
            pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInA),
180
             → pwm gpio to channel(shutterInA), 0);
            pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInB),
181
             → pwm gpio to channel(shutterInB), 0);
182
            if (shutter.closed us + shutter.opening.start us + shutter.opening.delay us +
183
             → shutter.opening.stop_us >= shutter.closed_us - shutter.camTrigAdv_us +
             expInProcess = false; // State that the experiment is done if the camera
184
                 → trigger end happens before shutter is openned
185
            // Make shutter state opened
186
            lastState = opened;
            break:
188
        }
189
    }
190
    void cameraISR(uint alarmNum)
192
193
        // Get current time
194
195
        absolute time t t = get absolute time();
196
        if (camRecording) // If camera was recording previously then
197
198
            gpio put(camTrigger, 0); // Make camera trigger pin = 0
199
                                     // State that camera has stopped recording
            camRecording = false;
200
            if (shutter.closed us + shutter.opening.start us + shutter.opening.delay us +
201
             → shutter.opening.stop_us <= shutter.closed_us - shutter.camTrigAdv_us +</pre>
             expInProcess = false;
   // State that the experiment is done if the camera
202
                 → trigger end happens after shutter is openned
203
        }
```

```
else // If camera was not recording previously then start recording
204
205
             gpio_put(camTrigger, 1);
206
             → // Make camera trigger pin = 1
             camRecording = true;
207
             → // State that camera has stopped recording
             hardware_alarm_set_target(CMRA_ALARM_NUM, delayed_by_us(t, shutter.camTrigLen_us));
208
             → // Call this ISR when camera has to stop recording
         }
209
     }
210
211
     // Function that initializes all the pins and hardware
212
     void setup()
213
     {
214
         stdio_init_all();
215
216
         // Initialize GPIOs for shutter as output
         gpio init(shutterInA);
218
219
         gpio set dir(shutterInA, GPIO OUT);
         // gpio_set_slew_rate(shutterInA, GPIO_SLEW_RATE_FAST);
220
         // gpio set drive strength(shutterInA, GPIO DRIVE STRENGTH 8MA);
221
         gpio init(shutterInB);
222
         gpio set dir(shutterInB, GPIO OUT);
223
         // gpio_set_slew_rate(shutterInB, GPIO_SLEW_RATE_FAST);
224
         // gpio set drive strength(shutterInB, GPIO DRIVE STRENGTH 8MA);
225
226
         // Initialize GPIOs for shutter as PWM
227
         gpio_set_function(shutterInA, GPIO_FUNC_PWM);
228
         gpio set function(shutterInB, GPIO FUNC PWM);
229
         pwm_set_wrap(pwm_gpio_to_slice_num(shutterInA), SHTR_PWM_TOP);
230
         → // Set the counter wrap value based on the desired PWM frequency
         pwm set wrap(pwm gpio to slice num(shutterInB), SHTR PWM TOP);
231
         → // Set the counter wrap value based on the desired PWM frequency
         pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInA), pwm_gpio_to_channel(shutterInA),
232
         \rightarrow 0); // Chan A (GPIO 0) counter compare value (Decides duty cycle)
         pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInB), pwm_gpio_to_channel(shutterInB),
233
         \hookrightarrow 0); // Chan B (GPIO 1) counter compare value (Decides duty cycle)
         pwm set enabled(pwm gpio to slice num(shutterInA), true);
234
         → // Enable the PWM counter for InA
235
         pwm set enabled(pwm gpio to slice num(shutterInB), true);
         → // Enable the PWM counter for InA
236
         // Initialize GPIO for blue LED
237
         gpio set function(blueLED, GPIO FUNC PWM);
238
         pwm_set_wrap(pwm_gpio_to_slice_num(blueLED), BLED_PWM_TOP);
239
   //
         → Set the counter wrap value based on the desired PWM frequency
         pwm_set_chan_level(pwm_gpio_to_slice_num(blueLED), pwm_gpio_to_channel(blueLED), 0); //
240
         → Chan A (GPIO 14) counter compare value (Decides duty cycle)
         pwm_set_enabled(pwm_gpio_to_slice_num(blueLED), true);
   //
241
         → Enable the PWM counter for Blue LED
```

242

```
// Initialize GPIO for camera as output
243
         gpio_init(camTrigger);
244
         gpio set dir(camTrigger, GPIO OUT);
245
         gpio put(shutterInB, 0);
246
247
         // Initialize GPIO for centrifuge as output
248
         gpio_init(centrifuge);
249
         gpio_set_dir(centrifuge, GPIO_OUT);
250
251
         // Initialize GPIO for centrifuge as PWM
252
         gpio_set_function(centrifuge, GPIO_FUNC_PWM);
253
         pwm_set_clkdiv_int_frac(pwm_gpio_to_slice_num(centrifuge), 125, 0); // Set the clock
254
         \rightarrow divider to 125 to get 1us reference
         pwm_set_wrap(pwm_gpio_to_slice_num(centrifuge), 10000); // Set the counter wrap value
255
         \rightarrow to 10000 to get 10ms cycle time
         pwm_set_gpio_level(centrifuge, 1000); // Set default pulse width to 1ms
256
         pwm_set_enabled(pwm_gpio_to_slice_num(centrifuge), true); // Enable the pwm output
258
         // Make sure the shutter is in open position by setting shutterInA = 0\% and shutterInB
259

→ = movingDutyCycle/2% duty cycle

         pwm set chan level(pwm gpio to slice num(shutterInA), pwm gpio to channel(shutterInA),
260
         pwm set chan level(pwm gpio to slice num(shutterInB), pwm gpio to channel(shutterInB),
261
         → pwm.movingDutyCycle/2);
         sleep ms(500);
262
         pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInA), pwm_gpio_to_channel(shutterInA),
263
         pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInB), pwm_gpio_to_channel(shutterInB),
264
265
         // Make sure camera trigger is off
266
         gpio put(camTrigger, 0);
267
268
         // Set up ISRs and enable IRQs for shutter and camera alarms
269
         hardware_alarm_claim(SHTR_ALARM_NUM);
   // Claim an alarm for
270

→ shutter and enable IRQ

         hardware_alarm_set_callback(SHTR_ALARM_NUM, shutterISR);
   // Define the callback
271
         → function for shutter alarm
         hardware_alarm_claim(CMRA_ALARM_NUM);
   // Claim an alarm for
272

→ camera trigger and enable IRQ

         hardware_alarm_set_callback(CMRA_ALARM_NUM, cameraISR);
  // Define the callback
273

→ function for camera trigger

274
         // Wait for the USB serial connection to be activated
         while (!stdio_usb_connected());
276
277
         // Keep sending the secret message untill a correct response is received
278
         char s[80];
279
         bool strRcv = 0;
280
         do
281
282
```

```
putsUSB(sndToken); // Send a token for handshake
283
             strRcv = getsUSB(&usbSerialIn, 200e3);
284
             strcpy(s, getArgString(&usbSerialIn, 0));
285
         } while (!strRcv || strcmp(s, recToken)); // Check if the received token is correct or
286
            not
     }
287
288
     void loop()
289
     {
290
         // Read user input
291
         getsUSB(&usbSerialIn);
292
         const char *command = getArgString(&usbSerialIn, 0);
293
294
         // Execute specific command based on user input
295
         if (!strcmp(command, "help") && usbSerialIn.argCount < 3)</pre>
296
297
             // Provide general discussion on how the shutter and this program works
             help(getArgString(&usbSerialIn, 1));
299
300
         else if (!strcmp(command, "shutterForce") && usbSerialIn.argCount == 1)
301
302
             char outNumber[11];
303
             putsUSB("Shutter force when it is moving
304
             itoa(pwm.movingDutyCycle * 100 / SHTR_PWM_TOP, outNumber, 10);
305
             putsUSB(outNumber);
306
             putsUSB(" %%\r\n");
307
             putsUSB("Shutter force when it is closed
308
             itoa(pwm.hldingDutyCycle * 100 / SHTR_PWM_TOP, outNumber, 10);
309
             putsUSB(outNumber);
310
311
             putsUSB(" %%\r\n");
         }
312
         else if (!strcmp(command, "shutterForce") && usbSerialIn.argCount == 3)
313
314
             uint movingForce = atoi(getArgString(&usbSerialIn, 1));
315
             uint hldingForce = atoi(getArgString(&usbSerialIn, 2));
316
             if (movingForce < 101 && hldingForce < 101)
318
319
                 pwm.movingDutyCycle = movingForce == 100 ? SHTR PWM TOP + 1 : SHTR PWM TOP *
320

→ movingForce / 100;

                 pwm.hldingDutyCycle = hldingForce == 100 ? SHTR_PWM_TOP + 1 : SHTR_PWM_TOP *
321
                 → hldingForce / 100;
322
                 putsUSB("Warning: Choose the shutter moving and holding force
323
                 carefully.\r\nContinuous high force can damage the shutter.\r\n");
324
             }
             else
325
             {
326
                 putsUSB("The shutter force values have to be between 0% and 100%
327
                    inclusive.\r\n");
328
             }
```

```
}
329
         else if (!strcmp(command, "openingTiming") && usbSerialIn.argCount == 1)
331
             char outNumber[11];
332
             putsUSB("Power supplied for ");
333
             itoa(shutter.opening.start us, outNumber, 10);
334
             putsUSB(outNumber);
335
             putsUSB(" us to start opening the shutter.\r\n");
336
             putsUSB("Power cut off for ");
             itoa(shutter.opening.delay_us, outNumber, 10);
338
339
             putsUSB(outNumber);
             putsUSB(" us. \r\n");
340
             putsUSB("Power supplied in opposite direction for ");
341
             itoa(shutter.opening.stop_us, outNumber, 10);
342
             putsUSB(outNumber);
343
             putsUSB(" us to stop shutter motion.\r\n");
344
         else if (!strcmp(command, "openingTiming") && usbSerialIn.argCount == 4)
346
347
             uint start = atoi(getArgString(&usbSerialIn, 1));
348
             uint delay = atoi(getArgString(&usbSerialIn, 2));
349
             uint stop = atoi(getArgString(&usbSerialIn, 3));
350
351
             if (start && delay && stop)
             {
353
                 shutter.opening.start_us = start;
354
                 shutter.opening.delay us = delay;
355
                 shutter.opening.stop_us = stop;
356
             }
357
             else
358
             {
359
                 putsUSB("Motion timing values cannot be 0.\r\n");
361
362
         else if (!strcmp(command, "closingTiming") && usbSerialIn.argCount == 1)
363
             char outNumber[11];
365
             putsUSB("Power supplied for ");
366
             itoa(shutter.closing.start_us, outNumber, 10);
367
             putsUSB(outNumber);
368
             putsUSB(" us to start closing the shutter.\r\n");
369
             putsUSB("Power cut off for ");
370
             itoa(shutter.closing.delay_us, outNumber, 10);
371
             putsUSB(outNumber);
372
             putsUSB(" us. \r\n");
373
374
             putsUSB("Power supplied in opposite direction for ");
             itoa(shutter.closing.stop_us, outNumber, 10);
             putsUSB(outNumber);
376
             putsUSB(" us to stop shutter motion.\r\n");
377
378
         else if (!strcmp(command, "closingTiming") && usbSerialIn.argCount == 4)
379
```

```
{
380
             uint start = atoi(getArgString(&usbSerialIn, 1));
381
             uint delay = atoi(getArgString(&usbSerialIn, 2));
382
             uint stop = atoi(getArgString(&usbSerialIn, 3));
383
384
             if (start && delay && stop)
385
             {
386
                  shutter.closing.start us = start;
387
                 shutter.closing.delay us = delay;
                 shutter.closing.stop_us = stop;
389
390
             }
             else
391
392
             {
                 putsUSB("Motion timing values cannot be 0.\r\n");
393
             }
394
395
         else if (!strcmp(command, "cycleTiming") && usbSerialIn.argCount == 4)
397
             // Update the values of experiment parameters
398
             shutter.closed_us = atoi(getArgString(&usbSerialIn, 1));
399
             shutter.camTrigAdv us = atoi(getArgString(&usbSerialIn, 2));
400
             shutter.camTrigLen us = atoi(getArgString(&usbSerialIn, 3));
401
402
             if (shutter.camTrigAdv_us >= shutter.closed_us)
403
             {
404
                 putsUSB("The camera trigger can be advanced at max till the shutter closes.
405

    Make sure that\r\n");
                 putsUSB("
                             timeCameraTrigAdv < timeShutterStayClosed\r\n");</pre>
406
                 putsUSB("For now timeCameraTrigAdv is set to 0 us.\r\n");
407
                 shutter.camTrigAdv_us = 0; // Set timeCameraTrigAdv to 0
408
             }
409
410
             expLen_us = shutter.closing.start_us + shutter.closing.delay_us +
411

→ shutter.closing.stop_us + shutter.closed_us + shutter.opening.start_us +

                 shutter.opening.delay_us + shutter.opening.stop_us;
             if (shutter.closed_us + shutter.opening.start_us + shutter.opening.delay_us +
412
                 shutter.opening.stop_us <= shutter.closed_us - shutter.camTrigAdv_us +</pre>
                shutter.camTrigLen us)
                 expLen_us += shutter.camTrigLen_us - shutter.camTrigAdv_us;
413
         else if (!strcmp(command, "cycleTiming") && usbSerialIn.argCount == 1)
415
         {
416
             char outNumber[11];
417
             putsUSB("Shutter will stay closed for ");
418
             itoa(shutter.closed_us, outNumber, 10);
419
420
             putsUSB(outNumber);
             putsUSB(" us.\r\n");
421
             putsUSB("Camera will be triggered ");
422
             itoa(shutter.camTrigAdv_us, outNumber, 10);
423
             putsUSB(outNumber):
424
425
             putsUSB(" us before shutter starts opening. \r\n");
```

```
putsUSB("Camera will record for ");
426
             itoa(shutter.camTrigLen_us, outNumber, 10);
427
             putsUSB(outNumber);
428
             putsUSB(" us.\r\n");
429
             putsUSB("One complete experiment will be ");
430
             itoa(expLen us, outNumber, 10);
431
             putsUSB(outNumber);
432
             putsUSB(" us long.\r\n");
433
         else if (!strcmp(command, "centrifuge") && usbSerialIn.argCount == 2)
435
436
             // Update the centrifuge pulse-width
437
             int cc = atoi(getArgString(&usbSerialIn, 1));
             if (cc >= 0 \&\& cc <= 9)
439
                 pwm_set_gpio_level(centrifuge, cc * 100 + 1000);
440
             else
441
                  pwm_set_gpio_level(centrifuge, 1000);
443
         else if (!strcmp(command, "led") && usbSerialIn.argCount == 2)
444
445
             // Update the blue LED duty cycle
446
             int cc = atoi(getArgString(&usbSerialIn, 1));
447
             if (cc >= 0 \&\& cc <= 100)
448
                  pwm_set_gpio_level(blueLED, BLED_PWM_TOP * cc / 100);
449
             else
450
                 pwm_set_gpio_level(blueLED, 0);
451
452
         }
         else if (!strcmp(command, "shtrOpen") && usbSerialIn.argCount == 1)
453
454
             // Open the shutter
455
             pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInA),
456
             \rightarrow pwm_gpio_to_channel(shutterInA), 0);
             pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInB),
457
             → pwm_gpio_to_channel(shutterInB), pwm.movingDutyCycle/2);
             sleep_ms(500);
458
             pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInA),
459
             \rightarrow pwm_gpio_to_channel(shutterInA), 0);
             pwm set chan level(pwm gpio to slice num(shutterInB),
460
             → pwm_gpio_to_channel(shutterInB), 0);
461
         else if (!strcmp(command, "shtrClose") && usbSerialIn.argCount == 1)
462
         {
463
             // Close the shutter
464
             pwm set chan level(pwm gpio to slice num(shutterInA),
465
             → pwm_gpio_to_channel(shutterInA), pwm.movingDutyCycle/2);
             pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInB),
466
             → pwm_gpio_to_channel(shutterInB), 0);
             sleep ms(500);
467
             pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInA),
468
             → pwm_gpio_to_channel(shutterInA), 0);
```

```
pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInB),
469
             → pwm_gpio_to_channel(shutterInB), 0);
         }
470
         else if (!strcmp(command, "++expLen") && usbSerialIn.argCount == 1)
471
472
             char outNumber[11];
473
             itoa(expLen_us, outNumber, 10);
474
             putsUSB(outNumber);
475
             putsUSB("\r\n");
476
477
         else if (!strcmp(command, "++camTrigLen") && usbSerialIn.argCount == 1)
478
479
             char outNumber[11];
480
             itoa(shutter.camTrigLen_us, outNumber, 10);
481
             putsUSB(outNumber);
482
             putsUSB("\r\n");
483
         else if (!strcmp(command, "++start") && usbSerialIn.argCount < 3)</pre>
485
486
             // // Make sure that the shutter is in open state
487
             // pwm set chan level(pwm gpio to slice num(shutterInA),
488
             → pwm_gpio_to_channel(shutterInA), 0);
             // pwm set chan level(pwm gpio to slice num(shutterInB),
489
             → pwm_gpio_to_channel(shutterInB), pwm.hldingDutyCycle);
             // sleep ms(500);
490
             // pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInA),
491
             → pwm gpio to channel(shutterInA), 0);
             // pwm_set_chan_level(pwm_gpio_to_slice_num(shutterInB),
492
             → pwm gpio to channel(shutterInB), 0);
493
             // Call the shutter alarm function after 1ms to initiate the experiment
494
             hardware_alarm_set_target(SHTR_ALARM_NUM, make_timeout_time_ms(1));
             // shutterISR(SHTR ALARM NUM);
496
497
             // Block all the other operations untill the experiment ends
498
             while (expInProcess);
         }
500
         else if (!strcmp(command, "++reboot") && usbSerialIn.argCount)
501
502
503
             putsUSB("The shutter controller is set to reboot.");
             putsUSB("\r\n");
504
             watchdog_reboot(0, 0, 100);
505
         }
506
         else if (!usbSerialIn.argCount)
507
508
         {
         }
509
         else
510
         {
511
             putsUSB("\tInvalid Command: ");
512
             putsUSB(command);
513
514
             putsUSB("\r\n");
```

```
putsUSB("\tType \"help\" for list of commands.\r\n");
515
             puts USB ("\tType \"help command\" for documentation of a specific command.\"r\");
516
         }
517
         // Send CR/LF pair to be on safe side
518
         putsUSB("\r\n");
519
520
         // Output a token to indicate end of command response
521
         putsUSB(endRspToken);
522
     }
523
524
     int main()
525
     {
526
         setup();
527
528
         while (true)
529
530
531
             loop();
         }
532
    }
533
```

# APPENDIX H

Code Listings for the Host-PC software  $\,$ 

The code provided here relies on many other pieces of software listed below:

- cli: A library to create command line interface. It is available at https://github.com/daniele77/cli.
- DCAM-API: Primarily provides a driver for the frame grabber card (FireBird 1XCLD-2PE8) from Active Silicon. It also has other tools that can be used for testing and configuration purposes, like ExCap4 and DCAM Configurator. It can be downloaded from https://dcam-api.com/downloads/
- DCAM-SDK: This is the software development kit provided by Hamamatsu. It provides important functions and headers to properly communicate with the camera. It can be downloaded from https://dcam-api.com/dcam-sdk-login/.
- glew, glfw and glm: These are OpenGL libraries that facilitate the live view functionality for the camera. Only certain files from each library are required, take a look at the directory tree and CMakeLists.txt for help. Following are the download links for each

```
- glew: https://sourceforge.net/projects/glew/files/glew/2.1.0/glew-2.
    1.0-win32.zip/download
- glfw: https://www.glfw.org/download
- glm: https://github.com/g-truc/glm
```

• serialib: This library facilitates the communication between the host pc and the microcontroller. It can be downloaded from https://github.com/imabot2/serialib

## H.1 Directory tree

```
cli/
dcamMisc/..... Modified versions of some files in dcamsdk4/samples/cpp/misc
  common.cpp
 .common.h
  console4.h
dcamsdk4/......Everything else is the same except the following
 _inc/
  glew-2.1.0/
glfw-3.3.8.bin.WIN64/
glm/
serialib/
camProp.cpp.....Get and Set Camera Properties
_camProp.h.....Header file for camProp.cpp
_camRec.cpp.....Records images from camera
_camSoft.h....Header file for camSoft.cpp
_ condExps.cpp......Automates conducting experiments
condExps.h.....Header file for condExps.cpp
_ liveCap.cpp.......Provides live camera stream
_liveCap.h.....Header file for liveCap.cpp
shtrCtrl.h......Header file for shtrCtrl.cpp
```

#### H.2 Code Listings

## H.2.1 common.cpp

Make the following changes to the original file, remove the lines that are highlighted in red and add the lines that are highlighted in green.

```
1 // console/misc/common.cpp
2 //
3
4 #include "console4.h"
5 #include "common.h"
6
7 #include <stdarg.h>
```

#### H.2.2 common.h

Make the following changes to the original file, remove the lines that are highlighted in red and add the lines that are highlighted in green.

```
// console/misc/common.h
    //
2
3
    #include
                 "console4.h"
4
    #include
                 <stdarg.h>
5
6
    #ifndef ASSERT
    #define ASSERT(c)
9
10
    void dcamcon show dcamerr( HDCAM hdcam, DCAMERR errid, const char* apiname, const char*
11
    \hookrightarrow fmt=0, ... );
```

## H.2.3 console4.h

Make the following changes to the original file, remove the lines that are highlighted in red and add the lines that are highlighted in green.

```
#if defined( LINUX )
50
51
    #include
                        "dcamapi4.h"
    #include
                        "dcamprop.h"
52
    #else
53
                         "../../inc/dcamapi4.h"
    #include
54
                         "../dcamsdk4/inc/dcamapi4.h"
54
    #include
                        "../../inc/dcamprop.h"
    #include
55
                        "../dcamsdk4/inc/dcamprop.h"
    #include
55
    #endif
56
57
    #if defined(_WIN64)
58
    #pragma comment(lib,"../../lib/win64/dcamapi.lib")
59
    #elif defined(WIN32)
60
    #pragma comment(lib,"../../lib/win32/dcamapi.lib")
61
    #endif
62
```

63

## H.2.4 dcamapi4.h

Make the following changes to the original file, remove the lines that are highlighted in red and add the lines that are highlighted in green.

```
inline int failed( DCAMERR err )
1122
1123
          return int(err) < 0;</pre>
1124
      }
1125
1126
      #endif
1127
1128
      #if (defined(_MSC_VER)&&defined(_LINK_DCAMAPI_LIB))
1129
      #pragma comment(lib, "dcamapi.lib")
      #endif
1131
1132
      #pragma pack()
1133
1134
      #define _INCLUDE_DCAMAPI4_H_
1135
1136
      #endif
```

#### H.2.5 camProp.cpp

```
#include "camProp.h"
1
   // Define global variables
3
    std::vector<camPropInfo> camProps; // Array to store camera properties
4
5
    camPropInfo get camPropInfo(HDCAM hdcam, int32 propID)
6
    {
            camPropInfo propInfo;
8
            DCAMERR err;
9
10
            propInfo.propID = propID;
11
            memset(\&propInfo.propAttr, 0, sizeof(propInfo.propAttr));
12
            propInfo.propAttr.cbSize = sizeof(propInfo.propAttr);
13
            propInfo.propAttr.iProp = propID;
14
15
            dcamprop_getname(hdcam, propID, propInfo.propName, propNameSize);
  // get
16

→ property name

            err = dcamprop_getattr(hdcam, &propInfo.propAttr); // get property attribute
17
18
            // Collect prop attribute info
19
```

```
if (propInfo.propAttr.attribute & DCAMPROP ATTR HASCHANNEL)
20
                    strcpy s(propInfo.attrNames[propInfo.nAttr++], attrNameSize, "HASCHANNEL");
            if (propInfo.propAttr.attribute & DCAMPROP ATTR AUTOROUNDING)
22
                    strcpy s(propInfo.attrNames[propInfo.nAttr++], attrNameSize,
23
                        "AUTOROUNDING");
            if (propInfo.propAttr.attribute & DCAMPROP ATTR STEPPING INCONSISTENT)
24
                    strcpy_s(propInfo.attrNames[propInfo.nAttr++], attrNameSize,
25
                     → "STEPPING INCONSISTENT");
            if (propInfo.propAttr.attribute & DCAMPROP ATTR DATASTREAM)
26
                    strcpy s(propInfo.attrNames[propInfo.nAttr++], attrNameSize, "DATASTREAM");
27
            if (propInfo.propAttr.attribute & DCAMPROP_ATTR_HASRATIO)
28
                    strcpy s(propInfo.attrNames[propInfo.nAttr++], attrNameSize, "HASRATIO");
29
            if (propInfo.propAttr.attribute & DCAMPROP_ATTR_VOLATILE)
                    strcpy_s(propInfo.attrNames[propInfo.nAttr++], attrNameSize, "VOLATILE");
31
            if (propInfo.propAttr.attribute & DCAMPROP ATTR WRITABLE)
32
                    strcpy s(propInfo.attrNames[propInfo.nAttr++], attrNameSize, "WRITABLE");
33
            if (propInfo.propAttr.attribute & DCAMPROP ATTR READABLE)
                    strcpy s(propInfo.attrNames[propInfo.nAttr++], attrNameSize, "READABLE");
35
            if (propInfo.propAttr.attribute & DCAMPROP ATTR ACCESSREADY)
36
                    strcpy_s(propInfo.attrNames[propInfo.nAttr++], attrNameSize,
37
                        "ACCESSREADY");
            if (propInfo.propAttr.attribute & DCAMPROP ATTR ACCESSBUSY)
38
                    strcpy s(propInfo.attrNames[propInfo.nAttr++], attrNameSize, "ACCESSBUSY");
39
            if (propInfo.propAttr.attribute & DCAMPROP_ATTR_EFFECTIVE)
40
                    strcpy s(propInfo.attrNames[propInfo.nAttr++], attrNameSize, "EFFECTIVE");
41
42
            // Collect prop attribute2 info
43
            if (propInfo.propAttr.attribute & DCAMPROP_ATTR2_ARRAYBASE)
                    strcpy s(propInfo.attrNames[propInfo.nAttr++], attrNameSize, "ARRAYBASE");
45
            if (propInfo.propAttr.attribute & DCAMPROP_ATTR2_ARRAYELEMENT)
46
                    strcpy_s(propInfo.attrNames[propInfo.nAttr++], attrNameSize,
47

→ "ARRAYELEMENT");
            if (propInfo.propAttr.attribute & DCAMPROP ATTR2 INITIALIZEIMPROPER)
48
                    strcpy s(propInfo.attrNames[propInfo.nAttr++], attrNameSize,
49
                        "INITIALIZEIMPROPER");
50
            // Get the datatype of the property
51
            switch (propInfo.propAttr.attribute & DCAMPROP TYPE MASK)
52
            {
53
            case DCAMPROP TYPE MODE:
   strcpy s(propInfo.dataType, datatypeNameSize,
54
            \hookrightarrow "MODE");
                                 break:
            case DCAMPROP TYPE LONG:
   strcpy_s(propInfo.dataType, datatypeNameSize,
                "LONG");
                                 break;
            case DCAMPROP TYPE REAL:
   strcpy s(propInfo.dataType, datatypeNameSize,
56
            → "REAL");
                                 break;
            default:
  strcpy_s(propInfo.dataType,
57
                datatypeNameSize, "NONE");
   break:
            }
58
            // Extract the range of property values
60
```

```
propInfo.min = (propInfo.propAttr.attribute & DCAMPROP ATTR HASRANGE) ?
61
            propInfo.max = (propInfo.propAttr.attribute & DCAMPROP ATTR HASRANGE) ?
62
            propInfo.propAttr.valuemax : std::numeric limits<double>::quiet NaN();
63
            // Extract the step size of property value
64
            propInfo.step = (propInfo.propAttr.attribute & DCAMPROP_ATTR_HASSTEP) ?
65
            → propInfo.propAttr.valuestep : std::numeric limits<double>::quiet NaN();
66
            // Extract the default value of the property
67
            propInfo.defaultVal = (propInfo.propAttr.attribute & DCAMPROP_ATTR_HASDEFAULT) ?
68
            propInfo.propAttr.valuedefault : std::numeric_limits<double>::quiet_NaN();
69
            // Extract the current value of the property
70
            dcamprop_getvalue(hdcam, propID, &propInfo.currentVal);
71
72
            // Get all possible values if the datatype is MODE
            if ((propInfo.propAttr.attribute & DCAMPROP_TYPE_MASK) == DCAMPROP_TYPE_MODE) //
74
              List possible values for the property
            {
75
                    double lastValue = propInfo.min;
76
                    ++propInfo.nSuppVals;
77
                    do {
78
                            DCAMPROP_VALUETEXT pvt;
                            memset(&pvt, 0, sizeof(pvt));
80
                            pvt.cbSize = sizeof(pvt);
81
                            pvt.iProp = propID;
82
                            pvt.value = lastValue;
                            propInfo.suppVals[propInfo.nSuppVals] = int32(lastValue);
84
                            pvt.text = propInfo.suppValNames[propInfo.nSuppVals++];
85
                            pvt.textbytes = suppValNameSize;
86
                            dcamprop getvaluetext(hdcam, &pvt);
                    } while (!failed(dcamprop queryvalue(hdcam, propID, &lastValue,
88
                    \hookrightarrow DCAMPROP_OPTION_NEXT)));
           }
89
           // Get the unit of property value
91
            switch (propInfo.propAttr.iUnit)
92
            {
93
            case DCAMPROP UNIT SECOND:
  strcpy s(propInfo.unit,
94

    unitNameSize, "SECOND");

  break:
            case DCAMPROP UNIT CELSIUS:
   strcpy_s(propInfo.unit,
95

    unitNameSize, "CELSIUS");

   break:
            case DCAMPROP UNIT KELVIN:
  strcpy s(propInfo.unit,
96

→ unitNameSize, "KELVIN");

  break:
            case DCAMPROP UNIT METERPERSECOND:
  strcpy s(propInfo.unit, unitNameSize,
97
            → "METERPERSECOND");
  break:
            case DCAMPROP_UNIT_PERSECOND:
   strcpy_s(propInfo.unit, unitNameSize,
98
            → "PERSECOND");
   break;
            case DCAMPROP UNIT DEGREE:
  strcpy_s(propInfo.unit,
99

    unitNameSize, "DEGREE");

  break;
```

```
case DCAMPROP UNIT MICROMETER:
   strcpy s(propInfo.unit, unitNameSize,
100
             break;
             default:
   strcpy s(propInfo.unit,
101
                unitNameSize, "NONE");
   break;
             }
102
103
             return propInfo;
104
105
     }
106
     void fillCamProps(HDCAM hdcam)
107
108
     {
             camProps.clear(); // Empty out the array
109
             int32 iProp = 0;
                                     // property IDs
110
             DCAMPROPOPTION opt = DCAMPROP OPTION SUPPORT;
111
             while (!failed(dcamprop getnextid(hdcam, &iProp, opt)))
112
             {
113
                     camPropInfo propInfo = get_camPropInfo(hdcam, iProp);
                     camProps.push back(propInfo);
115
                     if (propInfo.propAttr.attribute2 & DCAMPROP_ATTR2_ARRAYBASE)
116
                     {
117
                             double nElem;
118
                              int32 iPropElemStep = propInfo.propAttr.iPropStep_Element;
119
                              dcamprop getvalue(hdcam, propInfo.propAttr.iProp NumberOfElement,
120
                              for (int32 i = 1; i < int32(nElem); ++i)
121
                              {
122
                                      camPropInfo propElemInfo = get camPropInfo(hdcam, iProp + i
123
                                      → * iPropElemStep);
                                      camProps.push_back(propElemInfo);
124
125
                             }
                     }
126
             }
     }
128
129
     void printCamPropsArray(std::ostream& out)
130
131
             out << std::setw(102) << std::setfill('-') << "" << std::endl << std::setfill(' ');
132
             out << "| " << std::right << std::setw(11) << "Property ID" << " | ";
133
             out << std::left << std::setw(propNameSize) << "Property Name" << " | ";</pre>
134
             out << std::right << std::setw(datatypeNameSize) << "Datatype" << " | ";
135
             out << std::right << std::setw(11) << "Value" << " | ";
136
             out << std::right << std::setw(unitNameSize) << "Unit" << " |" << std::endl;
137
             out << std::setw(102) << std::setfill('-') << "" << std::endl << std::setfill(' ');
138
139
             for (size_t i = 0; i < camProps.size(); ++i)</pre>
140
141
                     out << "| " << std::right << std::setw(11) << camProps[i].propID << " | ";
142
                     out << std::left << std::setw(propNameSize) << camProps[i].propName << " |</pre>
143
                     out << std::right << std::setw(datatypeNameSize) << camProps[i].dataType <<
144
```

```
if (trunc(camProps[i].currentVal) == camProps[i].currentVal)
145
                              out << std::right << std::setw(11) << camProps[i].currentVal << " |
146
                     else
147
                              out << std::right << std::setw(11) << std::setprecision(4) <<
148

    std::scientific << camProps[i].currentVal << std::defaultfloat
</pre>
                              out << std::right << std::setw(unitNameSize) << camProps[i].unit << " |" <<
149

    std::endl;

150
             out << std::setw(102) << std::setfill('-') << "" << std::endl << std::setfill(' ');
151
    }
152
    void printCamPropInfo(std::ostream& out, size_t camPropsIdx)
154
155
             // Make sure that the idx is within the array size
156
             if (camPropsIdx < camProps.size())</pre>
157
             {
158
                     out << "\tID: " << camProps[camPropsIdx].propID << std::endl; // Print out</pre>
159
                     \hookrightarrow the ID of the property
                     out << "\tName: " << camProps[camPropsIdx].propName << std::endl; // Print
160
                     → out the name of the property
                     out << "\tAttributes: " << std::endl; // Print out the property attributes
161
                     for (int i = 0; i < camProps[camPropsIdx].nAttr; ++i)</pre>
162
                              out << "\t\t" << camProps[camPropsIdx].attrNames[i] << std::endl;</pre>
163
                     out << "\tDatatype: " << camProps[camPropsIdx].dataType << std::endl; //</pre>
164
                     → Print out the property datatype
                     if (camProps[camPropsIdx].nSuppVals >= 0) // List possible values for the
165
                        property
                     {
166
                              out << "\tSupported Values: " << std::endl;</pre>
167
                              for (int i = 0; i < camProps[camPropsIdx].nSuppVals; ++i)</pre>
                                      out << "\t\t" << std::setw(8) << std::left <<
169

    camProps[camPropsIdx].suppValNames[i] << std::endl;
</pre>
                     }
170
                     if (!std::isnan(camProps[camPropsIdx].min)) // Print out the minimum
171
                      → possible property values
                              out << "\tMinimum: " << camProps[camPropsIdx].min << std::endl;</pre>
172
                     if (!std::isnan(camProps[camPropsIdx].max)) // Print out the maximum
173
                        possible property values
                              out << "\tMaximum: " << camProps[camPropsIdx].max << std::endl;</pre>
174
                     if (!std::isnan(camProps[camPropsIdx].step)) // Print out the step size of
175

→ property values

                              out << "\tStep: " << camProps[camPropsIdx].step << std::endl;</pre>
176
                     if (!std::isnan(camProps[camPropsIdx].defaultVal)) // Print out the default
177

→ property value

                              out << "\tDefault Value: " << camProps[camPropsIdx].defaultVal <<</pre>
178

    std::endl;

                     if (!std::isnan(camProps[camPropsIdx].currentVal)) // Print out current
179
                     → property value
```

```
out << "\tCurrent Value: " << camProps[camPropsIdx].currentVal <<</pre>
180

    std::endl;

             }
181
              else
182
                      out << "Invalid Camera Property Index. Update the camera property list to
183
                       → make sure that the property exists." << std::endl;</p>
     }
184
185
     int getCamPropsIdxByName(std::string& propName)
186
     {
187
              int propListIdx = -1;
188
              for (int i = 0; i < camProps.size(); ++i)</pre>
189
                      if (propName.compare(camProps[i].propName) == 0)
                      {
191
                               propListIdx = i;
192
                               break:
193
              return propListIdx;
195
196
     }
197
     int getCamPropsIdxByID(int32 propID)
198
199
              int propListIdx = -1;
200
              for (int i = 0; i < camProps.size(); ++i)</pre>
201
                      if (camProps[i].propID == propID)
202
                      {
203
                               propListIdx = i;
204
                               break;
205
                      }
206
              return propListIdx;
207
     }
208
     void setCamPropValue(HDCAM hdcam, std::ostream& out, size t camPropsIdx, double val)
210
211
              DCAMERR err = DCAMERR SUCCESS;
212
              double reqVal = val;
             do
214
              {
215
                      val = reqVal;
216
217
                      err = dcamprop setgetvalue(hdcam, camProps[camPropsIdx].propID, &val);
                      fillCamProps(hdcam);
218
             } while (err == DCAMERR SUCCESS && camProps[camPropsIdx].currentVal != val);
219
220
             if (failed(err))
221
222
              {
223
                      out << "Failed setting " << camProps[camPropsIdx].propName << " = " <<</pre>
                          reqVal << std::endl
                               << "Make sure that the value provided is valid." << std::endl;</pre>
224
                      camProps[camPropsIdx] = get_camPropInfo(hdcam,
225

    camProps[camPropsIdx].propID);
                      printCamPropInfo(out, camPropsIdx);
226
```

```
227 return;
228 }
229 }
```

### H.2.6 camProp.h

```
#pragma once
1
2
    #define NOMINMAX
    #include "dcamMisc/console4.h"
    #include <limits>
    #include <iomanip>
    #include <vector>
    constexpr int propNameSize = 40;
9
    constexpr int attrNameSize = 24;
10
    constexpr int datatypeNameSize = 8;
11
    constexpr int suppValNameSize = 24;
    constexpr int unitNameSize = 16;
13
14
    typedef struct camPropInfo
15
    {
16
            int32 propID = 0;
17
            DCAMPROP ATTR propAttr;
18
            char propName[propNameSize];
19
            int nAttr = 0;
20
            char attrNames[16][attrNameSize];
21
            char dataType[datatypeNameSize];
22
            int nSuppVals = -1;
23
            int32 suppVals[32];
24
            char suppValNames[32][suppValNameSize];
25
            char unit[unitNameSize];
26
            double min = std::numeric_limits<double>::quiet_NaN();
27
            double max = std::numeric_limits<double>::quiet_NaN();
28
            double step = std::numeric limits<double>::quiet NaN();
29
            double defaultVal = std::numeric limits<double>::quiet NaN();
30
            double currentVal = std::numeric_limits<double>::quiet_NaN();
    } camPropInfo;
32
33
    extern std::vector<camPropInfo> camProps; // Array to store camera properties
34
35
    camPropInfo get camPropInfo(HDCAM hdcam, int32 propID);
36
37
    void fillCamProps(HDCAM hdcam);
    void printCamPropsArray(std::ostream& out);
    void printCamPropInfo(std::ostream& out, size t camPropsIdx);
39
    int getCamPropsIdxByName(std::string& propName);
40
    int getCamPropsIdxByID(int32 propID);
41
    void setCamPropValue(HDCAM hdcam, std::ostream& out, size_t camPropsIdx, double val);
42
```

#### H.2.7 camRec.cpp

```
#include "camRec.h"
    // Variable to indicate the current state of recording
 3
    std::atomic<bool> camRcrdng = false;
 4
    // Variable to indicate whether the camera is ready to start recording
 6
    std::atomic<bool> camRdy2Capt = false;
    void recordFrames(std::ostream& out, HDCAM hdcam, HDCAMWAIT hwait)
    {
10
        DCAMERR err;
11
12
        // start capture
13
        err = dcamcap_start(hdcam, DCAMCAP_START_SNAP);
14
15
        if (failed(err))
16
             out << "Could not start camera recording." << std::endl;</pre>
17
             return;
18
        }
19
        else
20
        {
21
             // State that the camera is ready to capture frames
22
             camRdy2Capt = true;
23
24
            // set wait param
25
            DCAMWAIT_START waitstart;
26
            memset(&waitstart, 0, sizeof(waitstart));
27
            waitstart.size = sizeof(waitstart);
            waitstart.eventmask = DCAMWAIT_CAPEVENT_STOPPED | DCAMWAIT_CAPEVENT_RELOADFRAME;
29
            waitstart.timeout = 1000;
30
31
            // Wait for capture to complete
            bool bStop = false;
33
            while (!bStop)
34
35
                 err = dcamwait_start(hwait, &waitstart);
36
                 if (!failed(err) && (waitstart.eventhappened & DCAMWAIT_CAPEVENT_STOPPED))
37
                     bStop = true;
38
                 if (!failed(err) && (waitstart.eventhappened & DCAMWAIT CAPEVENT RELOADFRAME))
                     out << "DCAMWAIT_CAPEVENT_RELOADFRAME" << std::endl;</pre>
40
41
                 // get capture and transfer status
42
                 int32 capStatus = 0;
43
                 err = dcamcap_status(hdcam, &capStatus);
44
                 out << "Capture Status: ";</pre>
45
                 if (failed(err))
46
47
                     out << "Can't retrieve";</pre>
                 else
48
                     switch (capStatus)
49
```

```
{
50
                      case DCAMCAP_STATUS_BUSY: out << "BUSY"; break;</pre>
51
                      case DCAMCAP STATUS ERROR: out << "ERROR"; break;</pre>
52
                      case DCAMCAP STATUS READY: out << "READY"; break;</pre>
53
                      case DCAMCAP_STATUS_STABLE: out << "STABLE"; break;</pre>
54
                      case DCAMCAP STATUS UNSTABLE: out << "UNSTABLE"; break;</pre>
55
                      default: break;
56
                      }
57
                 out << ", ";
                 DCAMCAP TRANSFERINFO transInfo;
59
                 memset(&transInfo, 0, sizeof(transInfo));
60
                 transInfo.size = sizeof(transInfo);
61
                 transInfo.iKind = DCAMCAP_TRANSFERKIND_FRAME;
                 err = dcamcap_transferinfo(hdcam, &transInfo);
63
                 if (failed(err))
64
                      out << "Frames Captured: Unavailable, Newest Frame Index: Unavailable" <<</pre>
65

    std::endl;

                 else
66
                      out << "Frames Captured: " << transInfo.nFrameCount << ", "</pre>
                      << "Newest Frame Index: " << transInfo.nNewestFrameIndex << std::endl;</pre>
68
69
             // stop capture
70
             dcamcap_stop(hdcam);
71
72
             // State that the camera is not ready to capture frames
73
             camRdy2Capt = false;
74
         }
75
    }
76
77
    void startCamRecording(std::ostream& out, HDCAM hdcam, camRecInfo recInfo)
78
79
         // State that this function is called
80
         camRcrdng = true;
81
         // Variable for string error codes
83
         DCAMERR err;
85
         // open wait handle
86
         DCAMWAIT OPEN
                               waitopen;
87
         memset(&waitopen, 0, sizeof(waitopen));
88
         waitopen.size = sizeof(waitopen);
89
         waitopen.hdcam = hdcam;
90
91
         err = dcamwait open(&waitopen);
92
         if (failed(err))
93
94
             out << "Could not create DCAMWAIT_OPEN object." << std::endl;</pre>
95
         else
         {
96
             HDCAMWAIT hwait = waitopen.hwait;
97
98
             // Get frame size in bytes
```

```
double bufframebytes;
100
             err = dcamprop_getvalue(hdcam, DCAM_IDPROP_BUFFER_FRAMEBYTES, &bufframebytes);
101
             if (failed(err))
102
                  out << "Could not retrieve DCAM IDPROP BUFFER FRAMEBYTES value." << std::endl;
103
             else
104
105
                  // Check if enough memory is available
106
                 MEMORYSTATUSEX mem;
107
                  mem.dwLength = sizeof(mem);
108
                  if (!GlobalMemoryStatusEx(&mem))
109
                      out << "Failed to retrieve physical memory info." << std::endl;</pre>
110
                 else
111
112
                  {
                      size_t frameSize = (size_t)bufframebytes;
113
                                 number_of_buffer = recInfo.nFrames;
114
                      if (mem.ullAvailPhys < frameSize * number_of_buffer)</pre>
115
                          out << "Not enough memory. Required: " << frameSize * number_of_buffer</pre>
                          \hookrightarrow / 1024.0 / 1024.0 / 1024.0
                              << " GB, Available: " << mem.ullAvailPhys / 1024.0 / 1024.0 /</pre>
117
                               else
118
119
                          // allocate buffer
120
                          void** pFrames = new void* [number_of_buffer];
121
                          char* buf = new char[frameSize * number of buffer];
122
                          memset(buf, 0, frameSize * number_of_buffer);
123
124
125
                          int
  i:
                          for (i = 0; i < number_of_buffer; i++)</pre>
126
127
                               pFrames[i] = buf + frameSize * i;
128
                          }
130
                          DCAMBUF_ATTACH bufattach;
131
                          memset(&bufattach, 0, sizeof(bufattach));
132
                          bufattach.size = sizeof(bufattach);
133
                          bufattach.iKind = DCAMBUF_ATTACHKIND_FRAME;
134
                          bufattach.buffer = pFrames;
135
                          bufattach.buffercount = number of buffer;
136
137
                          // attach user buffer
138
                          err = dcambuf attach(hdcam, &bufattach);
139
                          if (failed(err))
140
                              out << "Could not attach frame buffer." << std::endl;</pre>
141
                          else
142
143
                          {
                              // Start recording
144
                               recordFrames(out, hdcam, hwait);
145
146
                              // release buffer
147
148
                              dcambuf_release(hdcam);
```

```
149
                             // Save images
                             std::ofstream imgsFile(recInfo.filePath, std::ios::out |
151

    std::ios::binary);

                             if (!imgsFile)
152
                                 out << "Could not open file: " << recInfo.filePath <<
153

    std::endl;

                             else
154
                             {
155
                                 double imgWidth d = 0, imgHeight d = 0;
156
                                 DCAMERR errW = dcamprop_getvalue(hdcam,
157

→ DCAM IDPROP IMAGE WIDTH, &imgWidth d);

                                 DCAMERR errH = dcamprop_getvalue(hdcam,
158
                                 → DCAM_IDPROP_IMAGE_HEIGHT, &imgHeight_d);
                                 if (failed(errW) || failed(errH))
159
                                     out << "Could not retrieve image width and height</pre>
160
                                      → properties." << std::endl;</pre>
                                 else
161
162
                                 {
                                     uint32_t imgWidth = (uint32_t)imgWidth_d, imgHeight =
163
                                      imgsFile.write((char*)&imgWidth, sizeof(imgWidth));
164
                                     imgsFile.write((char*)&imgHeight, sizeof(imgHeight));
165
                                     imgsFile.write((char*)&nFrames, sizeof(nFrames));
166
                                     imgsFile.write(buf, frameSize * number_of_buffer);
167
                                 }
168
                                 imgsFile.close();
169
                                 if (!imgsFile.good())
170
                                     out << "Error occurred at writing time!" << std::endl;</pre>
171
                             }
172
                         }
                         // free buffer
174
                         delete[] buf;
175
                         delete[] pFrames;
176
                     }
                 }
178
             }
179
             // close wait handle
180
181
             dcamwait_close(hwait);
         }
182
183
         // State that this function call has ended
184
         camRcrdng = false;
185
186
     }
187
     void waitFinishCamRcrdng(std::ostream &out)
188
     {
189
         if (camRcrdng)
190
             out << "Waiting for the camera to finish recording." << std::endl;
191
```

```
while (camRcrdng);
193 }
```

## H.2.8 camRec.h

```
#pragma once
    #define NOMINMAX
3
    #include "dcamMisc/console4.h"
4
    #include <iostream>
6
    #include <filesystem>
    #include <fstream>
    struct camRecInfo
10
11
    {
            std::filesystem::path filePath;
12
            unsigned int nFrames = 0;
13
    };
14
15
    // Variable to indicate whether startCamRecording is currently executing
16
    extern std::atomic<bool> camRcrdng;
^{17}
18
    // Variable to indicate whether the camera is ready to capture frames
19
    extern std::atomic<bool> camRdy2Capt;
20
21
    void startCamRecording(std::ostream& out, HDCAM hdcam, camRecInfo recInfo);
22
    void waitFinishCamRcrdng(std::ostream &out);
23
```

#### H.2.9 camSoft.cpp

```
// camSoft.cpp : Defines the entry point for the application.
   //
   #include "camSoft.h"
   HDCAM hdcam = NULL;
6
   bool initCam()
8
9
    {
            std::cout << "Looking for Hamamatsu C11440-22C camera." << std::endl;</pre>
10
            hdcam = dcamcon_init_open(); // Unitialize DCAM-API and open device
11
            if (hdcam != NULL)
12
                     std::cout << "Camera initialization sucessful." << std::endl;</pre>
13
            else
14
                     dcamapi uninit(); // Uninitialize DCAM-API
15
            return (hdcam != NULL);
16
```

```
}
17
    void otSoftCamRec(std::ostream& out, unsigned int nFrames, std::filesystem::path filePath)
19
20
             filePath.make_preferred();
21
             if (liveCapOn)
22
                     out << "Live feed must be turned off before recording." << std::endl;</pre>
23
             else if (expsUnderProgress)
24
                     out << "The ongoing experiments must be stopped before recording is

    started." << std::endl;
</pre>
             else if (camRcrdng)
26
                     out << "The camera is already recording something." << std::endl;</pre>
27
            else
             {
29
                     if (filePath.extension() == ".bin")
30
                     {
31
                              camRecInfo recInfo;
                              if (filePath.parent_path() == "")
33
34
                              {
                                      recInfo.nFrames = nFrames;
35
                                      recInfo.filePath = filePath.filename();
36
                                      std::thread camRecThread(startCamRecording, std::ref(out),
37
                                       → hdcam, recInfo);
                                      camRecThread.detach();
38
                              }
39
                              else if (std::filesystem::is_directory(filePath.parent_path()) ||
40
                                 std::filesystem::create directories(filePath.parent path()))
                              {
41
                                      recInfo.nFrames = nFrames;
42
                                      recInfo.filePath = filePath.parent_path() /=
43

    filePath.filename();

                                      std::thread camRecThread(startCamRecording, std::ref(out),
                                       → hdcam, recInfo);
                                      camRecThread.detach();
                              }
46
                              else
47
                                      out << "Failed to create the path specified." << std::endl;</pre>
48
                     }
49
                     else
50
                              out << "Recording file name must contain \".bin\" extension." <<</pre>
51

    std::endl;

            }
52
    }
53
54
    void otSoftShtrCtrl(std::ostream& out, std::string cmd)
55
    {
56
             sndShtrCmd(out, cmd);
    }
58
    void camPropsUpdate(std::ostream& out)
60
61
    {
```

```
fillCamProps(hdcam);
62
    }
63
64
    void camPropList(std::ostream& out)
65
66
             fillCamProps(hdcam);
67
             printCamPropsArray(out);
68
    }
69
70
     void camPropInfoByName(std::ostream& out, std::string propName)
71
72
     {
             fillCamProps(hdcam);
73
             int propListIdx = getCamPropsIdxByName(propName);
             if (propListIdx  >= 0 )
75
                     printCamPropInfo(out, propListIdx);
76
             else
77
                     out << "Property with name \"" << propName << "\" doesn't exist." <<

    std::endl;

79
    }
80
    void camPropInfoByID(std::ostream& out, int32 propID)
81
82
             fillCamProps(hdcam);
83
             int propListIdx = getCamPropsIdxByID(propID);
84
             if (propListIdx >= 0)
85
                     printCamPropInfo(out, propListIdx);
86
             else
87
                     out << "Property with ID \"" << propID << "\" doesn't exist." << std::endl;
    }
89
90
    void camPropSetByName(std::ostream& out, std::string propName, double val)
91
92
             if (liveCapOn || camRcrdng || expsUnderProgress)
93
                     out << "Camera properties cannot be set while live feed is on, camera is
94
                        recording or experiments are being conducted." << std::endl;
             else
             {
96
                     fillCamProps(hdcam);
97
                     int propListIdx = getCamPropsIdxByName(propName);
98
99
                     if (propListIdx >= 0)
                              setCamPropValue(hdcam, out, propListIdx, val);
100
                     else
101
                              out << "Property with name \"" << propName << "\" doesn't exist."
102
                              103
             }
104
    }
    void camPropSetByID(std::ostream& out, int32 propID, double val)
106
107
    {
             if (liveCapOn || camRcrdng || expsUnderProgress)
108
```

```
out << "Camera properties cannot be set while live feed is on, camera is
109

→ recording or experiments are being conducted." << std::endl;
</p>
             else
110
             {
111
                      fillCamProps(hdcam);
112
                      int propListIdx = getCamPropsIdxByID(propID);
113
                      if (propListIdx >= 0)
114
                              setCamPropValue(hdcam, out, propListIdx, val);
115
                      else
116
                              out << "Property with ID \"" << propID << "\" doesn't exist." <<
117

    std::endl;

             }
118
     }
119
120
     void liveCapStart(std::ostream& out)
121
     {
122
             if (liveCapOn)
                      out << "Live feed from camera is already on." << std::endl;</pre>
124
125
             else if (expsUnderProgress)
                      out << "Live feed cannot be started while experiments are being conducted."</pre>
126
                      else if (camRcrdng)
127
                      out << "Live feed cannot be started while the camera is recording." <<</pre>
128

    std::endl;

             else
129
130
             {
                      fillCamProps(hdcam);
131
                      int propListWidthIdx = getCamPropsIdxByID(DCAM_IDPROP_IMAGE_WIDTH);
132
                      int propListHeightIdx = getCamPropsIdxByID(DCAM IDPROP IMAGE HEIGHT);
133
                      setCamCapImgSize(camProps[propListWidthIdx].currentVal,
134

    camProps[propListHeightIdx].currentVal);

                      std::thread liveCapThread(startCamCap, std::ref(out), hdcam);
135
                      liveCapThread.detach();
136
             }
137
     }
138
     void liveCapStop(std::ostream& out)
140
141
     {
             if (!liveCapOn)
142
143
                      out << "Live feed from camera is already off." << std::endl;
             else
144
                      stopCamCap(out);
145
     }
146
147
     void liveCapLUT(std::ostream& out, int lutMin, int lutMax)
148
149
     {
             if (lutMax > 65535 || lutMax <= lutMin || lutMin < 0)</pre>
150
                      out << "Make sure that lutMin >= 0, lutMax <= 65535 and lutMax > lutMin."
151
                      else
152
153
                      setCamCapLUT(lutMin, lutMax);
```

```
}
154
    void condExpsStart(std::ostream &out, size t numExps)
156
157
          if (liveCapOn)
158
                 out << "Live feed must be turned off before conducting experiments." <<</pre>
159
                 \hookrightarrow std::endl;
          else if (expsUnderProgress)
160
                 out << "Experiments are already being conducted." << std::endl;</pre>
161
          else if (camRcrdng)
162
                 out << "Experiments cannot be conducted while the camera is recording." <<
163

    std::endl;

          else
164
          {
165
                 std::thread condExpsThread(startConductingExps, std::ref(out), numExps,
166
                 \hookrightarrow hdcam);
                 condExpsThread.detach();
167
          }
168
169
    }
170
    void condExpsStop(std::ostream &out)
171
172
    {
          stopConductingExps(out);
173
    }
174
175
    void condExpsStatus(std::ostream &out)
176
    {
177
          expsStatus(out);
178
    }
179
180
    int main(int argc, char* const argv[])
181
    {
182
          // Roll the intro
183
          std::cout <<
184
          std::cout << "// otSoft: A Command Line Program to perform optical tweezer</pre>
185
           std::cout << "// Developed by: Vatsal Asitkumar Joshi</pre>
186
           std::cout << "// Hardware required: Hamamatsu C11440-22C Camera,</pre>
187
           std::cout << "//
   Raspberry Pi Pico Microcontroller,
188
           std::cout << "//
   1064nm Laser
189
           std::cout << "// Date last updated: 03/09/2022
190
           std::cout <<
191
```

```
192
            if (initShtr() && initCam())
194
                    fillCamProps(hdcam); // Get a list of camera properties
195
                    std::cout << std::endl;</pre>
196
197
                    // Create a root menu of our cli
198
                    auto otSoft = std::make unique<cli::Menu>("otSoft", "Main menu of this
199
                     → application.");
                    otSoft->Insert("camRec", otSoftCamRec, "Start camera recording.");
200
                    otSoft->Insert("shtrCtrl", otSoftShtrCtrl, "Passthrough for setting up
201
                        shutter controller. Type 'shtrCtrl help' for more information.");
202
                    // Create a submenu for camera properties
203
                    auto camProp = std::make unique<cli::Menu>("camProp", "Menu to access
                     camProp->Insert("update", camPropsUpdate, "Update the list of all the
                     → properties of Hamamatsu C11440-22C camera.");
                    camProp->Insert("list", camPropList, "List all the properties of Hamamatsu
                     camProp->Insert("infoByName", camPropInfoByName, "Get info regarding a
207

→ certain property by name.");
                    camProp->Insert("infoByID", camPropInfoByID, "Get info regarding a certain
208
                     → property by ID.");
                    camProp->Insert("setByName", camPropSetByName, "Set camera property
209
                     → value.");
                    camProp->Insert("setByID", camPropSetByID, "Set camera property value.");
210
                    otSoft->Insert(std::move(camProp));
211
212
                    // Create a submenu for camera live feed
213
                    auto liveCap = std::make_unique<cli::Menu>("liveCap", "Menu to show live
214

    feed from the camera.");

                    liveCap->Insert("start", liveCapStart, "Start the camera live feed.");
215
                    liveCap->Insert("stop", liveCapStop, "Stop the camera live feed.");
216
                    liveCap->Insert("lut", liveCapLUT, "Update input-output mapping of the
217

    camera pixel values.");

                    otSoft->Insert(std::move(liveCap));
218
219
                    // Create a submenu for conducting experiments
220
                    auto condExps = std::make unique<cli::Menu>("condExps", "Menu for
221
                     condExps->Insert("start", condExpsStart, "Start conducting n
222

    experiments.");

                    condExps->Insert("stop", condExpsStop, "Stop ongoing experiments.");
223
                    condExps->Insert("status", condExpsStatus, "Status of the ongoing
224
                     ⇔ experiments.");
                    otSoft->Insert(std::move(condExps));
225
226
                    // create the cli with the root menu
227
                    cli::Cli cli(std::move(otSoft));
228
229
```

```
// global exit action
230
                      cli.ExitAction([](auto &out)
231
232
   stopConductingExps(out);
  // Make
233
   \hookrightarrow sure no experiment is being
   \hookrightarrow conducted
   stopCamCap(out);
234
   → // Make sure the camera is not

→ capturing

   waitFinishCamRcrdng(out); // Wait for
235
   \hookrightarrow the camera to finish recording
   deinitShtr(out);
236
   → // Reboot the shutter controller
   dcamdev_close(hdcam);
237
  // close
   → DCAM handle
   dcamapi_uninit();
   //
238

→ Uninit DCAM-API

   out << "Camera uninitialized properly."</pre>
239
   out << "Press Enter to exit...";</pre>
240
  });
241
242
                      cli::LoopScheduler scheduler;
243
                      cli::CliLocalTerminalSession localSession(cli, scheduler, std::cout, 200);
244
245
                      localSession.ExitAction(
246
                              [&scheduler](auto &out) // session exit action
247
248
                              {
                                       scheduler.Stop();
249
250
                              });
251
                      scheduler.Run();
252
             }
253
254
             else
                      std::cout << "Shutter or Camera initialization unsucessful. Try again</pre>
255
                      }
256
```

#### H.2.10 camSoft.h

```
// camSoft.h : Include file for standard system include files,
// or project specific include files.

#pragma once

#include <iostream>
// TODO: Reference additional headers your program requires here.
#include "dcamMisc/common.h"
```

```
#include "camProp.h"
#include "liveCap.h"
#include "camRec.h"
#include "shtrCtrl.h"
#include "condExps.h"
#include "cli/include/cli/cli.h"
#include "cli/include/cli/loopscheduler.h"
#include "cli/include/cli/clilocalsession.h"
#include *cimanip>
#include *chread>
#include *cfilesystem>
```

#### H.2.11 CMakeLists.txt

```
# CMakeList.txt : CMake project for camSoft, include source and define
    # project specific logic here.
3
    cmake minimum required (VERSION 3.15)
5
    project ("camSoft")
    # Make sure that dll runtime libraries are used
    set(CMAKE MSVC RUNTIME LIBRARY "MultiThreaded$<$<CONFIG:Debug>:Debug>")
   # Add source to this project's executable.
11
    add executable (camSoft
12
    "dcamsdk4/inc/dcamapi4.h" "dcamsdk4/inc/dcamprop.h"
13
    "dcamMisc/common.cpp" "dcamMisc/common.h" "dcamMisc/console4.h"
14
    "serialib/lib/serialib.cpp" "serialib/lib/serialib.h"
15
16
    "camProp.cpp" "camProp.h"
17
   "camRec.cpp" "camRec.h"
18
    "liveCap.cpp" "liveCap.h"
19
    "shtrCtrl.cpp" "shtrCtrl.h"
20
    "condExps.cpp" "condExps.h"
21
    "camSoft.cpp" "camSoft.h"
22
    )
23
24
    if (CMAKE VERSION VERSION GREATER 3.15)
      set_property(TARGET camSoft PROPERTY CXX STANDARD 17)
26
    endif()
28
29
    # Define locations to the header files of different libraries
    target_include_directories(camSoft PUBLIC
30
    "${CMAKE SOURCE DIR}/glm"
31
    "${CMAKE_SOURCE_DIR}/glew-2.1.0/include"
32
    "${CMAKE SOURCE DIR}/glfw-3.3.8.bin.WIN64/include"
33
34
35
```

```
# Look for DCAMAPI library
36
    find_library(
37
            DCAMAPI LIB REQUIRED
38
            NAMES dcamapi # Name of the file to look for
39
            PATHS "${CMAKE SOURCE DIR}/dcamsdk4/lib/win64" # Folder to look into
40
            NO DEFAULT PATH # Do not search system default paths
41
^{42}
    message(STATUS "DCAMAPI LIB: [${DCAMAPI LIB}]")
43
44
    # Look for OPENGL library
45
    find_package(OpenGL REQUIRED)
46
47
    # Look for GLEW library
48
    find_library(
49
            GLEW LIB REQUIRED
50
            NAMES glew32s # Name of the file to look for
51
            PATHS "${CMAKE SOURCE DIR}/glew-2.1.0/lib/Release/x64" # Folder to look into
            NO DEFAULT PATH # Do not search system default paths
53
54
    message(STATUS "GLEW_LIB: [${GLEW_LIB}]")
55
56
    # Look for GLFW library
57
    find library(
58
            GLFW_LIB REQUIRED
59
            NAMES glfw3 mt # Name of the file to look for
60
            PATHS "${CMAKE_SOURCE_DIR}/glfw-3.3.8.bin.WIN64/lib-vc2022" # Folder to look into
61
            NO DEFAULT PATH # Do not search system default paths
62
63
    message(STATUS "GLFW LIB: [${GLFW LIB}]")
64
65
    # Link all the libraries
66
    target link libraries(camSoft PUBLIC
    ${DCAMAPI LIB}
68
   ${GLEW LIB}
   ${GLFW LIB}
70
    OpenGL::GL
71
    )
72
```

#### H.2.12 condExps.cpp

```
#include "condExps.h"

// Define globals for this file only

size_t nExps = 0; // Number of experiments to be conducted

size_t expLen_us = 0; // Length of one experiment in us

// Define globals that are 'extern' in the header file

std::atomic<bool> expsUnderProgress = false; // Whether the experiments are currently being

conducted or not
```

```
9
    void startConductingExps(std::ostream &out, size_t numExps, HDCAM hdcam)
10
    {
11
        // State that some experiments are being conducted
12
        expsUnderProgress = true;
13
14
        // Get some info from the shutter controller
15
        size_t expLen_us = std::stoull(sndCmdRecRspShtr(std::string("++expLen"))); // Length of
16
        \hookrightarrow one experiment in us
        size t camTrigLen us = std::stoull(sndCmdRecRspShtr(std::string("++camTrigLen"))); //
17
        → Length of time the camera should be recording in us
18
        // Define the number of experiments
19
        nExps = numExps;
20
21
        // Get some camera info
22
        size_t exposureTime_ns = (size_t)(get_camPropInfo(hdcam,
        → DCAM IDPROP EXPOSURETIME).currentVal * 1e9);
        size_t nFrames = camTrigLen_us * 1000 / exposureTime_ns;
25
        // Get camera property values so that we can reset it after conducting experiments
26
        double trigSource = get_camPropInfo(hdcam, DCAM_IDPROP_TRIGGERSOURCE).currentVal;
27
        double trigMode = get camPropInfo(hdcam, DCAM IDPROP TRIGGER MODE).currentVal;
28
        double trigPolarity = get_camPropInfo(hdcam, DCAM_IDPROP_TRIGGERPOLARITY).currentVal;
29
30
        // Name a folder with current date and time
31
        char fldrName[80];
32
        time_t t = std::time(nullptr);
33
        struct tm timeInfo;
34
        localtime_s(&timeInfo, &t);
35
        std::strftime(fldrName, sizeof(fldrName), "%Y%m%d%H%M%S", &timeInfo);
36
        std::filesystem::path folderPath = std::string(fldrName);
38
        // If the folder exists then delete it, probably won't ever happen.
39
        if (std::filesystem::is_directory(folderPath))
40
            std::filesystem::remove_all(folderPath);
41
42
        // Create a folder
43
        std::filesystem::create_directories(folderPath);
44
        // Start conducting experiments
46
        while (nExps && expsUnderProgress)
47
48
            // Set up the recording stuff
49
            std::filesystem::path filePath = (folderPath / (std::to_string(numExps - nExps) +
50

    std::string(".bin"))).make preferred();
            if (std::filesystem::is_directory(filePath.parent_path())) // Make sure if the
                folder was created
            {
                camRecInfo recInfo:
53
                 recInfo.nFrames = (unsigned int) nFrames;
```

```
recInfo.filePath = filePath.parent path() /= filePath.filename();
55
                 // Set camera trigger source to external
56
                 setCamPropValue(hdcam, out, getCamPropsIdxByID(DCAM IDPROP TRIGGERSOURCE),
57

→ DCAMPROP TRIGGERSOURCE EXTERNAL);

                 \verb|setCamPropValue(hdcam, out, getCamPropsIdxByID(DCAM_IDPROP\_TRIGGER\_MODE)|, \\
58
                     DCAMPROP TRIGGER MODE START);
                 setCamPropValue(hdcam, out, getCamPropsIdxByID(DCAM_IDPROP_TRIGGERPOLARITY),
59
                 → DCAMPROP TRIGGERPOLARITY POSITIVE);
                 // Initiate the camera capture
60
                 std::thread camRecThread(startCamRecording, std::ref(out), hdcam, recInfo);
61
                 while (!camRcrdng); // Wait for the thread to actually start executing
62
                 camRecThread.detach();
63
            }
            else
65
                 out << "Failed to create the folder." << std::endl;
66
67
            // Wait till camera is ready to capture or an error ocurred
            while (camRcrdng && !camRdy2Capt);
69
70
            // Make the shutter controller execute one experiment
71
            if (camRcrdng)
72
                 sndShtrCmd(out, std::string("++start"));
73
            else
74
                 out << "Seems like the camera could not be set up properly for Exp No.: " <<

    nExps << std::endl;
</pre>
76
            // If an experiment is started then code should not reach here before it is
77

→ completed.

            // Wait for the camera to finish recording, transferring images to SSD/HDD should
78
             \hookrightarrow be remaining at this point.
            waitFinishCamRcrdng(out);
79
            // Reduce nExps by one only if it is grather than 0
81
            nExps += nExps ? -1 : 0;
        }
83
        // Reset Camera properties to the original values
85
        setCamPropValue(hdcam, out, getCamPropsIdxByID(DCAM IDPROP TRIGGERSOURCE), trigSource);
86
        setCamPropValue(hdcam, out, getCamPropsIdxByID(DCAM IDPROP TRIGGER MODE), trigMode);
87
        setCamPropValue(hdcam, out, getCamPropsIdxByID(DCAM IDPROP TRIGGERPOLARITY),
88

    trigPolarity);

89
        // State that the experiments are done
90
        expsUnderProgress = false;
91
92
93
        // Make sure that the number of experiments is zero
        nExps = 0;
    }
95
96
    void stopConductingExps(std::ostream &out)
97
98
    {
```

```
nExps = 0;
99
100
         if (expsUnderProgress)
              out << "The system will stop after finishing the ongoing experiment." << std::endl;
101
         while (expsUnderProgress);
102
     }
103
104
     void expsStatus(std::ostream &out)
105
106
         if (expsUnderProgress)
107
         {
108
             out << "Number of experements remaining to be conducted:</pre>
   " << nExps <<
109
             out << "Time required to finish remaining experiments:</pre>
   " << expLen_us * nExps
110
              \rightarrow / 1000 << " ms at least" << std::endl;
         }
111
         else
112
             out << "No experiments are under process." << std::endl;</pre>
     }
114
```

## $H.2.13 \quad {\tt condExps.h}$

```
#pragma once
2
   #define NOMINMAX
4 #include <iostream>
   #include <ctime>
   #include <thread>
   #include "camProp.h"
   #include "shtrCtrl.h"
   #include "camRec.h"
10
   extern std::atomic<bool> expsUnderProgress; // Whether the experiments are currently being
11
    \hookrightarrow conducted or not
12
   void startConductingExps(std::ostream &out, size_t numExps, HDCAM hdcam);
   void stopConductingExps(std::ostream &out);
14
   void expsStatus(std::ostream &out);
```

#### H.2.14 liveCap.cpp

```
#include "liveCap.h"

// Define globals for this file only

GLsizei liveCapImgWidth = 2048, liveCapImgHeight = 2048;

// Global variables to store image size

double liveCapOffsetX = 0, liveCapOffsetY = 0, liveCapScale = 1, liveCapWinPxPerImPx = 1;

// Global variables for pan and zoom
```

```
GLfloat liveCapLutMin = 0, liveCapLutMax = 65535;
    → // Global variables to define LUT
    GLint liveCapLutMinUniformLoc = -1, liveCapLutMaxUniformLoc = -1;
    → // Global uniform location for LUT values
   GLint liveCapMVPUniformLoc = -1;
    → // Global uniform location for MVP matrix
    bool liveFeedStopped = true;
    → // Variable stating whether the live feed is stopped or not
    // Define globals that are 'extern' in the header file
11
    std::atomic<bool> liveCapOn = false; // Global variable to indicate live capture state
12
13
    GLuint CompileShader(GLuint type, const std::string& source)
15
        GLuint id = glCreateShader(type);
16
        const char* src = source.c str();
17
        GLCall(glShaderSource(id, 1, &src, nullptr));
        GLCall(glCompileShader(id));
19
20
        int result;
21
        GLCall(glGetShaderiv(id, GL COMPILE STATUS, &result));
22
        if (result == GL_FALSE)
23
24
        {
            int length;
25
            GLCall(glGetShaderiv(id, GL INFO LOG LENGTH, &length));
26
            char* message = (char*)_malloca(length * sizeof(char));
27
            GLCall(glGetShaderInfoLog(id, length, &length, message));
28
            std::cout << "Failed to compile " << (type == GL_VERTEX_SHADER ? "vertex" :</pre>
29
             → "fragment") << " shader: " << message << std::endl;</pre>
            GLCall(glDeleteShader(id));
30
             return 0;
31
        }
32
33
        return id;
34
    }
35
36
    GLuint CreateShader()
37
38
        std::string vertexShader =
39
40
             "#version 330 core\n"
             "\n"
41
             "layout(location = 0) in vec2 position;\n"
42
             "layout(location = 1) in vec2 texCoord;\n"
43
            "uniform mat4 u_MVP;\n"
44
             "\n"
45
             "out vec2 v TexCoord;\n"
46
            "void main()\n"
47
            "{\n"
48
                gl Position = u_MVP * vec4(position, 0.0, 1.0); \n"
49
                v TexCoord = texCoord;\n"
50
            "}\n";
51
```

```
52
        std::string fragmentShader =
53
             "#version 330 core\n"
54
             "\n"
55
             "out vec3 FragColor;\n"
56
             "in vec2 v_TexCoord;\n"
57
             "uniform sampler2D u_imgTex;\n"
58
             "uniform float u lutMin;\n"
59
             "uniform float u lutMax;\n"
             "void main()\n"
61
             "{\n"
62
                float colorVal = texture(u_imgTex,v_TexCoord).r;\n"
63
                 colorVal *= 600;\n"
                colorVal = (65535*colorVal - u_lutMin) / (u_lutMax - u_lutMin);\n"
65
                 colorVal = colorVal > 1 ? 1 : colorVal < 0 ? 0 : colorVal;\n"</pre>
                 FragColor = vec3(colorVal):\n"
67
             "}\n";
69
        GLuint program = glCreateProgram();
70
        GLuint vs = CompileShader(GL_VERTEX_SHADER, vertexShader);
71
        GLuint fs = CompileShader(GL FRAGMENT SHADER, fragmentShader);
72
73
        GLCall(glAttachShader(program, vs));
74
        GLCall(glAttachShader(program, fs));
75
        GLCall(glLinkProgram(program));
76
        GLCall(glValidateProgram(program));
77
78
        GLCall(glDeleteShader(vs));
79
        GLCall(glDeleteShader(fs));
80
81
        return program;
82
    }
83
84
    void applyMVP(float width, float height)
85
    {
86
        glm::mat4 mvp = glm::ortho(-width / 2, width / 2, height / 2, -height / 2); // Create
         → orthogonal Projection matrix
        glm::mat4 model = glm::mat4(1.0);
88
        model = glm::scale(model, glm::vec3(liveCapScale * liveCapWinPxPerImPx, liveCapScale *
89

→ liveCapWinPxPerImPx, 1.0f));
        model = glm::translate(model, glm::vec3(liveCapOffsetX, liveCapOffsetY, 0.0f));
90
        mvp = mvp * model;
91
        if (liveCapMVPUniformLoc >= 0)
92
            GLCall(glUniformMatrix4fv(liveCapMVPUniformLoc, 1, GL FALSE, &mvp[0][0]));
93
94
    }
95
    void applyLUT()
96
    {
97
        static float lutMinLast = 0, lutMaxLast = 0;
98
        if ((lutMinLast != liveCapLutMin || lutMaxLast != liveCapLutMax) &&
99

    liveCapLutMinUniformLoc >= 0 && liveCapLutMaxUniformLoc >= 0)
```

```
{
100
             GLCall(glUniform1f(liveCapLutMinUniformLoc, liveCapLutMin));
101
             GLCall(glUniform1f(liveCapLutMaxUniformLoc, liveCapLutMax));
102
             lutMinLast = liveCapLutMin; lutMaxLast = liveCapLutMax;
103
         }
104
     }
105
106
     void handleWindowResize(GLFWwindow* window, int width, int height)
107
108
         double liveCapImgAspRatio = liveCapImgWidth / (float)liveCapImgHeight;
109
         double liveCapWinAspRatio = width / (float)height;
110
         if (liveCapImgAspRatio > liveCapWinAspRatio)
111
             liveCapWinPxPerImPx = width / (float)liveCapImgWidth;
112
         else
113
             liveCapWinPxPerImPx = height / (float)liveCapImgHeight;
114
         liveCapOffsetX = 0; liveCapOffsetY = 0; liveCapScale = 1;
115
         applyMVP((float)width, (float)height);
117
118
         GLCall(glViewport(0, 0, width, height));
119
     }
120
121
     void handleCursorPosition(GLFWwindow* window, double xpos, double ypos)
122
123
         static bool buttonPressed = false;
124
         static double dragX = 0, dragY = 0;
125
126
         int width, height;
127
         glfwGetWindowSize(window, &width, &height);
128
         //ypos = height - ypos;
129
130
         if (!buttonPressed && glfwGetMouseButton(window, GLFW_MOUSE_BUTTON_LEFT) == GLFW_PRESS)
131
         {
132
             dragX = xpos; dragY = ypos;
133
             buttonPressed = true;
134
         }
         else if (buttonPressed && glfwGetMouseButton(window, GLFW_MOUSE_BUTTON_LEFT) ==
136
            GLFW PRESS)
         {
137
138
             liveCapOffsetX += (xpos - dragX) / liveCapScale / liveCapWinPxPerImPx;
             liveCapOffsetY += (ypos - dragY) / liveCapScale / liveCapWinPxPerImPx;
139
             applyMVP((float)width, (float)height);
140
             dragX = xpos; dragY = ypos;
141
142
         }
         else if (glfwGetMouseButton(window, GLFW_MOUSE_BUTTON_LEFT) == GLFW_RELEASE)
143
144
         {
             dragX = 0; dragY = 0;
145
             buttonPressed = false;
146
147
         }
     }
148
149
```

```
void handleMouseScroll(GLFWwindow* window, double xoffset, double yoffset)
150
     {
151
         double xpos, ypos;
152
         glfwGetCursorPos(window, &xpos, &ypos);
153
154
         int width, height;
155
         glfwGetWindowSize(window, &width, &height);
156
         //ypos = height - ypos;
157
         qlm::mat4 projection = glm::ortho(-(float)width / 2, (float)width / 2, -(float)height /
159
         → 2, (float)height / 2); // Create orthogonal Projection matrix
         glm::vec3 imgPx = glm::unProject(glm::vec3(xpos, ypos, 0.0f), glm::mat4(1.0),
160
         → projection, glm::vec4(0, 0, width, height));
161
         liveCapOffsetX += -imgPx.x / liveCapScale / liveCapWinPxPerImPx;
162
         liveCapOffsetY += -imgPx.y / liveCapScale / liveCapWinPxPerImPx;
163
         liveCapScale += 0.1 * liveCapScale * yoffset;
         liveCapScale = liveCapScale < 0.1 ? 0.1 : liveCapScale;</pre>
165
166
         liveCapScale = liveCapScale > 100 ? 100 : liveCapScale;
         liveCapOffsetX += imgPx.x / liveCapScale / liveCapWinPxPerImPx;
167
         liveCapOffsetY += imgPx.y / liveCapScale / liveCapWinPxPerImPx;
168
169
         applyMVP((float)width, (float)height);
170
     }
171
172
     void handleWindowClose(GLFWwindow* window)
173
174
         glfwSetWindowShouldClose(window, GLFW_FALSE);
175
     }
176
177
     void handleKeyPress(GLFWwindow* window, int key, int scancode, int action, int mods)
178
179
         if (key == GLFW_KEY_SPACE)
180
181
         {
             int width, height;
182
             glfwGetWindowSize(window, &width, &height);
             liveCapOffsetX = 0; liveCapOffsetY = 0; liveCapScale = 1;
184
             applyMVP((float)width, (float)height);
185
             GLCall(glViewport(0, 0, width, height));
186
187
         }
188
     }
189
190
     void liveCapShow(std::ostream& out, HDCAM hdcam)
191
192
193
         DCAMERR camErr;
194
         // prepare frame param
195
         DCAMBUF_FRAME bufframe;
196
         memset(&bufframe, 0, sizeof(bufframe));
197
         bufframe.size = sizeof(bufframe);
198
```

```
bufframe.iFrame = 0;
199
200
         GLFWwindow* window;
201
202
         /* Initialize the library */
203
         if (!glfwInit())
204
         {
205
              out << "GLFW initialization failed." << std::endl << "otSoft> ";
206
              return;
207
         }
208
209
         glfwWindowHint(GLFW CONTEXT VERSION MAJOR, 3);
210
         glfwWindowHint(GLFW_CONTEXT_VERSION_MINOR, 3);
211
         glfwWindowHint(GLFW_OPENGL_PROFILE, GLFW_OPENGL_CORE_PROFILE);
212
213
         // Get Monitor information to set correct window size
214
         GLFWmonitor* primaryMonitor = glfwGetPrimaryMonitor();
         const GLFWvidmode* mode = glfwGetVideoMode(primaryMonitor);
216
217
         int liveCapWindowWidth = (int)(mode->width / 1.5);
         int liveCapWindowHeight = (int)(mode->height / 1.5);
218
         /* Create a windowed mode window and its OpenGL context */
220
         window = glfwCreateWindow(liveCapWindowWidth, liveCapWindowHeight, "liveCam", NULL,
221
         \hookrightarrow NULL);
         if (!window)
222
         {
223
             out << "GLFW window creation failed." << std::endl;</pre>
224
             glfwTerminate();
225
              return;
226
227
         }
228
         // Set necessary callbacks
229
         glfwSetWindowSizeCallback(window, handleWindowResize);
230
         glfwSetCursorPosCallback(window, handleCursorPosition);
231
         glfwSetScrollCallback(window, handleMouseScroll);
232
         {\tt glfwSetWindowCloseCallback(window,\ handleWindowClose);}
         glfwSetKeyCallback(window, handleKeyPress);
234
235
         /* Make the window's context current */
236
237
         glfwMakeContextCurrent(window);
         glfwSwapInterval(1);
238
239
240
         GLenum err = glewInit();
241
         if (GLEW_OK != err)
242
243
             out << "GLEW initialization failed." << std::endl;</pre>
244
              out << "Error: " << glewGetErrorString(err) << std::endl;</pre>
245
             glfwTerminate();
246
              return:
247
248
         }
```

```
// Dark blue background
         glClearColor(0.251f, 0.259f, 0.345f, 1.0f);
251
252
         float vertices[16] = {
253
            -(liveCapImgWidth / 2.0f), -(liveCapImgHeight / 2.0f), 0.0f, 0.0f,
254
             (liveCapImgWidth / 2.0f), -(liveCapImgHeight / 2.0f), 1.0f, 0.0f,
255
             (liveCapImgWidth / 2.0f), (liveCapImgHeight / 2.0f), 1.0f, 1.0f,
256
            -(liveCapImgWidth / 2.0f), (liveCapImgHeight / 2.0f), 0.0f, 1.0f
         };
258
259
         GLuint indices[16] = {
260
            0, 1, 2,
261
            2, 3, 0
262
         };
263
264
         GLuint vao;
         GLCall(glGenVertexArrays(1, &vao));
266
267
         GLCall(glBindVertexArray(vao));
268
         GLuint vbo;
         GLCall(glGenBuffers(1, &vbo));
270
         GLCall(glBindBuffer(GL ARRAY BUFFER, vbo));
271
         GLCall(glBufferData(GL_ARRAY_BUFFER, sizeof(float) * 16, vertices, GL_STATIC_DRAW));
272
273
         GLCall(glEnableVertexAttribArray(0));
274
         GLCall(qlVertexAttribPointer(0, 2, GL FLOAT, GL FALSE, 4 * sizeof(float), 0));
275
         GLCall(glEnableVertexAttribArray(1));
276
         GLCall(glVertexAttribPointer(1, 2, GL_FLOAT, GL_FALSE, 4 * sizeof(float), (void*)(2 *
277

    sizeof(float))));
278
         GLuint ibo;
279
         GLCall(glGenBuffers(1, &ibo));
280
         GLCall(glBindBuffer(GL_ELEMENT_ARRAY_BUFFER, ibo));
281
         GLCall(glBufferData(GL_ELEMENT_ARRAY_BUFFER, sizeof(GLuint) * 6, indices,
282

   GL STATIC DRAW));
283
         GLuint shader = CreateShader();
284
         GLCall(glUseProgram(shader));
285
286
         /* OpenGL texture binding of the image from the camera */
287
         GLuint imgTexID;
288
         GLCall(glGenTextures(1, &imgTexID)); /* Texture name generation */
289
         GLCall(glActiveTexture(GL TEXTURE0)); // Activate texture unit 0 to store the image
290
         GLCall(glBindTexture(GL_TEXTURE_2D, imgTexID)); /* Binding of texture name */
291
292
         GLCall(qlTexParameteri(GL TEXTURE 2D, GL TEXTURE MAG FILTER, GL NEAREST)); /* We will
         \hookrightarrow use linear interpolation for magnification filter */
         GLCall(glTexParameteri(GL_TEXTURE_2D, GL_TEXTURE_MIN_FILTER, GL_NEAREST)); /* We will
293
         → use linear interpolation for minifying filter */
         GLCall(qlTexParameteri(GL TEXTURE 2D, GL TEXTURE WRAP S, GL CLAMP TO EDGE));
294
         GLCall(glTexParameteri(GL_TEXTURE_2D, GL_TEXTURE_WRAP_T, GL_CLAMP_TO_EDGE));
295
```

249

```
GLCall(glTexImage2D(GL_TEXTURE_2D, 0, GL_R16, liveCapImgWidth, liveCapImgHeight, 0,
296

    GL_RED, GL_UNSIGNED_SHORT, bufframe.buf));

297
         // Get uniform location to update MVP matrix
298
         liveCapMVPUniformLoc = glGetUniformLocation(shader, "u MVP");
299
300
         // Get uniform location to update lutMin and lutMax
301
         liveCapLutMinUniformLoc = glGetUniformLocation(shader, "u lutMin");
302
         liveCapLutMaxUniformLoc = glGetUniformLocation(shader, "u lutMax");
303
         GLCall(glUniform1f(liveCapLutMinUniformLoc, liveCapLutMin));
304
         GLCall(glUniform1f(liveCapLutMaxUniformLoc, liveCapLutMax));
305
306
         // Call window resize function to correctly initialize MVP
307
         handleWindowResize(window, liveCapWindowWidth, liveCapWindowHeight);
308
309
         // State that the live feed is started
310
         liveFeedStopped = false;
312
         /* Loop until the user closes the window */
313
         while (!liveFeedStopped)
314
315
             /* Render here */
316
             GLCall(glClear(GL COLOR BUFFER BIT));
317
318
             // Update the texture
319
             // access image
320
             camErr = dcambuf lockframe(hdcam, &bufframe);
321
             if (!failed(camErr) && bufframe.type == DCAM_PIXELTYPE_MON016)
322
                 GLCall(glTexSubImage2D(GL TEXTURE 2D, 0, 0, 0, liveCapImgWidth,
323

→ liveCapImgHeight, GL_RED, GL_UNSIGNED_SHORT, bufframe.buf));
             else
324
                 out << std::endl << "Error locking the camera frame." << std::endl;
326
             // Update LUT
327
             applyLUT();
328
             // Draw the triangles
330
             GLCall(glDrawElements(GL TRIANGLES, 6, GL UNSIGNED INT, nullptr));
331
332
333
             /* Swap front and back buffers */
             glfwSwapBuffers(window);
334
335
             /* Poll for and process events */
336
             glfwPollEvents();
337
338
         }
339
         // Cleanup VBO
340
         GLCall(glDeleteTextures(1, &imgTexID));
341
         GLCall(glDeleteBuffers(1, &vbo));
342
         GLCall(glDeleteBuffers(1, &ibo));
343
344
         GLCall(glDeleteVertexArrays(1, &vao));
```

```
GLCall(glDeleteProgram(shader));
345
         glfwTerminate();
347
         return;
348
     }
349
350
     void setCamCapImgSize(double width, double height)
351
352
         liveCapImgWidth = (GLsizei)width;
353
         liveCapImgHeight = (GLsizei)height;
354
355
     }
356
     void setCamCapLUT(int min, int max)
357
     {
358
         liveCapLutMin = (GLfloat)min;
359
         liveCapLutMax = (GLfloat)max;
360
361
     }
362
     void startCamCap(std::ostream& out, HDCAM hdcam)
363
364
         // State that live capture has started
365
         liveCapOn = true;
366
367
         // Variable to hold camera errors
368
         DCAMERR err;
369
370
         // open wait handle
371
         DCAMWAIT_OPEN
                                waitopen;
372
         memset(\&waitopen, 0, sizeof(waitopen));
373
         waitopen.size = sizeof(waitopen);
374
         waitopen.hdcam = hdcam;
375
         err = dcamwait open(&waitopen);
377
378
         if (failed(err))
         {
379
             out << "Could not create DCAMWAIT_OPEN object." << std::endl;</pre>
              return;
381
         }
382
         else
383
384
         {
             HDCAMWAIT hwait = waitopen.hwait;
385
386
             // allocate buffer
387
             int32 number of buffer = 1;
388
             err = dcambuf_alloc(hdcam, number_of_buffer);
389
390
             if (failed(err))
391
                  out << "Could not allocate frame buffer in the DCAM module." << std::endl;
392
                  return;
393
             }
394
             else
395
```

```
{
396
                  // start capture
                  err = dcamcap_start(hdcam, DCAMCAP_START_SEQUENCE);
398
                  if (failed(err))
399
400
                       out << "Could not start camera capture." << std::endl;</pre>
401
                       return;
402
                  }
403
                  else
404
                  {
405
                      // set wait param
406
                      DCAMWAIT_START waitstart;
407
                      memset(&waitstart, 0, sizeof(waitstart));
408
                      waitstart.size = sizeof(waitstart);
409
                      waitstart.eventmask = DCAMWAIT_CAPEVENT_FRAMEREADY;
410
                      waitstart.timeout = 11000;
411
                      // wait image
413
                      err = dcamwait_start(hwait, &waitstart);
414
                      if (failed(err))
415
                           out << "Could not detect frame ready event." << std::endl;</pre>
417
                           return;
418
                      }
419
420
                      // Start the live feed
421
                      liveCapShow(out, hdcam);
422
423
                      // stop capture
424
                      dcamcap_stop(hdcam);
425
                  }
426
427
                  // release buffer
428
429
                  dcambuf_release(hdcam);
             }
430
             // close wait handle
432
              dcamwait close(hwait);
433
         }
434
435
         // State that the liveCap is stopped
436
         liveCapOn = false;
437
     }
438
439
     void stopCamCap(std::ostream &out)
440
441
     {
         if (liveCapOn)
442
         {
443
              out << "Stopping the live feed." << std::endl;</pre>
444
             liveFeedStopped = true;
445
446
              setCamCapLUT();
```

```
447 }
448
449 while (liveCapOn);
450 }
```

### H.2.15 liveCap.h

```
#pragma once
1
    #define NOMINMAX
3
    #include "dcamMisc/console4.h"
4
5
    #define GLEW STATIC
6
    #include <GL/glew.h>
    #include <GLFW/glfw3.h>
9
    #include "glm/glm.hpp"
10
    #include "glm/gtc/matrix_transform.hpp"
11
12
    #include <iostream>
13
    #include <vector>
14
    // Macro to print opengl errors
16
    #define GLCall(x)
17
    do {
18
        while(glGetError());
19
20
        while(GLenum err = glGetError())
21
22
            std::cout << "[OpenGL Error] "</pre>
23
                         << err << ": "
24
                         << #x << " in "
25
                         << __FILE__ << " at "
26
                         << __LINE__
27
                         << std::endl;
28
            __debugbreak();
29
30
   ١
    } while (0)
31
32
    // Global variable to indicate live capture state
33
    extern std::atomic<bool> liveCapOn;
34
35
36
    void setCamCapImgSize(double width, double height);
    void setCamCapLUT(int min = 0, int max = 65535);
37
    void startCamCap(std::ostream &out, HDCAM hdcam);
38
    void stopCamCap(std::ostream &out);
39
```

## H.2.16 shtrCtrl.cpp

```
#include "shtrCtrl.h"
    serialib shtrPort; // Serial connection to the uC that controls the shutter and camera
 3

→ trigger

    // Blocking function, if timeput ms = 0, that returns with a character when available.
    // Otherwise the number would be negative if no character is received within timeout.
    int getcShtr(unsigned int timeout_ms)
    {
        char c;
 9
        int retCode = 0;
10
        if (timeout_ms)
11
             retCode = shtrPort.readChar(&c,timeout_ms);
12
        else
13
            while ((retCode = shtrPort.readChar(&c, 0)) < 1);</pre>
14
        if (retCode == 1)
15
16
             return c;
        else
17
             return -2;
18
    }
19
20
    // Blocking function, if timeput ms = 0, that reads a string when available.
21
    // The timeout_ms value is used for each character.
22
    bool getsShtr(char *s, unsigned int timeout_ms)
23
    {
24
25
        uint8_t count = 0;
        int c;
26
27
        while (count < MAX_CHARS)</pre>
28
29
            c = getcShtr(timeout_ms);
30
            if (c == 8 || c == 127)
31
32
                 if (count == 0)
33
                     continue;
34
                 count - -;
35
            }
36
            else if (c == 10 || c == 13) // Putty generally sends '\r' on Enter key
37
                 s[count] = '\0'; // Add Null character
39
                 c = getcShtr(1000);
  // Flush out the expected '\n' character if
40
                 \hookrightarrow received within 1ms
                 break;
41
42
            else if (c > 31 && c < 127)
43
                 s[count++] = c;
44
             else if (!timeout_ms)
45
                 continue;
46
47
             else
```

```
return 0;
48
49
        return 1;
50
    }
51
52
    void flshShtrCommBuffer()
53
54
        shtrPort.flushReceiver();
55
    }
56
57
    bool initShtr()
58
59
        // Look for the ports
60
        std::string devName;
61
        bool correctToken = false;
62
        char token[MAX_CHARS];
63
        for (int i = 1; i < 99; i++)
65
66
             // Prepare the port name (Windows)
67
             devName = "\\\.\\COM" + std::to_string(i);
68
69
             // try to connect to the device
70
             if (shtrPort.openDevice(devName.c_str(), 115200) == 1)
72
                 // Set DTR and unset RTS
73
                 shtrPort.DTR(true);
74
                 shtrPort.RTS(false);
76
                 // Read string from the port
77
                 shtrPort.flushReceiver();
78
                 for (size_t j = 0; j < 5; ++j)
                 {
80
                     int nBytes = getsShtr(token,200);
                     correctToken = !strcmp(token, recToken);
82
                     if (correctToken)
83
                          break;
84
                 }
85
86
                 if (correctToken)
87
88
                     shtrPort.writeString(sndToken);
89
                     break;
90
                 }
91
                 else
92
93
                     shtrPort.closeDevice();
             }
94
95
        if (correctToken)
96
             std::cout << "Shutter controller detected on " << devName << "." << std::endl;</pre>
97
        else
```

```
std::cout << "No shutter controller was detected." << std::endl;</pre>
99
100
         return correctToken;
101
     }
102
103
     void sndShtrCmd(std::ostream &out, std::string& s)
104
105
         // Flush the input buffer
106
         shtrPort.flushReceiver();
107
108
         // Append CR/LF combo to the string
109
         s = s + "\r\n";
110
111
         // Send the command
112
         putsShtr(s.c_str());
113
114
         // Receive the response
         char response[MAX_CHARS];
116
         while (getsShtr(response))
117
         {
118
              if (!strcmp(response,endRspToken))
119
                  break;
120
              else
121
                  out << std::string(response) << std::endl;</pre>
122
         }
123
     }
124
125
     std::string sndCmdRecRspShtr(std::string& s)
126
127
         // Flush the input buffer
128
         shtrPort.flushReceiver();
129
         // Append CR/LF combo to the string
131
132
         s = s + "\r\n";
133
         // Send the command
         putsShtr(s.c_str());
135
136
         // Receive the response and append it to a string
137
138
         char response[MAX CHARS];
         std::string rsp;
139
         while (getsShtr(response))
140
141
              if (!strcmp(response, endRspToken))
142
                  break;
143
144
              else
                  rsp += response;
145
         }
146
147
         return rsp;
148
     }
149
```

### H.2.17 shtrCtrl.h

```
#pragma once
   #define NOMINMAX
  #include <iostream>
   #include <string>
   #include "serialib/lib/serialib.h"
   #define MAX_CHARS 80
   // Define tokens used for communication
10
   #define sndToken "116119101101122101114083111102116119097114101\r\n" // Each 3 digits

→ converted to chars results in 'tweezerSoftware'

   #define recToken "115104117116116101114067111110116114111108108101114" // Each 3 digits

→ converted to chars results in 'shutterController'

   #define endRspToken "101110100067109100082115112"
   // Each 3 digits

→ converted to chars results in 'endCmdRsp'

14
   extern serialib shtrPort; // Serial connection to the uC that controls the shutter and
15
    16
    #define putcShtr(c) shtrPort.writeChar(c) // Blocking function that writes a character
17
    #define putsShtr(s) shtrPort.writeString(s) // Blocking function that writes a string
18
19
   void flshShtrCommBuffer();
20
    int getcShtr(unsigned int timeout_ms = 0);
21
   bool getsShtr(char *s, unsigned int timeout_ms = 0);
22
23
24
   bool initShtr();
   void sndShtrCmd(std::ostream &out, std::string& s);
25
   std::string sndCmdRecRspShtr(std::string &s);
   void deinitShtr(std::ostream &out);
27
```

# APPENDIX I

Code Listings for the Image/Video Handling

### I.1 Directory tree

## I.2 Code Listings

#### I.2.1 bin2avi.m

```
function bin2avi(binFilePath, slowness, videoFilePath)
    % This function assumes that the camera is capturing in the center of the
    % sensor. If this is not the case then the calculation of the exposure time
    % will be wrong.
    arguments
        binFilePath {mustBeFile}
 6
        slowness (1,1) double = 1
 7
        videoFilePath (1,1) string = binFilePath
    end
 9
10
    % Read jp2 files
11
12
    imgs = readImgsBin(binFilePath);
13
    % Adjust the contrast
14
    imgs = imadjustn(imgs,stretchlim(imgs(:),0.01));
15
16
    % Create an output file
17
    [fPath,fName,~] = fileparts(videoFilePath);
18
    vOut = VideoWriter(fullfile(fPath,fName), "Grayscale AVI");
19
    vOut.FrameRate = 60;
20
    open(v0ut);
21
22
    expTime = size(imgs,1)/2*9.74e-6; % Taken from camera datasheet
23
    for i = 1:max(ceil(1/expTime/60/slowness),1):size(imgs,3)
24
        writeVideo(vOut,im2uint8(imgs(:,:,i)));
25
    end
26
27
    close(v0ut);
28
29
    end
30
```

### I.2.2 bin2jp2.m

```
function bin2jp2(filePath)
    arguments
        filePath {mustBeFile}
3
4
    % Load the images from binary file
6
    imgs = readImgsBin(filePath);
    if ~isempty(imgs)
        % Create a folder with the name of the file
10
        [fPath,fName,~] = fileparts(filePath);
11
        folderPath = fullfile(fPath,fName);
12
        if ~exist(folderPath, "dir")
13
            mkdir(folderPath);
14
15
        end
        if ~isempty(dir(fullfile(fPath,fName,fName + "*.jp2")))
16
            delete(fullfile(fPath,fName,fName + "*.jp2"));
        end
18
19
        % Determine how many output files will be generated since the raw data
20
        % for compression cannot be larger than 2^32 - 1 bytes
21
        nPixV = size(imgs,1); nPixH = size(imgs,2); nImgs = size(imgs,3);
22
        pixPerImg = nPixV*nPixH;
23
        bytesPerImg = pixPerImg*2;
24
        nImgsPer4Gb = floor((2^32-1)/bytesPerImg);
25
        nOutputFiles = ceil(nImgs/nImgsPer4Gb);
26
        nImgsPerFile = ceil(nImgs/nOutputFiles);
27
        imgsInEachFile = min((1:nOutputFiles)*nImgsPerFile,nImgs) -
        splitVals = [0 cumsum(imgsInEachFile)];
30
        % Save each chunk of images
32
        for i = 1:numel(splitVals)-1
33
            img = reshape(imgs(:,:,splitVals(i)+1:splitVals(i+1)),pixPerImg,imgsInEachFile(i));
34
35
            % This section is required for now because MATLAB crashes while
36
            % reading jp2 images of certain size, e.g. 16384x100000. Following code
37
            % will prove this. If MATLAB doesn't crash while running following code
            % then the bug is probably resolved. In that case delete this section
39
            % since it won't be necessary to do this anymore.
40
                  img = zeros(100000,16384,"uint16");
41
                  imwrite(img, "test.jp2", "Mode", "lossless");
42
                  imgComp = imread("test.jp2");
43
            % Find appropriate height and width for each cell element
45
46
            nPix = numel(img);
            % Can be done in two ways
47
            % 1. Try to make number of rows a multiple of pixPerImg and fit
```

```
% multiple images in one column, gives better compression but may
49
            % cause crash
            nRows = ceil(sqrt(nPix)/pixPerImg)*pixPerImg;
51
            while mod(nPix,nRows)
52
                 nRows = nRows + pixPerImg;
53
            end
54
            nCols = nPix/nRows;
55
            % 2. Aim for number of rows and number of columns being as close as
56
            % possible, will avoid crash better but provides poor compression
            nRows = ceil(sqrt(nPix));
58
            while mod(nPix,nRows)
59
                nRows = nRows + 1;
60
            end
            nCols = nPix/nRows;
62
            img = reshape(img, nRows, nCols);
63
64
            % Save the image
            imwrite(img,fullfile(fPath,fName,fName + num2str(i) + ".jp2"),"Mode","lossless",...
66
             \hookrightarrow Define height and width of the original images for future decoding.
                     "Comment",[num2str(nPixV) num2str(nPixH) "First value is height and second
67
                     → value is the width of the original images."]);
        end
68
69
        % Reload the images to check if the files were saved correctly
70
        img = readImgsJp2(folderPath);
71
72
        % Delete bin file if the data read from jp2 files is same as the binary data
73
        if all(imgs == img, "all")
74
            delete(filePath);
75
76
        end
    end
77
    end
```

## I.2.3 bin2mj2.m

```
function bin2mj2(filePath)
    arguments
        filePath {mustBeFile}
3
   end
   % Load the images from binary file
6
    imgs = readImgsBin(filePath);
    if ~isempty(imgs)
9
        % Create a file with mj2 extension
10
        [fPath,fName,~] = fileparts(filePath);
11
        file = fullfile(fPath,fName) + ".mj2";
12
        v = VideoWriter(file, "Motion JPEG 2000");
13
        v.LosslessCompression = true;
14
```

```
15
        % Write frames to the file
16
        imgs = reshape(imgs,size(imgs,1),size(imgs,2),1,size(imgs,3));
17
        open(v);
18
        writeVideo(v,imgs);
19
        close(v);
20
21
        % Load the video frames back
22
        v = VideoReader(file);
23
        imgsComp = read(v);
24
25
        % Delete bin file if the data read from mj2 file is same as the binary data
26
        if all(imgs == imgsComp,"all")
27
             delete(filePath);
28
29
        else
            warning("Data from the compressed file doesn't seem to be the same as the bin
30

    file.\n");

        end
31
32
    end
    end
33
```

## I.2.4 jp2ToAvi.m

```
function jp2ToAvi(jp2FolderPath,slowness,videoFilePath)
    % This function assumes that the camera is capturing in the center of the
    % sensor. If this is not the case then the calculation of the exposure time
    % will be wrong.
4
    arguments
5
        jp2FolderPath {mustBeFolder}
6
        slowness (1,1) double = 1
        videoFilePath (1,1) string = "video"
    end
9
10
    % Read jp2 files
11
    imgs = readImgsJp2(jp2FolderPath);
12
13
    % Adjust the contrast
    imgs = imadjustn(imgs,stretchlim(imgs(:),0));
15
    % Create an output file
17
    [fPath,fName,~] = fileparts(videoFilePath);
18
    vOut = VideoWriter(fullfile(fPath, fName), "Grayscale AVI");
19
20
    v0ut.FrameRate = 60;
    open(vOut);
^{21}
22
    expTime = size(imgs,1)/2*9.74e-6; % Taken from camera datasheet
23
    for i = 1:max(ceil(1/expTime/60/slowness),1):size(imgs,3)
24
        writeVideo(vOut,im2uint8(imgs(:,:,i)));
25
26
    end
```

```
27
28 close(vOut);
29
30 end
```

## I.2.5 mj2ToAvi.m

```
function mj2ToAvi(videoFilePath,expTime,slowness)
    % This function assumes that the camera is capturing in the center of the
    % sensor. If this is not the case then the calculation of the exposure time
    % will be wrong.
4
    arguments
5
        videoFilePath {mustBeFile}
6
        expTime (1,1) double
        slowness (1,1) double = 1
8
9
    end
10
    % Read mj2 file
11
    imgs = readImgsMj2(videoFilePath);
12
13
    % Adjust the contrast
14
    imgs = imadjustn(imgs,stretchlim(imgs(:),0));
15
16
    % Create an output file
17
    [fPath,fName,~] = fileparts(videoFilePath);
18
    vOut = VideoWriter(fullfile(fPath, fName), "Grayscale AVI");
19
    vOut.FrameRate = 60;
20
    open(v0ut);
21
22
    for i = 1:max(ceil(1/expTime/60/slowness),1):size(imgs,3)
23
        % imagesc(imgs(:,:,i));
24
        % colormap gray; axis equal; axis([-inf inf -inf inf])
25
        % writeVideo(vOut,im2uint8(rgb2gray(getframe(gcf).cdata)));
26
        writeVideo(vOut,im2uint8(imgs(:,:,i)));
27
28
    end
29
30
    close(v0ut);
31
    end
```

### I.2.6 readImgsBin.m

```
function imgs = readImgsBin(filePath)

arguments
filePath {mustBeFile}

end
```

```
6
    % Load the binary file containing images
    imgsFile = fopen(filePath, "r");
    if imgsFile > 2
        % Read img size and number of frames
10
        imgWidth = fread(imgsFile,1,"uint32"); % This was 'uint16' previously
11
        imgHeight = fread(imgsFile,1,"uint32"); % This was 'uint16' previously
12
        nImgs = fread(imgsFile,1,"uint32"); % This was 'uint16' previously
13
        % Check if number of frames is correct
        s = dir(filePath);
16
        filesize = s.bytes;
17
        nImgsComp = (filesize - 12)/double(imgWidth*imgHeight*2);
19
        if mod(filesize,1) ~= 0
20
            warning("Invalid image file.");
21
            imgs = [];
        else
23
            try
                 % Make sure we have correct number of images
25
                 if nImgsComp ~= nImgs
26
                     disp("Mismatch between the number of frames stated in the file, "...
27
                            + num2str(nImgs)...
28
                            + ", vs what is available in the file, "...
29
                            + num2str(nImgsComp) + ".");
30
                     nImgs = nImgsComp;
31
                     % nImgs = input("Please enter the correct number of frames: ");
32
                 end
33
34
                 % Allocate memory to store images
35
                 imgs = zeros(imgHeight,imgWidth,nImgs,"uint16");
36
                 % Read images
38
                 for i = 1:nImgs
39
                     imgs(:,:,i) = fread(imgsFile,[imgWidth imgHeight],"uint16")';
40
                 end
41
42
                 % Close the file
43
                 fclose(imgsFile);
44
45
                 warning("Error reading the file.");
46
                 imgs = [];
47
            end
48
        end
49
    end
50
51
52
    end
```

## I.2.7 readImgsJp2.m

```
function imgs = readImgsJp2(folderPath)
    arguments
3
        folderPath {mustBeFolder}
4
5
    end
6
   % Get list of .jp2 files in the directory
    files = dir(fullfile(folderPath, "*.jp2"));
    % Check if there are any jp2 files
10
    if isempty(files)
        error("Folder " + folderPath + " doesn't contain any jp2 files.");
12
    end
13
14
    % Make sure all the files are correct
15
    imInfo = imfinfo(fullfile(files(1).folder,files(1).name));
16
    nPixV = str2double(imInfo.Comments(1));
    nPixH = str2double(imInfo.Comments(2));
    nImgs = zeros(numel(files),2);
19
    if mod(imInfo.Height*imInfo.Width,nPixH*nPixV)
20
        error("File " + fullfile(files(1).folder,files(1).name) + " seems to be currupted.");
21
^{22}
    end
    nImgs(1,1) = 1; nImgs(1,2) = imInfo.Height*imInfo.Width/nPixH/nPixV;
23
    for i = 2:numel(files)
24
        imInfo = imfinfo(fullfile(files(i).folder,files(i).name));
25
26
        if str2double(imInfo.Comments(1)) ~= nPixV || str2double(imInfo.Comments(2)) ~= nPixH
            error("Size of the images isn't consistent.");
27
        elseif
        → mod(imInfo.Height*imInfo.Width,str2double(imInfo.Comments(1))*str2double(imInfo.Comments(2)))
            error("File " + fullfile(files(1).folder,files(1).name) + " seems to be
29

    currupted.");
        end
30
31
        % Count the total number of images
32
        nImgs(i,1) = 1 + nImgs(i-1,2);
33
        nImgs(i,2) = nImgs(i-1,2) + imInfo.Height*imInfo.Width/nPixH/nPixV;
34
35
    end
36
    % Create a matrix to hold the images
37
    imgs = zeros(nPixV, nPixH, nImgs(end, 2), "uint16");
38
39
    % Load all the images
40
    for i = 1:numel(files)
41
        imgs(:,:,nImgs(i,1):nImgs(i,2)) =
42
         reshape(imread(fullfile(files(i).folder,files(i).name)),nPixV,nPixH,[]);
    end
43
44
    end
45
```

# $I.2.8 \quad \text{readImgsMj2.m}$

```
function imgs = readImgsMj2(filePath)
   arguments
        filePath {mustBeFile}
3
   end
4
5
   % Load the video frames
6
7  v = VideoReader(filePath);
   imgs = read(v);
   % Reduce the one extra dimension
10
   imgs = reshape(imgs,size(imgs,1),size(imgs,2),size(imgs,4));
11
12
   end
13
```

# APPENDIX J

 ${\bf Code\ Listings\ for\ Processing\ Experimental\ Data}$ 

## J.1 Directory tree

```
imBinarize/ Stores results of imBinarize approach
kernelFit/ Stores results of kernelFit approach
private/ Acts as a local library of functions
compPSD.m Calculates PSD profile
cropImgs.m Used to extract kernel image
filtPSD.m Filters the PSD profile
findBeadCentroids.m Computes particle centroids from images
fitHydroFaxenPSD.m Fits theoretical PSD to experimental data
brownian0.mj2 Compressed recording of Brownian motion experiment
brownian0.m Main code to process Brownian motion data
traj0.mj2 Compressed recording of TRR experiment
traj0.m Main code to process TRR data
README.md Keeps the information regarding the experiments
```

## J.2 Code Listings

### J.2.1 compPSD.m

```
function [psd,frq] = compPSD(pos,dt)
    arguments
        pos(:,1) double
 3
 4
        dt (1,1) double
    end
 5
 6
    % Compute common values
    Fs = 1/dt;
    L = length(pos);
    frq = 0:Fs/L:Fs/2;
10
11
    % Take the mean position out of the data
12
    pos = pos - mean(pos);
13
14
    % Calculate PSD
15
    dft = fft(pos);
16
    dft = dft(1:L/2+1);
17
    psd = (1/(Fs*L)) * abs(dft).^2;
18
    psd(2:end-1) = 2*psd(2:end-1);
19
    end
20
```

## J.2.2 cropImgs.m

```
function imgs = cropImgs(imgs)
    arguments
        imgs (:,:,:) uint16
3
    end
4
5
    imgsSz = size(imgs(:,:,1));
6
7
    % Show the first image
    imagesc(imgs(:,:,1));
    colormap gray;
10
11
    axis equal;
    axis([-inf inf -inf inf]);
12
13
    % Ask the user to enter the cropping region
14
    cropRect = input("Enter xLow, xHigh, yLow and yHigh values of the crop region in array
15
    → format: ");
16
    close(imgcf);
^{17}
    if isequal(size(cropRect(:)), [4,1])
18
            cropRect(1) = max(cropRect(1),1);
19
            cropRect(2) = min(cropRect(2),imgsSz(2));
20
            cropRect(3) = max(cropRect(3),1);
21
            cropRect(4) = min(cropRect(4),imgsSz(1));
22
            cropRect = round(cropRect);
23
    else
24
        cropRect = [1 imgsSz(2) 1 imgsSz(1)];
25
    end
26
27
    imgs = imgs(cropRect(3):cropRect(4),cropRect(1):cropRect(2),:);
28
    end
29
```

#### J.2.3 filtPSD.m

```
function [psd,frq] = filtPSD(psd,frq,nValsPerBin)
    arguments
        psd(:,1) double
3
4
        frq (:,1) double
        nValsPerBin (1,1) double
5
   end
6
    if length(psd) ~= length(frq)
8
        error("Length of psd and frq array don't match.");
9
   end
10
11
    [frqBins,frqEdges] = discretize(frq,ceil(length(frq)/nValsPerBin));
12
    psd = accumarray(frqBins(:),psd(:))./accumarray(frqBins(:),1);
```

```
frq = (frqEdges(1:end-1)+frqEdges(2:end))'./2;
end
```

### J.2.4 findBeadCentroids.m

```
function [xc,yc] = findBeadCentroids(imgs,method,beadImg,threshold)
    arguments
 3
        imgs (:,:,:) uint16
 4
        method (1,:) char {mustBeMember(method,{'kernelFit','imBinarize'})}
 5
        beadImg (:,:) uint16 = []
 6
        threshold (1,1) double {mustBeInRange(threshold,0,1)} = 0.6
 7
    end
 9
    %% Get some dimensions
10
11
    imgsSz = size(imgs(:,:,1));
    nImgs = size(imgs,3);
12
    % Extract the bead image if not provided
14
    if isempty(beadImg)
15
        % Show the second image, sometimes the first image has issues
16
        if nImgs > 1
^{17}
            img = imgs(:,:,2);
18
        else
19
            img = imgs(:,:,1);
20
        end
21
        imagesc(img);
22
        colormap gray;
23
        axis equal;
24
        axis([-inf inf -inf inf]);
25
26
        % Ask the user to enter the cropping region
27
        cropRect = input("Enter xLow, xHigh, yLow and yHigh values of the bead region in array
28

    format: ");

        close(imgcf);
29
        if isequal(size(cropRect(:)), [4,1])
30
            cropRect(1) = max(cropRect(1),1);
            cropRect(2) = min(cropRect(2),imgsSz(2));
32
            cropRect(3) = max(cropRect(3),1);
33
            cropRect(4) = min(cropRect(4),imgsSz(1));
34
             cropRect = round(cropRect);
35
        else
36
37
             cropRect = [1 imgsSz(2) 1 imgsSz(1)];
        end
38
39
        beadImg = img(cropRect(3):cropRect(4),cropRect(1):cropRect(2));
40
    end
41
42
    % Preallocate arrays
43
```

```
xc = zeros(nImgs,1);
44
    yc = zeros(nImgs,1);
46
    %% Find bead centroids
47
    if isequal(method, "kernelFit")
48
        % Scale the kernel
49
        beadImg = double(beadImg);
50
        beadImg = beadImg - mean(beadImg, "all");
51
        beadSz = size(beadImg);
52
53
        % Compute the cross-correlation value
54
        % taken from: Tracking kinesin-driven movements with nanometre-scale precision
55
        parfor i = 1:nImgs
             img = im2double(imgs(:,:,i));
57
            img = img - mean(img, "all");
59
            C = xcorr2(img,beadImg);
            C = C((1:imgsSz(1)) + (beadSz(1) - 1)/2, (1:imgsSz(2)) + (beadSz(2) - 1)/2);
61
62
            C = C./max(C,[],"all");
63
            % Compute the centroid
64
            CminThr = C - threshold;
65
            CminThr(CminThr < 0) = 0;
66
            CminThrSum = sum(CminThr, "all");
67
             r = 1:imgsSz(1); c = 1:imgsSz(2);
68
            xc(i) = sum(CminThr.*c,"all")/CminThrSum; yc(i) =
69

    sum(CminThr.*r',"all")/CminThrSum;

70
        end
    elseif isequal(method, "imBinarize")
71
        % Find area of the bead in beadImg
72
        beadImg = wiener2(beadImg,[3 3]);
73
        beadImg = imbinarize(beadImg);
        [labeled,~] = bwlabel(beadImg,8);
75
        graindata = regionprops(labeled, 'basic');
76
        beadArea = max([graindata.Area]);
77
        % Use 60% of the beadArea to find the bead in all the images
79
        parfor i = 1:nImgs
80
             img = imgs(:,:,i);
81
82
             img = wiener2(img,[3 3]);
            bw = imbinarize(img);
83
             [labeled,~] = bwlabel(bw,4);
84
            graindata = regionprops(labeled, 'basic');
85
            graindata = graindata([graindata.Area] > threshold*beadArea);
86
            maxArea = min([graindata.Area]);
87
            biggestGrain = [graindata.Area] == maxArea;
88
            xc(i) = graindata(biggestGrain).Centroid(1);
89
            yc(i) = graindata(biggestGrain).Centroid(2);
90
91
        end
```

```
92 end93 end
```

### J.2.5 fitHydroFaxenPSD.m

```
function [D,k,convFact,fHndl] =
    fitHydroFaxenPSD(psd,frq,beadDia,wallDistance,beadDensity,dynViscosity,frqMin,frqMax)
    arguments
2
        psd (:,1) double
3
        frq (:,1) double
4
        beadDia (1,1) double
5
        wallDistance (1,1) double
6
        beadDensity (1,1) double
        dynViscosity (1,1) double
        frqMin (1,1) double
9
10
        frqMax (1,1) double
    end
11
12
    %% All parameters values are assumed to be defined with unit system kgram, meter, second.
13
    temp = 293.15; % System Temperature in kelvin
14
    k B = 1.38064852*1e-23; % Boltzmann Constant in meter^2*kgram/second^2/kelvin
    rho b = beadDensity; % Bead density in kg/m^3
16
    rho m = 1000; % Density of water in kg/m^3
17
    r = beadDia/2; % Bead radius in meter
18
    Vol = 4/3*pi*r*r*r; % Bead volume in m^3
19
   m = rho_b*Vol; % Bead mass in kg
20
    m star = m + 2*pi*r*r*r*rho m; % Hydrodynamical mass
21
    mu_m = dynViscosity; % Dynamic viscosity of fluid medium at 20d C in Ns/m^2
22
    nu m = mu m/rho m; % Kinematic viscosity of water
23
    beta_v = 6*pi*mu_m*r; % Translational drag coefficient Ns/m
24
25
    fv = nu_m/pi/r/r; % Frequency where delta = R
26
    fm = beta_v/2/pi/m_star; % Frequency of inertial relaxation
27
    rlRatio = r/wallDistance; % Used for Faxen's drag coefficient correction
28
29
    % Try to compute k and D using optimization %
    % Limit the frequency range
31
    idx = frq >= frqMin \& frq <= frqMax; frq = log10(frq(idx)); psd = log10(psd(idx)); % Use
    → log scaled values
33
    % Set strict convergence criteria
34
35
    opt = optimoptions('lsqcurvefit','Algorithm','levenberg-marquardt','Display','none',...
                        'OptimalityTolerance', 1e-100, 'FunctionTolerance', 1e-100, ...
36
                        'StepTolerance', 1e-300, 'MaxFunctionEvaluations', 10000);
37
38
    % Fit the function
39
40
   x0 = [1e-4 \ 100]; % [D \ fc]
    x = lsqcurvefit(@(x,f) psdFunc(x,f,fv,fm,rlRatio),x0,frq,psd,[@ frqMin],[inf frqMax],opt);
```

```
42
    % Display the result
43
    D = x(1); fc = x(2);
44
   k = fc*2*pi*beta v;
45
    convFact = sqrt(k_B*temp/beta_v/D);
46
    fHndl = @(f) D/2/pi^2./(fc^2 + (1 - 4*pi*fc*eps)*f.^2 + 4*pi^2*eps^2*f.^4);
47
    disp("Conversion factor is " + num2str(sqrt(k_B*temp/beta_v/D)*le6) + "um/px and stiffness
48
    \rightarrow is " + num2str(k*1e6) + "pN/um.");
49
    end
50
51
    function psd = psdFunc(x,f,fv,fm,rlRatio)
52
    D = x(1); fc = x(2);
   f = 10.^f; % Because the input is log scaled
54
    reGmGm0 = 1 + sqrt(f./fv) - 3*rlRatio/16 +
    → 3*rlRatio/4*exp(-2/rlRatio*sqrt(f./fv)).*cos(2/rlRatio*sqrt(f./fv));
    imGmGm0 = - sqrt(f./fv) +
    → 3*rlRatio/4*exp(-2/rlRatio*sqrt(f./fv)).*sin(2/rlRatio*sqrt(f./fv));
    psd = log10(D.*reGmGm0 / (2*pi^2) ./ ( (fc + f.*imGmGm0 - (f.^2)./fm).^2 + (f.*reGmGm0).^2
    \hookrightarrow ));
    end
```

### J.2.6 brownian0.m

```
clear; close all; clc;
1
   %% Define necessary variables
3
   fileName = "brownian0";
4
    imProcessingMethod = "kernelFit";
    %% Load the images
    imgs = readImgsMj2(fileName + ".mj2");
    intensityLims = stretchlim(imgs(:),0);
9
10
    % Adjust images for contrast
11
    if isequal(imProcessingMethod, "kernelFit")
12
13
        imgs = imadjustn(imgs,intensityLims);
    elseif isequal(imProcessingMethod, "imBinarize")
14
        imgs = imadjustn(imgs,intensityLims);
15
    end
16
17
18
    %% Crop the images
19
    imgs = cropImgs(imgs);
20
    % Extract image centers
21
    if isequal(imProcessingMethod, "kernelFit")
22
        [xc,yc] = findBeadCentroids(imgs,imProcessingMethod); % kernelFit: [17 43 11 37]
23
    elseif isequal(imProcessingMethod,"imBinarize")
24
25
        [xc,yc] = findBeadCentroids(imgs,imProcessingMethod,[],0.4);
```

```
end
26
    % Plot the image with the detected centroid
28
    im = imagesc(imgs(:,:,1));
    colormap gray; axis equal; axis([-inf inf -inf inf])
30
31
    s = scatter(xc(1,:),yc(1,:),"r*");
32
    hold off;
33
    for i = 1:size(imgs,3)
34
        im.CData = imgs(:,:,i);
35
        s.XData = xc(i,:); s.YData = yc(i,:);
36
        drawnow limitrate;
37
    end
38
    close(gcf);
39
40
    % Create time array and store the information
41
    dt = 0.00025; % Taken from README.md
42
    time = (0:size(imgs,3)-1)*dt;
43
    mkdir(imProcessingMethod);
    save(imProcessingMethod + "\" + fileName + ".mat", "time", "xc", "yc");
45
46
    % Compute power spectrum %
47
    xc = xc(1:125000); yc = yc(1:125000); time = time(1:125000);
48
    % Make the number of datapoints even
49
    if rem(length(time),2) \sim= 0
50
        xc(end) = []; yc(end) = []; time(end) = [];
51
    end
52
53
    [PSD X, FRQ X] = compPSD(xc, time(2));
54
    [PSD_Y,FRQ_Y] = compPSD(yc,time(2));
55
56
    %% Post-Process the PSD Data %%
57
    % Cut the data to start from 1Hz frequency
58
    PSD_X = PSD_X(FRQ_X \ge 1); FRQ_X = FRQ_X(FRQ_X \ge 1);
    PSD Y = PSD Y(FRQ Y>=1); FRQ Y = FRQ Y(FRQ Y>=1);
60
    % Put the data into bins and average out
62
    [psdX,frqX] = filtPSD(PSD X,FRQ X,30);
63
    [psdY,frqY] = filtPSD(PSD Y,FRQ Y,30);
64
65
    %% Plot the power spectrum %%
66
    % For x direction
67
   figure();
68
    loglog(frqX,psdX);
69
    grid on
70
71
    title("PSD for x-direction")
    % For y direction
73
   figure();
74
   loglog(frqY,psdY);
75
76
    grid on
```

```
title("PSD for y-direction")
77
   %% Fit PSD data
79
   beadDia = 0.5e-6;
   beadDensity = 1060;
81
   waterMu = 1.0016e-3;
82
   [DX,kX,convFactX,fHndlX] =
   fitHydroFaxenPSD(psdX,frqX,beadDia,10e-6,beadDensity,waterMu,50,1000);
   [DY,kY,convFactY,fHndlY] =
   fitHydroFaxenPSD(psdY,frqY,beadDia,10e-6,beadDensity,waterMu,50,1000);
   save(imProcessingMethod + "\" + fileName +
   86
   % Plot the curvefit and save the figures
87
   figure(1);
   hold on:
89
   loglog(frqX,fHndlX(frqX),'r',"LineWidth",1);
91
   figure(2);
93
   hold on;
94
   loglog(frqY,fHndlY(frqY),'r',"LineWidth",1);
95
   hold off
```

### J.2.7 traj0.m

```
clear; close all; clc;
   %% Define necessary variables
3
    fileName = "traj0";
    imProcessingMethod = "kernelFit";
    %% Load the images
    imgs = readImgsMj2(fileName + ".mj2");
    intensityLims = stretchlim(imgs(:),0);
10
    % Adjust images for contrast
11
    if isequal(imProcessingMethod, "kernelFit")
12
        imgs = imadjustn(imgs,intensityLims);
13
    elseif isequal(imProcessingMethod, "imBinarize")
14
        imgs = imadjustn(imgs,intensityLims);
15
16
    end
17
    %% Crop the images
18
    imgs = cropImgs(imgs);
19
20
    % Extract image centers
21
    if isequal(imProcessingMethod, "kernelFit")
22
23
        [xc,yc] = findBeadCentroids(imgs,imProcessingMethod); % kernelFit: [23 43 14 34]
```

```
elseif isequal(imProcessingMethod,"imBinarize")
24
        [xc,yc] = findBeadCentroids(imgs,imProcessingMethod,[],0.4);
25
26
    % Plot the image with the detected centroid
   im = imagesc(imgs(:,:,1));
28
    colormap gray; axis equal; axis([-inf inf -inf inf])
29
   hold on;
30
   s = scatter(xc(1,:),yc(1,:),"r*");
31
    hold off;
32
    for i = 1:size(imqs,3)
33
        im.CData = imgs(:,:,i);
34
        s.XData = xc(i,:); s.YData = yc(i,:);
35
        drawnow limitrate;
36
        pause(0.1);
37
38
    end
    close(qcf);
39
    % Create time array and store the information
41
    dt = 0.00032; % Taken from README.md
    time = (0:size(imgs,3)-1)*dt;
43
    save(imProcessingMethod + "\" + fileName + ".mat", "time", "xc", "yc");
44
45
    %% Generate plots and save them
46
    mkdir(imProcessingMethod + "\figs\");
47
    figure("WindowState", "maximized");
48
    t = tiledlayout(2,2,"TileSpacing","compact","Padding","compact");
49
50
   xData = nexttile(1);
51
    plot(time,xc*65);
52
    grid on;
   axis([-inf inf -inf inf]);
54
    xlabel("Time (s)");
    ylabel("x-Displacement (nm)");
56
   yData = nexttile(3);
58
    plot(time,yc*65);
    grid on;
60
    axis([-inf inf -inf inf]);
61
    xlabel("Time (s)");
62
63
    ylabel("y-Displacement (nm)");
64
   xyData = nexttile(2,[2 1]);
65
   plot(xc*65,yc*65);
66
    grid on;
67
    axis equal;
    xlabel("x-Displacement (nm)");
69
    ylabel("y-Displacement (nm)");
70
71
   % Link time axes of the plots
    linkaxes([xData yData],'x');
73
74
```

```
75 % Save the figure
76 savefig(imProcessingMethod + "\figs\" + fileName + ".fig");
77 exportgraphics(gcf,imProcessingMethod + "\figs\" + fileName + ".jpg", "Resolution",600);
```

#### J.2.8 README.md

```
## Brownian motion and trapping motion data for 500nm bead
    ### Setup Info
    - Number of Samples: 1
      - Sample 1:
 4
 5
        - Bead Diameter: 500nm
        - Objective Zoom: 100X
 6
        - Objective NA: 1.25
        - Centrifuged for: 0 min
        - Optical Table: On
        - Number of ND filters: 1 thorlabs
10
      - Sample 2:
11
        - Bead Diameter: 500nm
12
        - Objective Zoom: 100X
13
        - Objective NA: 1.25
14
        - Centrifuged for: 0 min
15
        - Optical Table: On
16
        - Number of ND filters: 1 thorlabs + ND4 from uScope
17
18
    ### Trapping Set 0
19
    Sample: 1
20
    Particle: 1
^{21}
22
    #### Camera configuration
23
    `camProp setByName "SUBARRAY MODE" 2`
24
    `camProp setByName "SUBARRAY VSIZE" 40`
25
    `camProp setByName "SUBARRAY VPOS" 1004`
26
    `camProp setByName "SUBARRAY HSIZE" 64`
27
    `camProp setByName "SUBARRAY HPOS" 796`
28
    `camProp setByName "EXPOSURE TIME" 0.00032`
29
    `shtrCtrl "led 30"`
30
    `liveCap start`
31
    `liveCap lut 100 200`
32
    `liveCap stop`
33
34
    #### Recording
35
    `camProp setByName "SENSOR COOLER" 2`
36
37
    `shtrCtrl "cycleTiming 30000 20000 100000"`
    `shtrCtrl "led 100"`
38
    `condExps start 1`
39
40
    ### Brownian Set 0
41
    Sample: 2
42
    Particle: 2
```

```
44
    #### Camera configuration
45
    `camProp setByName "SUBARRAY MODE" 2`
46
47
    `camProp setByName "SUBARRAY VSIZE" 48`
    `camProp setByName "SUBARRAY VPOS" 1000`
48
    `camProp setByName "SUBARRAY HSIZE" 64`
49
    `camProp setByName "SUBARRAY HPOS" 796`
50
    `camProp setByName "EXPOSURE TIME" 0.00025`
51
    `shtrCtrl "led 30"`
52
    `liveCap start`
53
    `liveCap lut 100 200`
54
    `liveCap stop`
55
    #### Recording
57
    `shtrCtrl "led 100"`
58
    `camProp setByName "SENSOR COOLER" 2`
59
    `camRec 250000 brownian0.bin`
60
61
    #### Camera Reset Configuration (Let the system be in this state for camera and
62
    \hookrightarrow illumination laser to cool down)
    `shtrCtrl "led 30"`
63
    `camProp setByName "SUBARRAY MODE" 1`
64
    `camProp setByName "EXPOSURE TIME" 0.01`
65
    `camProp setByName "SENSOR COOLER" 1`
66
    `liveCap start`
67
    `liveCap lut 100 200`
68
    `liveCap stop`
69
70
    #### Shutter Commands
71
    `shtrCtrl "shtrClose"`
72
    `shtrCtrl "shtrOpen"`
73
```

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## BIOGRAPHICAL STATEMENT

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